

# TRANSGENIC NON-HUMAN ANIMALS CAPABLE OF PRODUCING HETEROLOGOUS ANTIBODIES

## CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation of U.S. Serial Number 08/758,417 filed December 2, 1996, now U.S. Patent No. 6,300,129, which is a continuation-in-part of U.S. Serial Number 08/728,463 filed October 10, 1996, which is a continuation-in-part of U.S. Serial No. 08/544,404 filed 10 October 1995, now U.S. Patent No. 5,770,429.

## TECHNICAL FIELD

The invention relates to transgenic non-human animals capable of producing heterologous antibodies, transgenes used to produce such transgenic animals, transgenes capable of functionally rearranging a heterologous D gene in V-D-J recombination, immortalized B-cells capable of producing heterologous antibodies, methods and transgenes for producing heterologous antibodies of multiple isotypes, methods and transgenes for producing heterologous antibodies wherein a variable region sequence comprises somatic mutation as compared to germline rearranged variable region sequences, transgenic nonhuman animals which produce antibodies having a human primary sequence and which bind to human antigens, hybridomas made from B cells of such transgenic animals, and monoclonal antibodies expressed by such hybridomas.

## BACKGROUND OF THE INVENTION

One of the major impediments facing the development of *in vivo* therapeutic and diagnostic applications for monoclonal antibodies in humans is the intrinsic immunogenicity of non-human immunoglobulins. For example, when immunocompetent human patients are administered therapeutic doses of rodent monoclonal antibodies, the patients produce antibodies against the rodent immunoglobulin sequences; these human anti-mouse antibodies (HAMA) neutralize the therapeutic antibodies and can cause acute toxicity. Hence, it is desirable to produce human immunoglobulins that are reactive with specific human antigens that are promising therapeutic and/or diagnostic targets. However, producing human immunoglobulins that bind specifically with human antigens is problematic.

The present technology for generating monoclonal antibodies involves pre-exposing, or priming, an animal (usually a rat or mouse) with antigen, harvesting B-cells from that animal, and generating a library of hybridoma clones. By screening a hybridoma population for antigen binding specificity (idiotype) and also screening for immunoglobulin class (isotype), it is possible to select hybridoma clones that secrete the desired antibody.

However, when present methods for generating monoclonal antibodies are applied for the purpose of generating human antibodies that have binding specificities for human antigens, obtaining B-lymphocytes which produce human immunoglobulins a serious obstacle, since humans will typically not make immune responses against self-antigens.

5           Hence, present methods of generating human monoclonal antibodies that are specifically reactive with human antigens are clearly insufficient. It is evident that the same limitations on generating monoclonal antibodies to authentic self antigens apply where non-human species are used as the source of B-cells for making the hybridoma.

10           The construction of transgenic animals harboring a functional heterologous immunoglobulin transgene is a method by which antibodies reactive with self antigens may be produced. However, in order to obtain expression of therapeutically useful antibodies, or hybridoma clones producing such antibodies, the transgenic animal must produce transgenic B cells that are capable of maturing through the B lymphocyte development pathway. Such maturation requires the presence of surface IgM on the transgenic B cells, however isotypes other than IgM are desired for therapeutic uses.  
15           Thus, there is a need for transgenes and animals harboring such transgenes that are able to undergo functional V-D-J rearrangement to generate recombinational diversity and junctional diversity. Further, such transgenes and transgenic animals preferably include cis-acting sequences that facilitate isotype switching from a first isotype that is required for B cell maturation to a subsequent isotype that has superior therapeutic utility.

20           A number of experiments have reported the use of transfected cell lines to determine the specific DNA sequences required for Ig gene rearrangement (reviewed by Lewis and Gellert (1989), Cell, 59, 585-588). Such reports have identified putative sequences and concluded that the accessibility of these sequences to the recombinase enzymes used for rearrangement is modulated by transcription (Yancopoulos and Alt (1985), Cell, 40, 271-281). The sequences for V(D)J  
25           joining are reportedly a highly conserved, near-palindromic heptamer and a less well conserved AT-rich nanomer separated by a spacer of either 12 or 23 bp (Tonegawa (1983), Nature, 302, 575-581; Hesse, et al. (1989), Genes in Dev., 3, 1053-1061). Efficient recombination reportedly occurs only between sites containing recombination signal sequences with different length spacer regions.

30           Ig gene rearrangement, though studied in tissue culture cells, has not been extensively examined in transgenic mice. Only a handful of reports have been published describing rearrangement test constructs introduced into mice [Buchini, et al. (1987), Nature, 326, 409-411 (unrearranged chicken  $\lambda$  transgene); Goodhart, et al. (1987) , Proc. Natl. Acad. Sci. USA, 84,

4229-4233) (unrearranged rabbit  $\kappa$  gene); and Bruggemann, et al. (1989), Proc. Natl. Acad. Sci. USA, 86, 6709-6713 (hybrid mouse-human heavy chain)]. The results of such experiments, however, have been variable, in some cases, producing incomplete or minimal rearrangement of the transgene.

5 Further, a variety of biological functions of antibody molecules are exerted by the Fc portion of molecules, such as the interaction with mast cells or basophils through Fc $\epsilon$ , and binding of complement by Fc $\mu$  or Fc $\gamma$ , it further is desirable to generate a functional diversity of antibodies of a given specificity by variation of isotype.

10 Although transgenic animals have been generated that incorporate transgenes encoding one or more chains of a heterologous antibody, there have been no reports of heterologous transgenes that undergo successful isotype switching. Transgenic animals that cannot switch isotypes are limited to producing heterologous antibodies of a single isotype, and more specifically are limited to producing an isotype that is essential for B cell maturation, such as IgM and possibly IgD, which may be of limited therapeutic utility. Thus, there is a need for heterologous  
15 immunoglobulin transgenes and transgenic animals that are capable of switching from an isotype needed for B cell development to an isotype that has a desired characteristic for therapeutic use.

Based on the foregoing, it is clear that a need exists for methods of efficiently producing heterologous antibodies, e.g. antibodies encoded by genetic sequences of a first species that are produced in a second species. More particularly, there is a need in the art for heterologous  
20 immunoglobulin transgenes and transgenic animals that are capable of undergoing functional V-D-J gene rearrangement that incorporates all or a portion of a D gene segment which contributes to recombinational diversity. Further, there is a need in the art for transgenes and transgenic animals that can support V-D-J recombination and isotype switching so that (1) functional B cell development may occur, and (2) therapeutically useful heterologous antibodies may be produced.  
25 There is also a need for a source of B cells which can be used to make hybridomas that produce monoclonal antibodies for therapeutic or diagnostic use in the particular species for which they are designed. A heterologous immunoglobulin transgene capable of functional V-D-J recombination and/or capable of isotype switching could fulfill these needs.

30 In accordance with the foregoing object transgenic nonhuman animals are provided which are capable of producing a heterologous antibody, such as a human antibody.





(membrane-bound  $\mu$ ) forms, and the  $\mu$  and  $\delta$  immunoglobulin chains, may lead to the contemporaneous expression of multiple isotypes by a single cell. Therefore, in order to produce heterologous antibodies of multiple isotypes, specifically the therapeutically useful IgG, IgA, and IgE isotypes, it is necessary that isotype switching occur. Such isotype switching may be classical class-switching or may result from one or more non-classical isotype switching mechanisms.

The invention provides heterologous immunoglobulin transgenes and transgenic nonhuman animals harboring such transgenes, wherein the transgenic animal is capable of producing heterologous antibodies of multiple isotypes by undergoing isotype switching. Classical isotype switching occurs by recombination events which involve at least one switch sequence region in the transgene. Non-classical isotype switching may occur by, for example, homologous recombination between human  $\sigma_\mu$  and human  $\Sigma_\mu$  sequences ( $\delta$ -associated deletion). Alternative non-classical switching mechanisms, such as intertransgene and/or interchromosomal recombination, among others, may occur and effectuate isotype switching. Such transgenes and transgenic nonhuman animals produce a first immunoglobulin isotype that is necessary for antigen-stimulated B cell maturation and can switch to encode and produce one or more subsequent heterologous isotypes that have therapeutic and/or diagnostic utility. Transgenic nonhuman animals of the invention are thus able to produce, in one embodiment, IgG, IgA, and/or IgE antibodies that are encoded by human immunoglobulin genetic sequences and which also bind specific human antigens with high affinity.

The invention also encompasses B-cells from such transgenic animals that are capable of expressing heterologous antibodies of various isotypes, wherein such B-cells are immortalized to provide a source of a monoclonal antibody specific for a particular antigen. Hybridomas cells that are derived from such B-cells can serve as one source of such heterologous monoclonal antibodies.

The invention provides heterologous unrearranged and rearranged immunoglobulin heavy and light chain transgenes capable of undergoing isotype switching in vivo in the aforementioned non-human transgenic animals or in explanted lymphocytes of the B-cell lineage from such transgenic animals. Such isotype switching may occur spontaneously or be induced by treatment of the transgenic animal or explanted B-lineage lymphocytes with agents that promote isotype switching, such as T-cell-derived lymphokines (e.g., IL-4 and IFN $\gamma$ ).

Still further, the invention includes methods to induce heterologous antibody production in the aforementioned transgenic non-human animal, wherein such antibodies may be of various isotypes. These methods include producing an antigen-stimulated immune response in a transgenic

nonhuman animal for the generation of heterologous antibodies, particularly heterologous antibodies of a switched isotype (i.e., IgG, IgA, and IgE).

This invention provides methods whereby the transgene contains sequences that effectuate isotype switching, so that the heterologous immunoglobulins produced in the transgenic animal and monoclonal antibody clones derived from the B-cells of said animal may be of various isotypes.

This invention further provides methods that facilitate isotype switching of the transgene, so that switching between particular isotypes may occur at much higher or lower frequencies or in different temporal orders than typically occurs in germline immunoglobulin loci. Switch regions may be grafted from various  $C_H$  genes and ligated to other  $C_H$  genes in a transgene construct; such grafted switch sequences will typically function independently of the associated  $C_H$  gene so that switching in the transgene construct will typically be a function of the origin of the associated switch regions. Alternatively, or in combination with switch sequences,  $\delta$ -associated deletion sequences may be linked to various  $C_H$  genes to effect non-classical switching by deletion of sequences between two  $\delta$ -associated deletion sequences. Thus, a transgene may be constructed so that a particular  $C_H$  gene is linked to a different switch sequence and thereby is switched to more frequently than occurs when the naturally associated switch region is used.

This invention also provides methods to determine whether isotype switching of transgene sequences has occurred in a transgenic animal containing an immunoglobulin transgene.

The invention provides immunoglobulin transgene constructs and methods for producing immunoglobulin transgene constructs, some of which contain a subset of germline immunoglobulin loci sequences (which may include deletions). The invention includes a specific method for facilitated cloning and construction of immunoglobulin transgenes, involving a vector that employs unique XhoI and SalI restriction sites flanked by two unique NotI sites. This method exploits the complementary termini of XhoI and SalI restrictions sites and is useful for creating large constructs by ordered concatemerization of restriction fragments in a vector.

The transgenes of the invention include a heavy chain transgene comprising DNA encoding at least one variable gene segment, one diversity gene segment, one joining gene segment and one constant region gene segment. The immunoglobulin light chain transgene comprises DNA encoding at least one variable gene segment, one joining gene segment and one constant region gene segment. The gene segments encoding the light and heavy chain gene segments are heterologous to the transgenic non-human animal in that they are derived from, or correspond to, DNA encoding immunoglobulin heavy and light chain gene segments from a species not consisting

of the transgenic non-human animal. In one aspect of the invention, the transgene is constructed such that the individual gene segments are unrearranged, i.e., not rearranged so as to encode a functional immunoglobulin light or heavy chain. Such unrearranged transgenes permit recombination of the gene segments (functional rearrangement) and expression of the resultant rearranged immunoglobulin heavy and/or light chains within the transgenic non-human animal when said animal is exposed to antigen.

In one aspect of the invention, heterologous heavy and light immunoglobulin transgenes comprise relatively large fragments of unrearranged heterologous DNA. Such fragments typically comprise a substantial portion of the C, J (and in the case of heavy chain, D) segments from a heterologous immunoglobulin locus. In addition, such fragments also comprise a substantial portion of the variable gene segments.

In one embodiment, such transgene constructs comprise regulatory sequences, e.g. promoters, enhancers, class switch regions, recombination signals and the like, corresponding to sequences derived from the heterologous DNA. Alternatively, such regulatory sequences may be incorporated into the transgene from the same or a related species of the non-human animal used in the invention. For example, human immunoglobulin gene segments may be combined in a transgene with a rodent immunoglobulin enhancer sequence for use in a transgenic mouse.

In a method of the invention, a transgenic non-human animal containing germline unrearranged light and heavy immunoglobulin transgenes - that undergo VDJ joining during D-cell differentiation - is contacted with an antigen to induce production of a heterologous antibody in a secondary repertoire B-cell.

Also included in the invention are vectors and methods to disrupt the endogenous immunoglobulin loci in the non-human animal to be used in the invention. Such vectors and methods utilize a transgene, preferably positive-negative selection vector, which is constructed such that it targets the functional disruption of a class of gene segments encoding a heavy and/or light immunoglobulin chain endogenous to the non-human animal used in the invention. Such endogenous gene segments include diversity, joining and constant region gene segments. In this aspect of the invention, the positive-negative selection vector is contacted with at least one embryonic stem cell of a non-human animal after which cells are selected wherein the positive-negative selection vector has integrated into the genome of the non-human animal by way of homologous recombination. After transplantation, the resultant transgenic non-human animal is substantially incapable of mounting an immunoglobulin-mediated immune response as a result of homologous integration of the vector into chromosomal DNA. Such immune deficient non-human



heavy chain constant region (e.g.,  $\gamma 1$ ,  $\gamma 2a$ ,  $\gamma 2b$ ,  $\gamma 3$ ). Antibodies comprising such chimeric heavy chains, typically in combination with a transgene-encoded human sequence light chain or endogenous murine light chain, are formed in response to immunization with a predetermined antigen. The transgenic mice of these embodiments can comprise B cells which produce (express) a human sequence heavy chain at a first timepoint and trans-switch to produce (express) a chimeric heavy chain composed of a human variable region and a murine constant region (e.g.,  $\gamma 1$ ,  $\gamma 2a$ ,  $\gamma 2b$ ,  $\gamma 3$ ) at a second (subsequent) timepoint; such human sequence and chimeric heavy chains are incorporated into functional antibodies with light chains; such antibodies are present in the serum of such transgenic mice. Thus, to restate: the transgenic mice of these embodiments can comprise B cells which express a human sequence heavy chain and subsequently switch (via trans-switching or cis-switching) to express a chimeric or isotype-switched heavy chain composed of a human variable region and an alternative constant region (e.g., murine  $\gamma 1$ ,  $\gamma 2a$ ,  $\gamma 2b$ ,  $\gamma 3$ ; human  $\gamma$ ,  $\alpha$ ,  $\epsilon$ ); such human sequence and chimeric or isotype-switched heavy chains are incorporated into functional antibodies with light chains (human or mouse); such antibodies are present in the serum of such transgenic mice.

The invention also provides a method for generating a large transgene, said method comprising:

introducing into a mammalian cell at least three polynucleotide species; a first polynucleotide species having a recombinogenic region of sequence identity shared with a second polynucleotide species, a second polynucleotide species having a recombinogenic region of sequence identity shared with a first polynucleotide species and a recombinogenic region of sequence identity shared with a third polynucleotide species, and a third polynucleotide species having a recombinogenic region of sequence identity shared with said second polynucleotide species.

25            Recombinogenic regions are regions of substantial sequence identity sufficient to generate  
homologous recombination in vivo in a mammalian cell (e.g., ES cell), and preferably also in non  
mammalian eukaryotic cells (e.g., *Saccharaomyces* and other yeast or fungal cells). Typically,  
recombinogenic regions are at least 50 to 100000 nucleotides long or longer, preferably 500  
nucleotides to 10000 nucleotides long, and are often 80-100 percent identical, frequently 95-100  
30 percent identical, often isogenic.

The references discussed herein are provided solely for their disclosure prior to the filing date of the present application. Nothing herein is to be construed as an admission that the inventors are not entitled to antedate such disclosure by virtue of prior invention.

### BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 depicts the complementarity determining regions CDR1, CDR2 and CDR3 and framework regions FR1, FR2, FR3 and FR4 in unrearranged genomic DNA and mRNA expressed from a rearranged immunoglobulin heavy chain gene,

5 Fig. 2 depicts the human  $\lambda$  chain locus,

Fig. 3 depicts the human  $\kappa$  chain locus,

Fig. 4 depicts the human heavy chain locus,

Fig. 5 depicts a transgene construct containing a rearranged IgM gene ligated to a 25 kb fragment that contains human  $\gamma 3$  and  $\gamma 1$  constant regions followed by a 700 bp fragment containing the rat chain 3' enhancer sequence.

Fig. 6 is a restriction map of the human  $\kappa$  chain locus depicting the fragments to be used to form a light chain transgene by way of in vivo homologous recombination.

Fig. 7 depicts the construction of pgP1.

Fig. 8 depicts the construction of the polylinker contained in pGP1.

Fig. 9 depicts the fragments used to construct a human heavy chain transgene of the invention.

Fig. 10 depicts the construction of pHIG1 and pCON1.

Fig. 11 depicts the human Cγ1 fragments which are inserted into pRE3 (rat enhancer 3') to form pREG2.

20 Fig. 12 depicts the construction of pHIG3' and PCON.

Fig. 13 depicts the fragment containing human D region segments used in construction of the transgenes of the invention.

Fig. 14 depicts the construction of pHIG2 (D segment containing plasmid).

Fig. 15 depicts the fragments covering the human J $\kappa$  and human C $\kappa$  gene segments used in  
25 constructing a transgene of the invention.







Fig. 43 shows the nucleotide sequence (SEQ ID NO:310) of a human DNA fragment, designated vk65.8, containing a  $V_{\kappa}$  gene segment; the deduced amino acid sequences (SEQ ID NO:311) of the  $V_{\kappa}$  coding regions are also shown; splicing and recombination signal sequences (heptamer/nonamer) are shown boxed.

5        Fig. 44 shows the nucleotide sequence (SEQ ID NO:312) of a human DNA fragment, designated vk65.15, containing a  $V_{\kappa}$  gene segment; the deduced amino acid sequences (SEQ ID NO:313) of the  $V_{\kappa}$  coding regions are also shown; splicing and recombination signal sequences (heptamer/nonamer) are shown boxed.

10       Fig. 45 shows formation of a light chain minilocus by homologous recombination between two overlapping fragments which were co-injected.

Fig. 46 shows ELISA results for monoclonal antibodies reactive with CEA and non-CEA antigens showing the specificity of antigen binding.

Fig. 47 shows the DNA sequences (SEQ ID NOS:314-322) of 10 cDNAs amplified by PCR to amplify transcripts having a human VDJ and a murine constant region sequence.

15       Fig. 48 shows ELISA results for various dilutions of serum obtained from mice bearing both a human heavy chain minilocus transgene and a human  $\kappa$  minilocus transgene; the mouse was immunized with human CD4 and the data shown represents antibodies reactive with human CD4 and possessing human  $\kappa$ , human  $\mu$ , or human  $\gamma$  epitopes, respectively.

20       Fig. 49 shows relative distribution of lymphocytes staining for human  $\mu$  or mouse  $\mu$  as determined by FACS for three mouse genotypes.

Fig. 50 shows relative distribution of lymphocytes staining for human  $\kappa$  or mouse  $\kappa$  as determined by FACS for three mouse genotypes.

Fig. 51 shows relative distribution of lymphocytes staining for mouse  $\lambda$  as determined by FACS for three mouse genotypes.

25       Fig. 52 shows relative distribution of lymphocytes staining for mouse  $\lambda$  or human  $\kappa$  as determined by FACS for four mouse genotypes.

Fig. 53 shows the amounts of human  $\mu$ , human  $\gamma$ , human  $\kappa$ , mouse  $\mu$ , mouse  $\gamma$ , mouse  $\kappa$ , and mouse  $\lambda$  chains in the serum of unimmunized 0011 mice.

Fig. 54 shows a scatter plot showing the amounts of human  $\mu$ , human  $\gamma$ , human  $\kappa$ , mouse  $\mu$ , mouse  $\gamma$ , mouse  $\kappa$ , and mouse  $\lambda$  chains in the serum of unimmunized 0011 mice of various  
5 genotypes.

Fig. 55 shows the titres of antibodies comprising human  $\mu$ , human  $\gamma$ , or human  $\kappa$  chains in anti-CD4 antibodies in the serum taken at three weeks or seven weeks post-immunization following immunization of a 0011 mouse with human CD4.

Fig. 56 shows a schematic representation of the human heavy chain minilocus transgenes  
10 pHC1 and pHC2, and the light chain minilocus transgenes pKC1, pKC1e, and the light chain minilocus transgene created by homologous recombination between pKC2 and Co4 at the site indicated.

Fig. 57 shows a linkage map of the murine lambda light chain locus as taken from Storb et al. (1989) op. cit.; the stippled boxes represent a pseudogene.

Fig. 58 shows a schematic representation of inactivation of the murine  $\lambda$  locus by  
15 homologous gene targeting.

Fig. 59 schematically shows the structure of a homologous recombination targeting transgene for deleting genes, such as heavy chain constant region genes.

Fig. 60 shows a map of the BALB/c murine heavy chain locus as taken from  
20 Immunoglobulin Genes, Honjo, T, Alt, FW, and Rabbits TH (eds.) Academic Press, NY (1989) p. 129. Structural genes are shown by closed boxes in the top line; second and third lines show restriction sites with symbols indicated.

Fig. 61 shows a nucleotide sequence (SEQ ID NO:313) of mouse heavy chain locus  $\alpha$  constant region gene.

Fig. 62 shows the construction of a frameshift vector (plasmid B) for introducing a two bp  
25 frameshift into the murine heavy chain locus  $J_4$  gene.



nucleotides that cannot be assigned to known germline sequences; these may be somatic mutations, N nucleotides, or truncated D segments. Bath face type indicates mouse  $\gamma$  sequences.

Figs. 66A and 66B show that the rearranged VH251 transgene undergoes somatic mutation in a hyperimmunized mouse. The partial nucleotide sequence of IgG heavy chain variable region cDNA clones from CH1 line 26 mice exhibiting Fig. 66A primary and Fig. 66B secondary responses to antigen. Germline sequence is shown at the top; nucleotide changes from germline are given for each clone. A period indicates identity with germline sequence, capital letters indicate no identified germline origin. The sequences are grouped according to J segment usage. The germline sequence of each of the J segments is shown (FIG. 66A.2, SEQ ID NOS:334, 338, 340 and 347; FIG. 66B2, SEQ ID NOS:350, 352, 360 and 362). Lower case letters within CDR3 sequences indicate identity to known D segment included in the HC1 transgene. The assigned D segments are indicated at the end of each sequence. Unassigned sequences could be derived from N region addition or somatic mutation; or in some cases they are simply too short to distinguish random N nucleotides from known D segments. Fig. 66A primary response: 13 randomly picked VH251- $\gamma$ 1 cDNA clones (SEQ ID NOS:335-337, 339, 341-346, 348 and 349). A 4 week old female HC1 line 26-JHD mouse (#2599) was given a single injection of KLH and complete Freund's adjuvant; spleen cell RNA was isolated 5 days later. The overall frequency of somatic mutations within the V segment is 0.06% (2/3,198 bp). Fig. 66B secondary response: 13 randomly picked VH251- $\gamma$ 1 cDNA clones (SEQ ID NOS:351,353, 355-359, 361, and 363). A 2 month old female HC1 line 26-JHD mouse (#3204) was given 3 injections of HEL and Freund's adjuvant over one month (a primary injection with complete adjuvant and boosts with incomplete at one week and 3 weeks); spleen and lymph node RNA was isolated 4 months later. The overall frequency of somatic mutations within the V segment is 1.6% (52/3,198 bp).

Figs. 67A and 67B show that extensive somatic mutation is confined to  $\gamma$ 1 sequences: somatic mutation and class switching occur within the same population of B cells. Partial nucleotide sequence of VH251 cDNA clones isolated from spleen and lymph node cells of HC1 line 57 transgenic-JHD mouse (#2357) hyperimmunized against CEA (see Fig. 63 for immunization schedule). Fig. 67A: IgM: 23 randomly picked VH251- $\mu$  cDNA clones (SEQ ID NO:364). Nucleotide sequence of 156 bp segment including CDRs 1 and 2 surrounding residues (SEQ ID NOS:364-368). The overall level of somatic mutation is 0.1% (5/3,744 bp). Fig 67B: IgG: 23 randomly picked VH251- $\gamma$ 1 cDNA clones (SEQ ID NO:333). Nucleotide sequence of segment including CDRs 1 through 3 and surrounding residues (SEQ ID NOS:369-391). J chain sequences for J2, J3, J4, J5, and J6 are given as SEQ ID NOS:350, 352, 354, 360, and 362,

respectively. The overall frequency of somatic mutation within the V segment is 1.1% (65/5,658 bp). For comparison with the  $\mu$  sequences in Fig. 67A: the mutation frequency for the first 156 nucleotides is 1.1% (41/3,588 bp). See legend to Figs. 66A and 66B for explanation of symbols.

Fig. 68 indicates that VH56P1 (SEQ ID NO:392, VH51P1 (SEQ ID NO:410) and VH4.21 (SEQ ID NO:412) show extensive somatic mutation in an unimmunized mouse. The partial nucleotide sequence of IgG heavy chain variable region cDNA clones (SEQ ID NOS:393-409, 411 AND 413) from a 9 week old, unimmunized female HC2 line 2550 transgenic-JHD mouse (#5250). The overall frequency of somatic mutation with the 19 VH56p1 segments is 2.2% (101/4,674 bp). The overall frequency of somatic mutation within the single VH51p1 segment is 2.0% (5/246 bp). See legend to Figs. 66A and 66B for explanation of symbols.

Fig. 69. Double transgenic mice with disrupted endogenous Ig loci contain human IgM $\kappa$  positive B cells. FACS of cells isolated from spleens of 4 mice with different genotypes. Left column: control mouse (#9944, 6 wk old female JH+/-, JCK+/-; heterozygous wild-type mouse heavy and  $\kappa$ -light chain loci, non-transgenic). Second column: human heavy chain transgenic (#9877, 6 wk old female JH-/-, JCK-/-, HC2 line 2550 +; homozygous for disrupted mouse heavy and  $\kappa$ -light chain loci, hemizygous for HC2 transgene). Third column: human  $\kappa$ -light chain transgenic (#9878, 6 wk old female JH-/-, JCK-/-, KCo4 line 4437 +; homozygous for disrupted mouse heavy and  $\kappa$ -light chain loci, hemizygous for KCo4 transgene). Right column: double transgenic (#9879, 6 wk old female JH-/-m Jck-/-, HC2 line 2550 +, KCo4 line 4437 +; homozygous for disrupted mouse heavy and  $\kappa$ K-light chain loci, hemizygous for HC2 and KCo4 transgenes). Top row: spleen cells stained for expression of mouse  $\lambda$  light chain (x-axis) and human  $\kappa$  light chain (y-axis). Second row: spleen cells stained for expression of human  $\mu$  heavy chain (x-axis) and human  $\kappa$  light chain (y-axis). Third row: spleen cells stained for expression of mouse  $\mu$  heavy chain (x-axis) and mouse  $\kappa$  light chain (y-axis). Bottom row: histogram of spleen cells stained for expression of mouse B220 antigen (log fluorescence: x-axis; cell number: y-axis). For each of the two color panels, the relative number of cells in each of the displayed quadrants is given as percent of a e-parameter gate based on propidium iodide staining and light scatter. The fraction of B220+ cells in each of the samples displayed in the bottom row is given as a percent of the lymphocyte light scatter gate.



Fig. 79 shows that large inserts are unstable in high-copy pUC derived plasmids.

Fig. 80 shows phage P1 clone P1-570. Insert spans portion of human heavy chain constant region covering  $\gamma 3$  and  $\gamma 1$ , together with switch elements. N, NotI; S, SalI, X, XhoI.

Fig. 81 shows serum expression of human  $\mu$  and  $\gamma 1$  in HCo7 transgenic founder animals.

5 Fig. 82 shows serum expression of human immunoglobulins in HCo7/KCo4 double transgenic/double deletion mice.

Fig. 83 shows RT PCR detection of human  $\gamma 1$  and  $\gamma 3$  transcripts in HCo7 transgenic mouse spleen RNA.

Fig. 84 shows induction of human IgG1 and IgG3 by LPS and IL-4 in vitro.

10 Fig. 85. Agarose gel electrophoresis apparatus for concentration of YAC DNA.

Fig. 86. Two color FACS analysis of bone marrow cells from HC2/KCo5/JHD/JKD and HC2/KCo4/JHD/JKD mice. The fraction of cells in each of the  $B220^+/CD43^-$ ,  $B220^+/CD43^+$ , and  $B220^+/IgM^+$  gates is given as a percent.

15 Fig. 87. Two color FACS analysis of spleen cells from HC2/KCo5/JHD/JKD and HC2/KCo4/JHD/JKD mice. The fraction of cells in each of the  $B229^{bright}/IgM^+$  and  $B220^{dull}/IgM^+$  gates is given as a percent.

Fig. 88. Binding of IgGk anti-nCD4 monoclonal antibodies to CD4+ SupT1 cells.

20 Fig. 89 Epitope determination for IgG anti-nCD4 monoclonal antibodies by flow cytometry. SupT1 cells were pre-incubated with buffer (left column), 2.5 mg/ml RPA-T4 (middle column), or 2.5 mg/ml Leu3a (right column) and then with one of the 10 human IgG monoclonal antibodies (in supernatant diluted 1:2), or chimeric Leu3a. Results for 3 representative human IgG monoclonal antibodies are shown in this figure.

Fig. 90 Inhibition of an MLR by a human IgGk anti-CD4 monoclonal antibody.

Figure 91 shows the effect of huMAb administration an CD4+ cells.

25 Fig. 92 shows the effect of huMAb administration on CD4 Cells.

Fig. 93 shows the effect of huMAb administration on cynomolgus monkey cells.

Figure 94 shows the effect of huMAb administration on lymph node lymphocytes.

Fig. 95 shows serum half-life of huMAbs in cynomolgus monkeys. The data derived from 1E11 and 6G5 were fit to a two compartment model, whereas the data derived from 1G2 were fit to a one compartment model.

Fig. 96 shows inhibition by human anti-CD4 mAbs of human cell responses to tetanus toxoid (TT). Panels (a) and (b) present results for two different assays. Lot numbers of the mAbs are shown in parantheses.

Fig. 97 shows human IgM and IgG anti-IL8 serum titers in transgenic mice. Responses of the individual mice to the immunogen were assessed by ELISA, converted to titers (1 = OD >0.1 at 1:50 dilution of serum; 2 = OD >0.1 at 1:250 dilution of serum; 3 = OD >0.1 at 1:1250 dilution of serum; 4 = OD >0.1 at 1:6250 dilution of serum; and 5 = OD >0.1 at 1:31250 dilution of serum) and then averaged.

Fig. 98 shows the effect of human anti-IL8 mAb on IL8-induced neutrophil chemotaxis and elastase release.

Table 1 depicts the sequence of vector pGPe (SEQ ID NO:72).

Table 2 depicts the sequence of gene V<sub>H</sub>49.8 (SEQ ID NOS:79-80).

Table 3 depicts the detection of human IgM and IgG in the serum of transgenic mice of this invention.

Table 4 depicts sequences of VDJ joints.

Table 5 depicts the distribution of J segments incorporated into pHc1 transgene encoded transcripts to J segments found in adult human peripheral blood lymphocytes (PBL).

Table 6 depicts the distribution of D segments incorporated into pHc1 transgene encoded transcripts to D segments found in adult human peripheral blood lymphocytes (PBL).

Table 7 depicts the length of the CDR3 peptides from transcripts with in-frame VDJ joints (SEQ ID NOS:116-145) in the pHc1 transgenic mouse and in human PBL.







07/574,748 filed August 29, 1990, U.S.S.N. 07/575,962 filed August 31, 1990, and PCT/US91/06185 filed August 28, 1991, each incorporated herein by reference) which comprise essential functional elements of the human heavy and light chain loci, are employed to produce a transgenic nonhuman animal. Such a transgenic nonhuman animal will have the capacity to produce immunoglobulin chains that are encoded by human immunoglobulin genes, and additionally will be capable of making an immune response against human antigens. Thus, such transgenic animals can serve as a source of immune sera reactive with specified human antigens, and B-cells from such transgenic animals can be fused with myeloma cells to produce hybridomas that secrete monoclonal antibodies that are encoded by human immunoglobulin genes and which are specifically reactive with human antigens.

The production of transgenic mice containing various forms of immunoglobulin genes has been reported previously. Rearranged mouse immunoglobulin heavy or light chain genes have been used to produce transgenic mice. In addition, functionally rearranged human Ig genes including the  $\mu$  or  $\gamma 1$  constant region have been expressed in transgenic mice. However, experiments in which the transgene comprises unrearranged (V-D-J or V-J not rearranged) immunoglobulin genes have been variable, in some cases, producing incomplete or minimal rearrangement of the transgene. However, there are no published examples of either rearranged or unrearranged immunoglobulin transgenes which undergo successful isotype switching between  $C_H$  genes within a transgene.

The invention also provides a method for identifying candidate hybridomas which secrete a monoclonal antibody comprising a human immunoglobulin chain consisting essentially of a human VDJ sequence in polypeptide linkage to a human constant region sequence. Such candidate hybridomas are identified from a pool of hybridoma clones comprising: (1) hybridoma clones that express immunoglobulin chains consisting essentially of a human VDJ region and a human constant region, and (2) trans-switched hybridomas that express heterohybrid immunoglobulin chains consisting essentially of a human VDJ region and a murine constant region. The supernatant(s) of individual or pooled hybridoma clones is contacted with a predetermined antigen, typically an antigen which is immobilized by adsorption onto a solid substrate (e.g., a microtitre well), under binding conditions to select antibodies having the predetermined antigen binding specificity. An antibody that specifically binds to human constant regions is also contacted with the hybridoma supernatant and predetermined antigen under binding conditions so that the antibody selectively binds to at least one human constant region epitope but substantially does not bind to murine constant region epitopes; thus forming complexes consisting essentially of hybridoma supernatant (transgenic monoclonal antibody) bound to a predetermined antigen and to an antibody that

specifically binds human constant regions (and which may be labeled with a detectable label or reporter). Detection of the formation of such complexes indicates hybridoma clones or pools which express a human immunoglobulin chain.

In a preferred embodiment of the invention, the anti-human constant region

5 immunoglobulin used in screening specifically recognizes a non- $\mu$ , non- $\delta$  isotype, preferably a  $\alpha$  or  $\epsilon$ , more preferably a  $\gamma$  isotype constant region. Monoclonal antibodies of the  $\gamma$  isotype are preferred (i) because the characteristics of IgG immunoglobulins are preferable to IgM immunoglobulins for some therapeutic applications (e.g., due to the smaller size of the IgG dimers compared to IgM pentamers) and, (ii) because the process of somatic mutation is correlated with  
10 the class switch from the  $\mu$  constant region to the non- $\mu$  (e.g.,  $\gamma$ ) constant regions. Immunoglobulins selected from the population of immunoglobulins that have undergone class switch (e.g., IgG) tend to bind antigen with higher affinity than immunoglobulins selected from the population that has not undergone class switch (e.g., IgM). See, e.g., Lonberg and Huszar. Intern. Rev. Immunol. 13:65-93 (1995) which is incorporated herein by reference.

15 In one embodiment the candidate hybridomas are first screened for the  $\gamma$  isotype constant region and the pool of IgG-expressing hybridomas is then screened for specific binding to the predetermined antigen.

Thus, according to the method, a transgenic mouse of the invention is immunized with the predetermined antigen to induce an immune response. B cells are collected from the mouse and  
20 fused to immortal cells to produce hybridomas. The hybridomas are first screened to identify individual hybridomas secreting Ig of a non- $\mu$  non- $\delta$  isotype (e.g., IgG). This set of hybridomas is then screened for specific binding to the predetermined antigen of interest. Screening is carried out using standard techniques as described in, e.g., Harlow and Lane, *Antibodies: A Laboratory Manual*, Cold Spring Harbor, New York (1988). Using this method it is  
25 possible to identify high-affinity immunoglobulins (e.g.,  $K_a$  greater than about  $10^7$  M<sup>-1</sup>) practically and efficiently.

### Definitions

As used herein, the term "antibody" refers to a glycoprotein comprising at least two light polypeptide chains and two heavy polypeptide chains. Each of the heavy and light polypeptide  
30 chains contains a variable region (generally the amino terminal portion of the polypeptide chain) which contains a binding domain which interacts with antigen. Each of the heavy and light polypeptide chains also comprises a constant region of the polypeptide chains (generally the





The nucleic acid compositions of the present invention, while often in a native sequence (except for modified restriction sites and the like), from either cDNA, genomic or mixtures may be mutated, thereof in accordance with standard techniques to provide gene sequences. For coding sequences, these mutations, may affect amino acid sequence as desired. In particular, DNA sequences substantially homologous to or derived from native V, D, J, constant, switches and other such sequences described herein are contemplated (where “derived” indicates that a sequence is identical or modified from another sequence).

A nucleic acid is “operably linked” when it is placed into a functional relationship with another nucleic acid sequence. For instance, a promoter or enhancer is operably linked to a coding sequence if it affects the transcription of the sequence. With respect to transcription regulatory sequences, operably linked means that the DNA sequences being linked are contiguous and, where necessary to join two protein coding regions, contiguous and in reading frame. For switch sequences, operably linked indicates that the sequences are capable of effecting switch recombination.

## Transgenic Nonhuman Animals Capable of Producing Heterologous Antibodies

The design of a transgenic non-human animal that responds to foreign antigen stimulation with a heterologous antibody repertoire, requires that the heterologous immunoglobulin transgenes contained within the transgenic animal function correctly throughout the pathway of B-cell development. In a preferred embodiment, correct function of a heterologous heavy chain transgene includes isotype switching. Accordingly, the transgenes of the invention are constructed so as to produce isotype switching and one or more of the following: (1) high level and cell-type specific expression, (2) functional gene rearrangement, (3) activation of and response to allelic exclusion, (4) expression of a sufficient primary repertoire, (5) signal transduction, (6) somatic hypermutation, and (7) domination of the transgene antibody locus during the immune response.

As will be apparent from the following disclosure, not all of the foregoing criteria need be met. For example, in those embodiments wherein the endogenous immunoglobulin loci of the transgenic animal are functionally disrupted, the transgene need not activate allelic exclusion. Further, in those embodiments wherein the transgene comprises a functionally rearranged heavy and/or light chain immunoglobulin gene, the second criteria of functional gene rearrangement is unnecessary, at least for that transgene which is already rearranged. For background on molecular immunology, see, Fundamental Immunology, 2nd edition (1989), Paul William E., ed. Raven Press, N.Y., which is incorporated herein by reference.

In one aspect of the invention, transgenic non-human animals are provided that contain rearranged, unrearranged or a combination of rearranged and unrearranged heterologous immunoglobulin heavy and light chain transgenes in the germline of the transgenic animal. Each of the heavy chain transgenes comprises at least one C<sub>H</sub> gene. In addition, the heavy chain transgene may contain functional isotype switch sequences, which are capable of supporting isotype switching of a heterologous transgene encoding multiple C<sub>H</sub> genes in B-cells of the transgenic animal. Such switch sequences may be those which occur naturally in the germline immunoglobulin locus from the species that serves as the source of the transgene C<sub>H</sub> genes, or such switch sequences may be derived from those which occur in the species that is to receive the transgene construct (the transgenic animal). For example, a human transgene construct that is used to produce a transgenic mouse may produce a higher frequency of isotype switching events if it incorporates switch sequences similar to those that occur naturally in the mouse heavy chain locus, as presumably the mouse switch sequences are optimized to function with the mouse switch recombinase enzyme system, whereas the human switch sequences are not. Switch sequences made be isolated and cloned by conventional cloning methods, or may be synthesized de novo from overlapping synthetic oligonucleotides designed on the basis of published sequence information relating to immunoglobulin switch region sequences (Mills et al., Nucl. Acids Res. 18:7305-7316 (1991); Sideras et al., Intl. Immunol. 1:631-642 (1989), which are incorporated herein by reference).

For each of the foregoing transgenic animals, functionally rearranged heterologous heavy and light chain immunoglobulin transgenes are found in a significant fraction of the B-cells of the transgenic animal (at least 10 percent).

The transgenes of the invention include a heavy chain transgene comprising DNA encoding at least one variable gene segment, one diversity gene segment, one joining gene segment and at least one constant region gene segment. The immunoglobulin light chain transgene comprises DNA encoding at least one variable gene segment, one joining gene segment and at least one constant region gene segment. The gene segments encoding the light and heavy chain gene segments are heterologous to the transgenic non-human animal in that they are derived from, or correspond to, DNA encoding immunoglobulin heavy and light chain gene segments from a species not consisting of the transgenic non-human animal. In one aspect of the invention, the transgene is constructed such that the individual gene segments are unrearranged, i.e., not rearranged so as to encode a functional immunoglobulin light or heavy chain. Such unrearranged transgenes support recombination of the V, D, and J gene segments (functional rearrangement) and preferably support



incorporation of all or a portion of a D region gene segment in the resultant rearranged immunoglobulin heavy chain within the transgenic non-human animal when exposed to antigen.

In an alternate embodiment, the transgenes comprise an unrearranged "mini-locus". Such transgenes typically comprise a substantial portion of the C, D, and J segments as well as a subset of the V gene segments. In such transgene constructs, the various regulatory sequences, e.g. promoters, enhancers, class switch regions, splice-donor and splice-acceptor sequences for RNA processing, recombination signals and the like, comprise corresponding sequences derived from the heterologous DNA. Such regulatory sequences may be incorporated into the transgene from the same or a related species of the non-human animal used in the invention. For example, human immunoglobulin gene segments may be combined in a transgene with a rodent immunoglobulin enhancer sequence for use in a transgenic mouse. Alternatively, synthetic regulatory sequences may be incorporated into the transgene, wherein such synthetic regulatory sequences are not homologous to a functional DNA sequence that is known to occur naturally in the genomes of mammals. Synthetic regulatory sequences are designed according to consensus rules, such as, for example, those specifying the permissible sequences of a splice-acceptor site or a promoter/enhancer motif. For example, a minilocus comprises a portion of the genomic immunoglobulin locus having at least one internal (i.e., not at a terminus of the portion) deletion of a non-essential DNA portion (e.g., intervening sequence; intron or portion thereof) as compared to the naturally-occurring germline Ig locus.

The invention also includes transgenic animals containing germ line cells having a heavy and light transgene wherein one of the said transgenes contains rearranged gene segments with the other containing unrearranged gene segments. In the preferred embodiments, the rearranged transgene is a light chain immunoglobulin transgene and the unrearranged transgene is a heavy chain immunoglobulin transgene.

## The Structure and Generation of Antibodies

The basic structure of all immunoglobulins is based upon a unit consisting of two light polypeptide chains and two heavy polypeptide chains. Each light chain comprises two regions known as the variable light chain region and the constant light chain region. Similarly, the immunoglobulin heavy chain comprises two regions designated the variable heavy chain region and the constant heavy chain region.

The constant region for the heavy or light chain is encoded by genomic sequences referred to as heavy or light constant region gene ( $C_H$ ) segments. The use of a particular heavy chain gene



encoded heavy chain polypeptide containing the amino acid sequence -DAF- located in the V region between a V gene segment amino acid sequence and a J gene segment amino acid sequence may be recognizable as containing a D region sequence, specifically a DHQ52 sequence.

However, since D region segments may be incorporated in VDJ joining to various extents and in various reading frames, a comparison of the D region area of a heavy chain variable region to the D region segments present in the transgene is necessary to determine the incorporation of particular D segments. Moreover, potential exonuclease digestion during recombination may lead to imprecise V-D and D-J joints during V-D-J recombination.

However, because of somatic mutation and N-region addition, some D region sequences may be recognizable but may not correspond identically to a consecutive D region sequence in the transgene. For example, a nucleotide sequence 5'-CTAAXTGGGG-3' (SEQ ID NO:1), where X is A, T, or G, and which is located in a heavy chain V region and flanked by a V region gene sequence and a J region gene sequence, can be recognized as corresponding to the DHQ52 sequence 5'-CTAACTGGG-3'. Similarly, for example, the polypeptide sequences -DAFDI- (SEQ ID NO:2), -DYFDY- (SEQ ID NO:3), or -GAFDI- (SEQ ID NO:4) located in a V region and flanked on the amino-terminal side by an amino acid sequence encoded by a transgene V gene sequence and flanked on the carboxyterminal side by an amino acid sequence encoded by a transgene J gene sequence is recognizable as a D region sequence.

Therefore, because somatic mutation and N-region addition can produce mutations in sequences derived from a transgene D region, the following definition is provided as a guide for determining the presence of a recognizable D region sequence. An amino acid sequence or nucleotide sequence is recognizable as a D region sequence if: (1) the sequence is located in a V region and is flanked on one side by a V gene sequence (nucleotide sequence or deduced amino acid sequence) and on the other side by a J gene sequence (nucleotide sequence or deduced amino acid sequence) and (2) the sequence is substantially identical or substantially similar to a known D gene sequence (nucleotide sequence or encoded amino acid sequence).

The term "substantial identity" as used herein denotes a characteristic of a polypeptide sequence or nucleic acid sequence, wherein the polypeptide sequence has at least 50 percent sequence identity compared to a reference sequence, often at least about 80% sequence identity and sometimes more than about 90% sequence identity, and the nucleic acid sequence has at least 70 percent sequence identity compared to a reference sequence. The percentage of sequence identity is calculated excluding small deletions or additions which total less than 35 percent of the reference sequence. The reference sequence may be a subset of a larger sequence, such as an entire D gene;

however, the reference sequence is at least 8 nucleotides long in the case of polynucleotides, and at least 3 amino residues long in the case of a polypeptide. Typically, the reference sequence is at least 8 to 12 nucleotides or at least 3 to 4 amino acids, and preferably the reference sequence is 12 to 15 nucleotides or more, or at least 5 amino acids.

5           The term “substantial similarity” denotes a characteristic of a polypeptide sequence, wherein the polypeptide sequence has at least 80 percent similarity to a reference sequence. The percentage of sequence similarity is calculated by scoring identical amino acids or positional conservative amino acid substitutions as similar. A positional conservative amino acid substitution is one that can result from a single nucleotide substitution; a first amino acid is replaced by a  
10           second amino acid where a codon for the first amino acid and a codon for the second amino acid can differ by a single nucleotide substitution. Thus, for example, the sequence -Lys-Glu-Arg-Val- (SEQ ID NO:5) is substantially similar to the sequence -Asn-Asp-Ser-Val- (SEQ ID NO:6), since the codon sequence -AAA-GAA-AGA-GUU- (SEQ ID NO:7) can be mutated to -AAC-GAC-AGC-GUU- (SEQ ID NO:8) by introducing only 3 substitution mutations, single nucleotide  
15           substitutions in three of the four original codons. The reference sequence may be a subset of a larger sequence, such as an entire D gene; however, the reference sequence is at least 4 amino residues long. Typically, the reference sequence is at least 5 amino acids, and preferably the reference sequence is 6 amino acids or more.

### The Primary Repertoire

20           The process for generating DNA encoding the heavy and light chain immunoglobulin genes occurs primarily in developing B-cells. Prior to the joining of various immunoglobulin gene segments, the V, D, J and constant (C) gene segments are found, for the most part, in clusters of V, D, J and C gene segments in the precursors of primary repertoire B-cells. Generally, all of the gene segments for a heavy or light chain are located in relatively close proximity on a single  
25           chromosome. Such genomic DNA prior to recombination of the various immunoglobulin gene segments is referred to herein as “unrearranged” genomic DNA. During B-cell differentiation, one of each of the appropriate family members of the V, D, J (or only V and J in the case of light chain genes) gene segments are recombined to form functionally rearranged heavy and light immunoglobulin genes. Such functional rearrangement is of the variable region segments to form  
30           DNA encoding a functional variable region. This gene segment rearrangement process appears to be sequential. First, heavy chain D-to-J joints are made, followed by heavy chain V-to-DJ joints and light chain V-to-J joints. The DNA encoding this initial form of a functional variable region in a light and/or heavy chain is referred to as “functionally rearranged DNA” or “rearranged DNA”. In the case of the heavy chain, such DNA is referred to as “rearranged heavy chain DNA” and in

the case of the light chain, such DNA is referred to as “rearranged light chain DNA”. Similar language is used to describe the functional rearrangement of the transgenes of the invention.

The recombination of variable region gene segments to form functional heavy and light chain variable regions is mediated by recombination signal sequences (RSS's) that flank recombinationally competent V, D and J segments. RSS's necessary and sufficient to direct recombination, comprise a dyad-symmetric heptamer, an AT-rich nonamer and an intervening spacer region of either 12 or 23 base pairs. These signals are conserved among the different loci and species that carry out D-J (or V-J) recombination and are functionally interchangeable. See Oettinger, et al. (1990), Science, 248, 1517-1523 and references cited therein. The heptamer comprises the sequence CACAGTG or its analogue followed by a spacer of unconserved sequence and then a nonamer having the sequence ACAAAAACC or its analogue. These sequences are found on the J, or downstream side, of each V and D gene segment. Immediately preceding the germline D and J segments are again two recombination signal sequences, first the nonamer and then the heptamer again separated by an unconserved sequence. The heptameric and nonameric sequences following a V<sub>L</sub>, V<sub>H</sub> or D segment are complementary to those preceding the J<sub>L</sub>, D or J<sub>H</sub> segments with which they recombine. The spacers between the heptameric and nonameric sequences are either 12 base pairs long or between 22 and 24 base pairs long.

In addition to the rearrangement of V, D and J segments, further diversity is generated in the primary repertoire of immunoglobulin heavy and light chain by way of variable recombination between the V and J segments in the light chain and between the D and J segments of the heavy chain. Such variable recombination is generated by variation in the exact place at which such segments are joined. Such variation in the light chain typically occurs within the last codon of the V gene segment and the first codon of the J segment. Similar imprecision in joining occurs on the heavy chain chromosome between the D and J<sub>H</sub> segments and may extend over as many as 10 nucleotides. Furthermore, several nucleotides may be inserted between the D and J<sub>H</sub> and between the V<sub>H</sub> and D gene segments which are not encoded by genomic DNA. The addition of these nucleotides is known as N-region diversity.

After VJ and/or VDJ rearrangement, transcription of the rearranged variable region and one or more constant region gene segments located downstream from the rearranged variable region produces a primary RNA transcript which upon appropriate RNA splicing results in an mRNA which encodes a full length heavy or light immunoglobulin chain. Such heavy and light chains include a leader signal sequence to effect secretion through and/or insertion of the immunoglobulin into the transmembrane region of the B-cell. The DNA encoding this signal

sequence is contained within the first exon of the V segment used to form the variable region of the heavy or light immunoglobulin chain. Appropriate regulatory sequences are also present in the mRNA to control translation of the mRNA to produce the encoded heavy and light immunoglobulin polypeptides which upon proper association with each other form an antibody molecule.

The net effect of such rearrangements in the variable region gene segments and the variable recombination which may occur during such joining, is the production of a primary antibody repertoire. Generally, each B-cell which has differentiated to this stage, produces a single primary repertoire antibody. During this differentiation process, cellular events occur which suppress the functional rearrangement of gene segments other than those contained within the functionally rearranged Ig gene. The process by which diploid B-cells maintain such mono-specificity is termed allelic exclusion.

#### The Secondary Repertoire

B-cell clones expressing immunoglobulins from within the set of sequences comprising the primary repertoire are immediately available to respond to foreign antigens. Because of the limited diversity generated by simple VJ and VDJ joining, the antibodies produced by the so-called primary response are of relatively low affinity. Two different types of B-cells make up this initial response: precursors of primary antibody-forming cells and precursors of secondary repertoire B-cells (Linton et al., Cell 59:1049-1059 (1989)). The first type of B-cell matures into IgM-secreting plasma cells in response to certain antigens. The other B-cells respond to initial exposure to antigen by entering a T-cell dependent maturation pathway.

During the T-cell dependent maturation of antigen stimulated B-cell clones, the structure of the antibody molecule on the cell surface changes in two ways: the constant region switches to a non-IgM subtype and the sequence of the variable region can be modified by multiple single amino acid substitutions to produce a higher affinity antibody molecule.

As previously indicated, each variable region of a heavy or light Ig chain contains an antigen binding domain. It has been determined by amino acid and nucleic acid sequencing that somatic mutation during the secondary response occurs throughout the V region including the three complementary determining regions (CDR1, CDR2 and CDR3) also referred to as hypervariable regions 1, 2 and 3 (Kabat et al. Sequences of Proteins of Immunological Interest (1991) U.S. Department of Health and Human Services, Washington, DC, incorporated herein by reference. The CDR1 and CDR2 are located within the variable gene segment whereas the CDR3 is largely



functionally rearranged VDJ or VJ segments, contain immunoglobulin DNA sequences which are also clearly distinguishable from the endogenous immunoglobulin gene segments in the mouse.

Such differences in DNA sequence are also reflected in the amino acid sequence encoded by such human immunoglobulin transgenes as compared to those encoded by mouse B-cells. Thus, human immunoglobulin amino acid sequences may be detected in the transgenic non-human animals of the invention with antibodies specific for immunoglobulin epitopes encoded by human immunoglobulin gene segments.

Transgenic B-cells containing unrearranged transgenes from human or other species functionally recombine the appropriate gene segments to form functionally rearranged light and heavy chain variable regions. It will be readily apparent that the antibody encoded by such rearranged transgenes has a DNA and/or amino acid sequence which is heterologous to that normally encountered in the nonhuman animal used to practice the invention.

#### Unrearranged Transgenes

As used herein, an “unrearranged immunoglobulin heavy chain transgene” comprises DNA encoding at least one variable gene segment, one diversity gene segment, one joining gene segment and one constant region gene segment. Each of the gene segments of said heavy chain transgene are derived from, or has a sequence corresponding to, DNA encoding immunoglobulin heavy chain gene segments from a species not consisting of the non-human animal into which said transgene is introduced. Similarly, as used herein, an “unrearranged immunoglobulin light chain transgene” comprises DNA encoding at least one variable gene segment, one joining gene segment and at least one constant region gene segment wherein each gene segment of said light chain transgene is derived from, or has a sequence corresponding to, DNA encoding immunoglobulin light chain gene segments from a species not consisting of the non-human animal into which said light chain transgene is introduced.

Such heavy and light chain transgenes in this aspect of the invention contain the above-identified gene segments in an unrearranged form. Thus, interposed between the V, D and J segments in the heavy chain transgene and between the V and J segments on the light chain transgene are appropriate recombination signal sequences (RSS's). In addition, such transgenes also include appropriate RNA splicing signals to join a constant region gene segment with the VJ or VDJ rearranged variable region.

In order to facilitate isotype switching within a heavy chain transgene containing more than one C region gene segment, e.g. C $\mu$  and C $\gamma$ 1 from the human genome, as explained below



“switch regions” are incorporated upstream from each of the constant region gene segments and downstream from the variable region gene segments to permit recombination between such constant regions to allow for immunoglobulin class switching, e.g. from IgM to IgG. Such heavy and light immunoglobulin transgenes also contain transcription control sequences including promoter regions situated upstream from the variable region gene segments which typically contain TATA motifs. A promoter region can be defined approximately as a DNA sequence that, when operably linked to a downstream sequence, can produce transcription of the downstream sequence. Promoters may require the presence of additional linked cis-acting sequences in order to produce efficient transcription. In addition, other sequences that participate in the transcription of sterile transcripts are preferably included. Examples of sequences that participate in expression of sterile transcripts can be found in the published literature, including Rothman et al., Intl. Immunol. 2:621-627 (1990); Reid et al., Proc. Natl. Acad. Sci. USA 85:840-844 (1989); Stavnezer et al., Proc. Natl. Acad. Sci. USA 85:7704-7708 (1988); and Mills et al., Nucl. Acids Res. 18:7305-7316 (1991), each of which is incorporated herein by reference. These sequences typically include about at least 50 bp immediately upstream of a switch regions preferably about at least 200 bp upstream of a switch region; and more preferably about at least 200-1000 bp or more upstream of a switch region. Suitable sequences occur immediately upstream of the human  $S_{\gamma_1}$ ,  $S_{\gamma_2}$ ,  $S_{\gamma_3}$ ,  $S_{\gamma_4}$ ,  $S_{\alpha_1}$ ,  $S_{\alpha_2}$ , and  $S_{\epsilon}$  switch regions; the sequences immediately upstream of the human  $S_{\alpha_1}$ , and  $S_{\gamma_3}$ , switch regions can be used to advantage, with  $S_{\gamma_1}$ , generally preferred. Alternatively, or in combination, murine Ig switch sequences may be used; it may frequently be advantageous to employ Ig switch sequences of the same species as the transgenic non-human animal. Furthermore, interferon (IFN) inducible transcriptional regulatory elements, such as IFN-inducible enhancers, are preferably included immediately upstream of transgene switch sequences.

In addition to promoters, other regulatory sequences which function primarily in B-lineage cells are used. Thus, for example, a light chain enhancer sequence situated preferably between the J and constant region gene segments on the light chain transgene is used to enhance transgene expression, thereby facilitating allelic exclusion. In the case of the heavy chain transgene, regulatory enhancers are also employed. Such regulatory sequences are used to maximize the transcription and translation of the transgene so as to induce allelic exclusion and to provide relatively high levels of transgene expression.

Although the foregoing promoter and enhancer regulatory control sequences have been generically described, such regulatory sequences may be heterologous to the nonhuman animal being derived from the genomic DNA from which the heterologous transgene immunoglobulin

gene segments are obtained. Alternately, such regulatory gene segments are derived from the corresponding regulatory sequences in the genome of the non-human animal, or closely related species, which contains the heavy and light transgene.

5 In the preferred embodiments, gene segments are derived from human beings. The transgenic non-human animals harboring such heavy and light transgenes are capable of mounting an Ig-mediated immune response to a specific antigen administered to such an animal. B-cells are produced within such an animal which are capable of producing heterologous human antibody. After immortalization, and the selection for an appropriate monoclonal antibody (Mab), e.g. a hybridoma, a source of therapeutic human monoclonal antibody is provided. Such human Mabs  
10 have significantly reduced immunogenicity when therapeutically administered to humans.

Although the preferred embodiments disclose the construction of heavy and light transgenes containing human gene segments, the invention is not so limited. In this regard, it is to be understood that the teachings described herein may be readily adapted to utilize immunoglobulin gene segments from a species other than human beings. For example, in addition to the  
15 therapeutic treatment of humans with the antibodies of the invention, therapeutic antibodies encoded by appropriate gene segments may be utilized to generate monoclonal antibodies for use in the veterinary sciences.

#### Rearranged Transgenes

20 In an alternative embodiment, transgenic nonhuman animals contain functionally at least one rearranged heterologous heavy chain immunoglobulin transgene in the germline of the transgenic animal. Such animals contain primary repertoire B-cells that express such rearranged heavy transgenes. Such B-cells preferably are capable of undergoing somatic mutation when contacted with an antigen to form a heterologous antibody having high affinity and specificity for the antigen. Said rearranged transgenes will contain at least two  $C_H$  genes and the associated  
25 sequences required for isotype switching.

The invention also includes transgenes animals containing germ line cells having heavy and light transgenes wherein one of the said transgenes contains rearranged gene segments with the other containing unrearranged gene segments. In such animals, the heavy chain transgenes shall have at least two  $C_H$  genes and the associated sequences required for isotype switching.

30 The invention further includes methods for generating a synthetic variable region gene segment repertoire to be used in the transgenes of the invention. The method comprises generating a population of immunoglobulin V segment DNAs wherein each of the V segment DNAs encodes

an immunoglobulin V segment and contains at each end a cleavage recognition site of a restriction endonuclease. The population of immunoglobulin V segment DNAs is thereafter concatenated to form the synthetic immunoglobulin V segment repertoire. Such synthetic variable region heavy chain transgenes shall have at least two C<sub>H</sub> genes and the associated sequences required for isotype switching.

### Isotype Switching

In the development of a B lymphocyte, the cell initially produces IgM with a binding specificity determined by the productively rearranged V<sub>H</sub> and V<sub>L</sub> regions. Subsequently, each B cell and its progeny cells synthesize antibodies with the same L and H chain V regions, but they may switch the isotype of the H chain.

The use of  $\mu$  or  $\delta$  constant regions is largely determined by alternate splicing, permitting IgM and IgD to be coexpressed in a single cell. The other heavy chain isotypes ( $\gamma$ ,  $\alpha$ , and  $\epsilon$ ) are only expressed natively after a gene rearrangement event deletes the C $\mu$  and C $\delta$  exons. This gene rearrangement process, termed isotype switching, typically occurs by recombination between so called switch segments located immediately upstream of each heavy chain gene (except  $\delta$ ). The individual switch segments are between 2 and 10 kb in length, and consist primarily of short repeated sequences. The exact point of recombination differs far individual class switching events. Investigations which have used solution hybridization kinetics or Southern blotting with cDNA-derived C<sub>H</sub> probes have confirmed that switching can be associated with loss of C<sub>H</sub> sequences from the cell.

The switch (S) region of the  $\mu$  gene, S $\mu$  is located about 1 to 2 kb 5' to the coding sequence and is composed of numerous tandem repeats of sequences of the form (GAGCT)<sub>n</sub>(GGGGT) (SEQ ID NOS:9-24), where n is usually 2 to 5 but can range as high as 17. (See T. Nikaido et al. Nature 292:845-848 (1981))

Similar internally repetitive switch sequences spanning several kilobases have been found 5' of the other C<sub>H</sub> genes. The S $\alpha$  region has been sequenced and found to consist of tandemly repeated 80-bp homology units, whereas murine S $\gamma_{2a}$ , S $\gamma_{2b}$ , and S $\gamma_3$  all contain repeated 49-bp homology units very similar to each other. (See, P. Szurek et al., J. Immunol 135:620-626 (1985) and T. Nikaido et al., J. Biol. Chem. 257:7322-7329 (1982), which are incorporated herein by reference.) All the sequenced S regions include numerous occurrences of the pentamers GAGCT and GGGGT that are the basic repeated elements of the S $\mu$  gene (T. Nikaido et al., J. Biol. Chem.

257:7322-7329 (1982) which is incorporated herein by reference); in the other S regions these pentamers are not precisely tandemly repeated as in  $S_{\mu}$ , but instead are embedded in larger repeat units. The  $S_{\gamma_1}$  region has an additional higher-order structure: two direct repeat sequences flank each of two clusters of 49-bp tandem repeats. (See M. R. Mowatt et al., J. Immunol. **136**:2674-2683 (1986), which is incorporated herein by reference).

Switch regions of human H chain genes have been found to be very similar to their mouse homologs. Indeed, similarity between pairs of human and mouse clones 5' to the  $C_H$  genes has been found to be confined to the S regions, a fact that confirms the biological significance of these regions.

A switch recombination between  $\mu$  and  $\alpha$  genes produces a composite  $S_{\mu}$ - $S_{\alpha}$  sequence. Typically, there is no specific site, either in  $S_{\mu}$  or in any other S region, where the recombination always occurs.

Generally, unlike the enzymatic machinery of V-J recombination, the switch machinery can apparently accommodate different alignments of the repeated homologous regions of germline S precursors and then join the sequences at different positions within the alignment. (See, T. H. Rabbits et al., Nucleic Acids Res. **9**:4509-4524 (1981) and J. Ravetch et al., Proc. Natl. Acad. Sci. USA **77**:6734-6738 (1980), which are incorporated herein by reference.)

The exact details of the mechanism(s) of selective activation of switching to a particular isotype are unknown. Although exogenous influences such as lymphokines and cytokines might upregulate isotype-specific recombinases, it is also possible that the same enzymatic machinery catalyzes switches to all isotypes and that specificity lies in targeting this machinery to specific switch regions.

The T-cell-derived lymphokines IL-4 and  $IFN_{\gamma}$  have been shown to specifically promote the expression of certain isotypes: in the mouse, IL-4 decreases IgM, IgG2a, IgG2b, and IgG3 expression and increases IgE and IgG1 expression; while  $IFN_{\gamma}$  selectively stimulates IgG2a expression and antagonizes the IL-4-induced increase in IgE and IgG1 expression (Coffman et al., J. Immunol. **136**: 949 (1986) and Snapper et al., Science **236**: 944 (1987), which are incorporated herein by reference). A combination of IL-4 and IL-5 promotes IgA expression (Coffman et al., J. Immunol. **139**: 3685 (1987), which is incorporated herein by reference).

Most of the experiments implicating T-cell effects on switching have not ruled out the possibility that the observed increase in cells with particular switch recombinations might reflect selection of preswitched or precommitted cells; but the most likely explanation is that the lymphokines actually promote switch recombination.

5 Induction of class switching appears to be associated with sterile transcripts that initiate upstream of the switch segments (Lutzker et al., Mol. Cell. Biol. 8:1849 (1988); Stavnezer et al., Proc. Natl. Acad. Sci. USA 85:7704 (1988); Esser and Radbruch, EMBO J. 8:483 (1989); Berton et al., Proc. Natl. Acad. Sci. USA 86:2829 (1989); Rothman et al., Int. Immunol. 2:621 (1990), each of which is incorporated by reference). For example, the observed induction of the  $\gamma_1$  sterile  
10 transcript by IL-4 and inhibition by IFN- $\gamma$  correlates with the observation that IL-4 promotes class switching to  $\gamma_1$  in B-cells in culture, while IFN- $\gamma$  inhibits  $\gamma_1$  expression. Therefore, the inclusion of regulatory sequences that affect the transcription of sterile transcripts may also affect the rate of isotype switching. For example, increasing the transcription of a particular sterile transcript typically can be expected to enhance the frequency of isotype switch recombination involving  
15 adjacent switch sequences.

For these reasons, it is preferable that transgenes incorporate transcriptional regulatory sequences within about 1-2 kb upstream of each switch region that is to be utilized for isotype switching. These transcriptional regulatory sequences preferably include a promoter and an enhancer element, and more preferably include the 5' flanking (i.e., upstream) region that is  
20 naturally associated (i.e., occurs in germline configuration) with a switch region. This 5' flanking region is typically about at least 50 nucleotides in length, preferably about at least 200 nucleotides in length, and more preferably at least 500-1000 nucleotides.

Although a 5' flanking sequence from one switch region can be operably linked to a different switch region for transgene construction (e.g., a 5' flanking sequence from the human  $S_{\gamma_1}$  switch can be grafted immediately upstream of the  $S_{\alpha_1}$  switch; a murine  $S_{\gamma_1}$  flanking region can be  
25 grafted adjacent to a human  $\gamma_1$  switch sequence; or the murine  $S_{\gamma_1}$  switch can be grafted onto the human  $\gamma_1$  coding region), in some embodiments it is preferred that each switch region incorporated in the transgene construct have the 5' flanking region that occurs immediately upstream in the naturally occurring germline configuration.

## Monoclonal Antibodies

Monoclonal antibodies can be obtained by various techniques familiar to those skilled in the art. Briefly, spleen cells from an animal immunized with a desired antigen are immortalized, commonly by fusion with a myeloma cell (see, Kohler and Milstein, Bur. J. Immunol., 6:511-519 (1976)). Alternative methods of immortalization include transformation with Epstein Barr Virus, oncogenes, or retroviruses, or other methods well known in the art. Colonies arising from single immortalized cells are screened for production of antibodies of the desired specificity and affinity for the antigen, and yield of the monoclonal antibodies produced by such cells may be enhanced by various techniques, including injection into the peritoneal cavity of a vertebrate host. Various techniques useful in these arts are discussed, for example, in Harlow and Lane, Antibodies: A Laboratory Manual, Cold Spring Harbor, New York (1988) including: immunization of animals to produce immunoglobulins; production of monoclonal antibodies; labeling immunoglobulins for use as probes; immunoaffinity purification; and immunoassays.

### The Transgenic Primary Repertoire

#### 15 A. The Human Immunoglobulin Loci

An important requirement for transgene function is the generation of a primary antibody repertoire that is diverse enough to trigger a secondary immune response for a wide range of antigens. The rearranged heavy chain gene consists of a signal peptide exon, a variable region exon and a tandem array of multi-domain constant region regions, each of which is encoded by several exons. Each of the constant region genes encode the constant portion of a different class of immunoglobulins. During B-cell development, V region proximal constant regions are deleted leading to the expression of new heavy chain classes. For each heavy chain class, alternative patterns of RNA splicing give rise to both transmembrane and secreted immunoglobulins.

The human heavy chain locus is estimated to consist of approximately 200 V gene segments (current data supports the existence of about 50-100 V gene segments) spanning 2 Mb, approximately 30 D gene segments spanning about 40 kb, six J segments clustered within a 3 kb span, and nine constant region gene segments spread out over approximately 300 kb. The entire locus spans approximately 2.5 Mb of the distal portion of the long arm of chromosome 14.

#### B. Gene Fragment Transgenes

##### 30 1. Heavy Chain Transgene

In a preferred embodiment, immunoglobulin heavy and light chain transgenes comprise unrearranged genomic DNA from humans. In the case of the heavy chain, a preferred transgene



In addition to forming genomic immunoglobulin transgenes, in vivo homologous recombination may also be utilized to form “mini-locus” transgenes as described in the Examples.

In the preferred embodiments utilizing in vivo transgene construction, each overlapping DNA fragment preferably has an overlapping substantially homologous DNA sequence between the end portion of one DNA fragment and the end portion of a second DNA fragment. Such overlapping portions of the DNA fragments preferably comprise about 500 bp to about 2000 bp, most preferably 1.0 kb to 2.0 kb. Homologous recombination of overlapping DNA fragments to form transgenes in vivo is further described in commonly assigned U.S. Patent Application entitled “Intracellular Generation of DNA by Homologous Recombination of DNA Fragments” filed August 29, 1990, under U.S.S.N. 07/574,747.

#### D. Minilocus Transgenes

As used herein, the term “immunoglobulin minilocus” refers to a DNA sequence (which may be within a longer sequence), usually of less than about 150 kb, typically between about 25 and 100 kb, containing at least one each of the following: a functional variable (V) gene segment, a functional joining (J) region segment, at least one functional constant (C) region gene segment, and - - if it is a heavy chain minilocus - - a functional diversity (D) region segment, such that said DNA sequence contains at least one substantial discontinuity (e.g., a deletion, usually of at least about 2 to 5 kb, preferably 10-25 kb or more, relative to the homologous genomic DNA sequence). A light chain minilocus transgene will be at least 25 kb in length, typically 50 to 60 kb. A heavy chain transgene will typically be about 70 to 80 kb in length, preferably at least about 60 kb with two constant regions operably linked to switch regions. Furthermore, the individual elements of the minilocus are preferably in the germline configuration and capable of undergoing gene rearrangement in the pre-B cell of a transgenic animal so as to express functional antibody molecules with diverse antigen specificities encoded entirely by the elements of the minilocus. Further, a heavy chain minilocus comprising at least two  $C_H$  genes and the requisite switching sequences is typically capable of undergoing isotype switching, so that functional antibody molecules of different immunoglobulin classes will be generated. Such isotype switching may occur in vivo in B-cells residing within the transgenic nonhuman animal, or may occur in cultured cells of the B-cell lineage which have been explanted from the transgenic nonhuman animal.

In an alternate preferred embodiment, immunoglobulin heavy chain transgenes comprise one or more of each of the  $V_H$ , D, and  $J_H$  gene segments and two or more of the  $C_H$  genes. At least one of each appropriate type gene segment is incorporated into the minilocus transgene. With regard to the  $C_H$  segments for the heavy chain transgene, it is preferred that the transgene contain



at least one  $\mu$  gene segment and at least one other constant region gene segment, more preferably a  $\gamma$  gene segment, and most preferably  $\gamma 3$  or  $\gamma 1$ . This preference is to allow for class switching between IgM and IgG forms of the encoded immunoglobulin and the production of a secretable form of high affinity non-IgM immunoglobulin. Other constant region gene segments may also be used such as those which encode for the production of IgD, IgA and IgE.

Those skilled in the art will also construct transgenes wherein the order of occurrence of heavy chain  $C_H$  genes will be different from the naturally-occurring spatial order found in the germline of the species serving as the donor of the  $C_H$  genes.

Additionally, those skilled in the art can select  $C_H$  genes from more than one individual of a species (e.g., allogeneic  $C_H$  genes) and incorporate said genes in the transgene as supernumerary  $C_H$  genes capable of undergoing isotype switching; the resultant transgenic nonhuman animal may then, in some embodiments, make antibodies of various classes including all of the allotypes represented in the species from which the transgene  $C_H$  genes were obtained.

Still further, those skilled in the art can select  $C_H$  genes from different species to incorporate into the transgene. Functional switch sequences are included with each  $C_H$  gene, although the switch sequences used are not necessarily those which occur naturally adjacent to the  $C_H$  gene. Interspecies  $C_H$  gene combinations will produce a transgenic nonhuman animal which may produce antibodies of various classes corresponding to  $C_H$  genes from various species. Transgenic nonhuman animals containing interspecies  $C_H$  transgenes may serve as the source of B-cells for constructing hybridomas to produce monoclonals for veterinary uses.

The heavy chain J region segments in the human comprise six functional J segments and three pseudo genes clustered in a 3 kb stretch of DNA. Given its relatively compact size and the ability to isolate these segments together with the  $\mu$  gene and the 5' portion of the  $\delta$  gene on a single 23 kb SFiI/SpeI fragment (Sado et al., Biochem. Biophys. Res. Comm. 154:264271 (1988), which is incorporated herein by reference), it is preferred that all of the J region gene segments be used in the mini-locus construct. Since this fragment spans the region between the  $\mu$  and  $\delta$  genes, it is likely to contain all of the 3' cis-linked regulatory elements required for  $\mu$  expression. Furthermore, because this fragment includes the entire J region, it contains the heavy chain enhancer and the  $\mu$  switch region (Mills et al., Nature 306:809 (1983); Yancopoulos and Alt, Ann. Rev. Immunol. 4:339-368 (1986), which are incorporated herein by reference). It also contains the transcription start sites which trigger VDJ joining to form primary repertoire B-cells (Yancopoulos and Alt, Cell 40:271-281 (1985), which is incorporated herein by reference).

Alternatively, a 36 kb BssHII/SpeI1 fragment, which includes part on the D region, may be used in place of the 23 kb SfiI/SpeI1 fragment. The use of such a fragment increases the amount of 5' flanking sequence to facilitate efficient D-to-J joining.

The human D region consists of 4 homologous 9 kb subregions, linked in tandem (Siebenlist, et al. (1981), Nature, 294, 631-635). Each subregion contains up to 10 individual D segments. Some of these segments have been mapped and are shown in Fig. 4. Two different strategies are used to generate a mini-locus D region. The first strategy involves using only those D segments located in a short contiguous stretch of DNA that includes one or two of the repeated D subregions. A candidate is a single 15 kb fragment that contains 12 individual D segments. This piece of DNA consists of 2 contiguous EcoRI fragments and has been completely sequenced (Ichihara, et al. (1988), EMBO J., 7, 4141-4150). Twelve D segments should be sufficient for a primary repertoire. However, given the dispersed nature of the D region, an alternative strategy is to ligate together several non-contiguous D-segment containing fragments, to produce a smaller piece of DNA with a greater number of segments. Additional D-segment genes can be identified, for example, by the presence of characteristic flanking nonamer and heptamer sequences, supra, and by reference to the literature.

At least one, and preferably more than one V gene segment is used to construct the heavy chain minilocus transgene. Rearranged or unrearranged V segments with or without flanking sequences can be isolated as described in copending applications, U.S.S.N. 07/574,748 filed August 29, 1990, PCT/U591/06185 filed August 28, 1991, and U.S.S.N. 07/810,279 filed December 17, 1991, each of which is incorporated herein by reference.

Rearranged or unrearranged V segments, D segments, J segments, and C genes, with or without flanking sequences, can be isolated as described in copending applications U.S.S.N. 07/574,748 filed August 29, 1990 and PCT/US91/06185 filed August 28, 1991.

A minilocus light chain transgene may be similarly constructed from the human  $\lambda$  or  $\kappa$  immunoglobulin locus. Thus, for example, an immunoglobulin heavy chain minilocus transgene construct, e.g., of about 75 kb, encoding V, D, J and constant region sequences can be formed from a plurality of DNA fragments, with each sequence being substantially homologous to human gene sequences. Preferably, the sequences are operably linked to transcription regulatory sequences and are capable of undergoing rearrangement. With two or more appropriately placed constant region sequences (e.g.,  $\mu$  and  $\gamma$ ) and switch regions, switch recombination also occurs. An exemplary light chain transgene construct can be formed similarly from a plurality of DNA





T segments typically include S' flanking sequences that are adjacent to naturally occurring (i.e., germline) switch regions. T segments typically at least about at least 50 nucleotides in length, preferably about at least 200 nucleotides in length, and more preferably at least 500-1000 nucleotides in length. Preferably T segments are 5' flanking sequences that occur immediately upstream of human or murine switch regions in a germline configuration. It is also evident to those of skill in the art that T segments may comprise cis-acting transcriptional regulatory sequences that do not occur naturally in an animal germline (e.g., viral enhancers and promoters such as those found in SV40, adenovirus, and other viruses that infect eukaryotic cells).

C<sub>2</sub> segments are typically a  $\gamma_1$ ,  $\gamma_2$ ,  $\gamma_3$ ,  $\gamma_4$ ,  $\alpha_1$ ,  $\alpha_2$  or  $\epsilon$  C<sub>H</sub> gene, preferably a human C<sub>H</sub> gene of these isotypes, and more preferably a human  $\gamma_1$  or  $\gamma_3$  gene. Murine  $\gamma_{2a}$  and  $\gamma_{2b}$  may also be used, as may downstream (i.e., switched) isotype genes from various species. Where the heavy chain transgene contains an immunoglobulin heavy chain minilocus, the total length of the transgene will be typically 150 kilo basepairs or less,

In general, the transgene will be other than a native heavy chain Ig locus. Thus, for example, deletion of unnecessary regions or substitutions with corresponding regions from other species will be present.

#### F. Methods for Determining Functional Isotype Switching in Ig Transgenes

The occurrence of isotype switching in a transgenic nonhuman animal may be identified by any method known to those in the art. Preferred embodiments include the following, employed either singly or in combination:

1. detection of mRNA transcripts that contain a sequence homologous to at least one transgene downstream C<sub>H</sub> gene other than  $\delta$  and an adjacent sequence homologous to a transgene V<sub>H</sub>-D<sub>H</sub>-J<sub>H</sub> rearranged gene; such detection may be by Northern hybridization, S<sub>1</sub> nuclease protection assays, PCR amplification, cDNA cloning, or other methods;

2. detection in the serum of the transgenic animal, or in supernatants of cultures of hybridoma cells made from B-cells of the transgenic animal, of immunoglobulin proteins encoded by downstream C<sub>H</sub> genes, where such proteins can also be shown by immunochemical methods to comprise a functional variable region;

3. detection, in DNA from B-cells of the transgenic animal or in genomic DNA from hybridoma cells, of DNA rearrangements consistent with the occurrence of isotype switching in the



that contains an altered, copy of a mouse immunoglobulin gene is introduced into the nuclei of embryonic stem cells. In a portion of the cells, the introduced DNA recombines with the endogenous copy of the mouse gene, replacing it with the altered copy. Cells containing the newly engineered genetic lesion are injected into a host mouse embryo, which is reimplanted into a recipient female. Some of these embryos develop into chimeric mice that possess germ cells entirely derived from the mutant cell line. Therefore, by breeding the chimeric mice it is possible to obtain a new line of mice containing the introduced genetic lesion (reviewed by Capecchi (1989), Science, 244, 1288-1292).

Because the mouse  $\lambda$  locus contributes to only 5% of the immunoglobulins, inactivation of the heavy chain and/or  $\kappa$ -light chain loci is sufficient. There are three ways to disrupt each of these loci, deletion of the J region, deletion of the J-C intron enhancer, and disruption of constant region coding sequences by the introduction of a stop codon. The last option is the most straightforward, in terms of DNA construct design. Elimination of the  $\mu$  gene disrupts B-cell maturation thereby preventing class switching to any of the functional heavy chain segments. The strategy for knocking out these loci is outlined below.

To disrupt the mouse  $\mu$  and  $\kappa$  genes, targeting vectors are used based on the design employed by Jaenisch and co-workers (Zijlstra, et al. (1989), Nature, 342, 435-438) for the successful disruption of the mouse  $\beta$ 2-microglobulin gene. The neomycin resistance gene (neo), from the plasmid pMCIneo is inserted into the coding region of the target gene. The pMCIneo insert uses a hybrid viral promoter/enhancer sequence to drive neo expression. This promoter is active in embryonic stem cells. Therefore, neo can be used as a selectable marker for integration of the knock-out construct. The HSV thymidine kinase (tk) gene is added to the end of the construct as a negative selection marker against random insertion events (Zijlstra, et al., supra).

A preferred strategy for disrupting the heavy chain locus is the elimination of the J region. This region is fairly compact in the mouse, spanning only 1.3 kb. To construct a gene targeting vector, a 15 kb KpnI fragment containing all of the secreted A constant region exons from mouse genomic library is isolated. The 1.3 kb J region is replaced with the 1.1 kb insert from pMCIneo. The HSV tk gene is then added to the 5' end of the KpnI fragment. Correct integration of this construct, via homologous recombination, will result in the replacement of the mouse  $J_H$  region with the neo gene. Recombinants are screened by PCR, using a primer based on the neo gene and a primer homologous to mouse sequences 5' of the KpnI site in the D region.

Alternatively, the heavy-chain locus is knocked out by disrupting the coding region of the  $\mu$  gene. This approach involves the same 15 kb KpnI fragment used in the previous approach. The 1.1 kb insert from pMCIneo is inserted at a unique BamHI site in exon II, and the HSV tk gene added to the 3' KpnI end. Double crossover events on either side of the neo insert, that eliminate the tk gene, are then selected for. These are detected from pools of selected clones by PCR amplification. One of the PCR primers is derived from neo sequences and the other from mouse sequences outside of the targeting vector. The functional disruption of the mouse immunoglobulin loci is presented in the Examples.

#### G. Suppressing Expression of Endogenous Immunoglobulin Loci

In addition to functional disruption of endogenous Ig loci, an alternative method for preventing the expression of an endogenous Ig locus is suppression. Suppression of endogenous Ig genes may be accomplished with antisense RNA produced from one or more integrated transgenes, by antisense oligonucleotides, and/or by administration of antisera specific for one or more endogenous Ig chains.

#### Antisense Polynucleotides

Antisense RNA transgenes can be employed to partially or totally knock-out expression of specific genes (Pepin et al. (1991) Nature 355: 725; Helene, C. and Toulme, J. (1990) Biochimica Biophys. Acta 1049: 99; Stout, J. and Caskey, T. (1990) Somat. Cell Mol. Genet. 16: 369; Munir et al. (1990) Somat. Cell Mol. Genet. 16: 383, each of which is incorporated herein by reference).

“Antisense polynucleotides” are polynucleotides that: (1) are complementary to all or part of a reference sequence, such as a sequence of an endogenous Ig C<sub>H</sub> or C<sub>L</sub> region, and (2) which specifically hybridize to a complementary target sequence, such as a chromosomal gene locus or a Ig mRNA. Such complementary antisense polynucleotides may include nucleotide substitutions, additions, deletions, or transpositions, so long as specific hybridization to the relevant target sequence is retained as a functional property of the polynucleotide. Complementary antisense polynucleotides include soluble antisense RNA or DNA oligonucleotides which can hybridize specifically to individual mRNA species and prevent transcription and/or RNA processing of the mRNA species and/or translation of the encoded polypeptide (Ching et al., Proc. Natl. Acad. Sci. U.S.A. 86:10006-10010 (1989); Broder et al., Ann. Int. Med. 113:604-618 (1990); Loreau et al., FEBS Letters 274:53-56 (1990); Holcenberg et al., WO91/11535; U.S.S.N. 07/530,165 (“New human CRIPTO gene”); WO91/09865; WO91/04753; WO90/13641; and EP 386563, each of which is incorporated herein by reference). An antisense sequence is a polynucleotide sequence









In one variation, a suppression antibody that specifically binds to a predetermined endogenous immunoglobulin chain is used for ex vivo/in vitro depletion of lymphocytes expressing an endogenous immunoglobulin. A cellular explant (e.g., lymphocyte sample) from a transgenic nonhuman animal harboring a human immunoglobulin transgene is contacted with a suppression antibody and cells specifically binding to the suppression antibody are depleted (e.g., by immobilization, complement fixation, and the like), thus generating a cell subpopulation depleted in cells expressing endogenous (nonhuman) immunoglobulins (e.g., lymphocytes expressing murine Ig). The resultant depleted lymphocyte population (T cells, human Ig-positive B-cells, etc.) can be transferred into a immunocompatible (i.e., MHC-compatible) nonhuman animal of the same species and which is substantially incapable of producing endogenous antibody (e.g., SCID mice, RAG-1-or RAG-2 knockout mice). The reconstituted animal (mouse) can then be immunized with an antigen (or reimmunized with an antigen used to immunize the donor animal from which the explant was obtained) to obtain high-affinity (affinity matured) antibodies and B-cells producing such antibodies. Such B-cells may be used to generate hybridomas by conventional cell fusion and screened. Antibody suppression can be used in combination with other endogenous Ig inactivation/suppression methods (e.g., J<sub>H</sub> knockout, C<sub>H</sub> knockout, D-region ablation, antisense suppression, compensated frameshift inactivation).

### Complete Endogenous Ig Locus Inactivation

In certain embodiments, it is desirable to effect complete inactivation of the endogenous Ig loci so that by immunoglobulin chains comprising a human variable region and a non-human (e.g., murine) constant region cannot be formed (e.g., by trans-switching between the transgene and endogenous Ig sequences). Knockout mice bearing endogenous heavy chain alleles which are functionally disrupted in the J<sub>H</sub> region only frequently exhibit trans-switching, typically wherein a rearranged human variable region (VDJ) encoded by a transgene is expressed as a fusion protein linked to an endogenous murine constant region, although other trans-switched junctions are possible. To overcome this potential problem it is generally desirable to completely inactivate the endogenous heavy chain locus by any of various methods, including but not limited to the following: (1) functional disrupting and/or deleting by homologous recombination at least one and preferably all of the endogenous heavy chain constant region genes, (2) mutating at least one and preferably all of the endogenous heavy chain constant region genes to encode a termination codon (or frameshift) to produce a truncated or frameshifted product (if trans-switched), a other methods and strategies apparent to those of skill in art. Deletion of a substantial portion or all of the heavy chain constant region genes and/or D-region genes may be accomplished by various methods, including sequential deletion by homologous recombination targeting vectors, especially of the

“hit-and-run” type and the like. Similarly, functional disruption and/or deletion of at least one endogenous light chain locus (e.g.,  $\kappa$ ) to ablate endogenous light chain constant region genes is often preferable.

5 Frequently, it is desirable to employ a frameshifted transgene wherein the heterologous transgene comprises a frameshift in the J segment(s) and a compensating frameshift (i.e., to regenerate the original reading frame) in the initial region (i.e., amino-terminal coding portion) of one or more (preferably all) of the transgene constant region genes. Trans-switching to an endogenous IgH locus constant gene (which does not comprise a compensating frameshift) will result in a truncated or missense product that results in the trans-switched B cell being deleted or  
10 non-selected, thus suppressing the trans-switched phenotype.

Antisense suppression and antibody suppression may also be used to effect a substantially complete functional inactivation of endogenous Ig gene product expression (e.g., murine heavy and light chain sequences) and/or trans-switched antibodies (e.g., human variable/murine constant chimeric antibodies).

15 Various combinations of the inactivation and suppression strategies may be used to effect essentially total suppression of endogenous (e.g., murine) Ig chain expression.

### Trans-Switching

In some variations, it may be desirable to produce a trans-switched immunoglobulin. For example, such trans-switched heavy chains can be chimeric (i.e., a non-murine (human) variable  
20 region and a murine constant region). Antibodies comprising such chimeric trans-switched immunoglobulins can be used for a variety of applications where it is desirable to have a non-human (e.g., murine) constant region (e.g., for retention of effector functions in the host, for the presence of murine immunological determinants such as for binding of a secondary antibody which does not bind human constant regions). For one example, a human variable region repertoire may  
25 possess advantages as compared to the murine variable region repertoire with respect to certain antigens. Presumably the human  $V_H$ , D,  $J_H$ ,  $V_L$ , and  $J_L$  genes have been selected for during evolution for their ability to encode immunoglobulins that bind certain evolutionarily important antigens; antigens which provided evolutionary selective pressure for the murine repertoire can be distinct from those antigens which provided evolutionary pressure to shape the human repertoire.  
30 Other repertoire advantages may exist, making the human variable region repertoire advantageous when combined with a murine constant region (e.g., a trans-switched murine) isotype. The presence of a murine constant region can afford advantages over a human constant region. For

example, a murine  $\gamma$  constant region linked to a human variable region by trans-switching may provide an antibody which possesses murine effector functions (e.g., ADCC, murine complement fixation) so that such a chimeric antibody (preferably monoclonal) which is reactive with a predetermined antigen (e.g., human IL-2 receptor) may be tested in a mouse disease model, such as a mouse model of graft-versus-host disease wherein the T lymphocytes in the mouse express a functional human IL-2 receptor. Subsequently, the human variable region encoding sequence may be isolated (e.g., by PCR amplification or cDNA cloning from the source hybridoma clone) and spliced to a sequence encoding a desired human constant region to encode a human sequence antibody more suitable for human therapeutic uses where immunogenicity is preferably minimized. The polynucleotide(s) having the resultant fully human encoding sequence(s) can be expressed in a host cell (e.g., from an expression vector in a mammalian cell) and purified for pharmaceutical formulation. For some applications, the chimeric antibodies may be used directly without replacing the murine constant region with a human constant region. Other variations and uses of trans-switched chimeric antibodies will be evident to those of skill in the art.

The present invention provides transgenic nonhuman animals containing B lymphocytes which express chimeric antibodies, generally resulting from trans-switching between a human heavy chain transgene and an endogenous murine heavy chain constant region gene. Such chimeric antibodies comprise a human sequence variable region and a murine constant region, generally a murine switched (i.e., non- $\mu$ , non- $\delta$ ) isotype. The transgenic nonhuman animals capable of making chimeric antibodies to a predetermined antigen are usually also competent to make fully human sequence antibodies if both human heavy chain and human light chain transgenes encoding human variable and human constant region genes are integrated. Most typically, the animal is homozygous for a functionally disrupted heavy chain locus and/or light chain locus but retains one or more endogenous heavy chain constant region gene(s) capable of trans-switching (e.g.,  $\gamma$ ,  $\alpha$ ,  $\epsilon$ ) and frequently retains a cis-linked enhancer. Such a mouse is immunized with a predetermined antigen, usually in combination with an adjuvant, and an immune response comprising a detectable amount of chimeric antibodies comprising heavy chains composed of human sequence variable regions linked to murine constant region sequences is produced. Typically, the serum of such an immunized animal can comprise such chimeric antibodies at concentrations of about at least 1  $\mu\text{g/ml}$ , often about at least 10  $\mu\text{g/ml}$ , frequently at least 30  $\mu\text{g/ml}$ , and up to 50 to 100  $\mu\text{g/ml}$ , or more. The antiserum containing antibodies comprising chimeric human variable/mouse constant region heavy chains typically also comprises antibodies which comprise human variable/human constant region (complete human sequence) heavy chains. Chimeric trans-switched antibodies usually comprise (1) a chimeric heavy chain

composed of a human variable region and a murine constant region (typically a murine gamma) and (2) a human transgene-encoded light chain (typically kappa) or a murine light chain (typically lambda in a kappa knockout background). Such chimeric trans-switched antibodies generally bind to a predetermined antigen (e.g., the immunogen) with an affinity of about at least  $1 \times 10^7 \text{ M}^{-1}$ , preferably with an affinity of about at least  $5 \times 10^7 \text{ M}^{-1}$ , more preferably with an affinity of at least  $1 \times 10^8 \text{ M}^{-1}$  to  $1 \times 10^9 \text{ M}^{-1}$  or more. Frequently, the predetermined antigen is a human protein, such as for example a human cell surface antigen (e.g., CD4, CD8, IL-8, IL-2 receptor, EGF receptor, PDGF receptor), other human biological macromolecule (e.g., thrombomodulin, protein C, carbohydrate antigen, sialyl Lewis antigen, L-selectin), or nonhuman disease associated macromolecule (e.g., bacterial LPS, virion capsid protein or envelope glycoprotein) and the like.

The invention provides transgenic nonhuman animals comprising a genome comprising: (1) a homozygous functionally disrupted endogenous heavy chain locus comprising at least one murine constant region gene capable of trans-switching (e.g., in cis linkage to a functional switch recombination sequence and typically to a functional enhancer), (2) a human heavy chain transgene capable of rearranging to encode and express a functional human heavy chain variable region and capable of trans-switching (e.g., having a cis-linked RSS); optionally further comprising (3) a human light chain (e.g., kappa) transgene capable of rearranging to encode a functional human light chain variable region and expressing a human sequence light chain; optionally further comprising (4) a homozygous functionally disrupted endogenous light chain locus ( $\kappa$ , preferably  $\kappa$  and  $\lambda$ ); and optionally further comprising (5) a serum comprising an antibody comprising a chimeric heavy chain composed of a human sequence variable region encoded by a human transgene and a murine constant region sequence encoded by an endogenous murine heavy chain constant region gene e.g.,  $\gamma 1$ ,  $\gamma 2a$ ,  $\gamma 2b$ ,  $\gamma 3$ ).

Such transgenic mice may further comprise a serum comprising chimeric antibodies which bind a predetermined human antigen (e.g., CD4, CD8, CEA) with an affinity of about at least  $1 \times 10^4 \text{ M}^{-1}$ , preferably with an affinity of about at least  $5 \times 10^4 \text{ M}^{-1}$ , more preferably with an affinity of at least  $1 \times 10^7 \text{ M}^{-1}$  to  $1 \times 10^9 \text{ M}^{-1}$  or more. Frequently, hybridomas can be made wherein the monoclonal antibodies produced thereby have an affinity of at least  $8 \times 10^7 \text{ M}^{-1}$ . Chimeric antibodies comprising a heavy chain composed of a murine constant region and a human variable region, often capable of binding to a nonhuman antigen, may also be present in the serum or as an antibody secreted from a hybridoma.

In some variations, it is desirable to generate transgenic mice which have inactivated endogenous mouse heavy chain loci which retain intact heavy chain constant region genes, and

which have a human heavy chain transgene capable of trans-switching, and optionally also have a human light chain transgene, optionally with one or more inactivated endogenous mouse light chain loci. Such mice may advantageously produce B cells capable of alternatively expressing antibodies, comprising fully human heavy chains and antibodies comprising chimeric (human variable/mouse constant) heavy chains, by trans-switching. The serum of said mice would contain antibodies comprising fully human heavy chains and antibodies comprising chimeric (human variable/mouse constant) heavy chains, preferably in combination with fully human light chains. Hybridomas can be generated from the B cells of said mice.

Generally, such chimeric antibodies can be generated by trans-switching, wherein a human transgene encoding a human variable region (encoded by productive V-D-J rearrangement in vivo) and a human constant region, typically human  $\mu$ , undergoes switch recombination with a non-transgene immunoglobulin constant gene switch sequence (RSS) thereby operably linking the transgene-encoded human variable region with a heavy chain constant region which is not encoded by said transgene, typically an endogenous murine immunoglobulin heavy chain constant region or a heterologous (e.g., human) heavy chain constant region encoded on a second transgene. Whereas cis-switching refers to isotype-switching by recombination of RSS elements within a transgene, trans-switching involves recombination between a transgene RSS and an RSS element outside the transgene, often on a different chromosome than the chromosome which harbors the transgene.

Trans-switching generally occurs between an RSS of an expressed transgene heavy chain constant region gene and either an RSS of an endogenous murine constant region gene (of a non- $\mu$  isotype, typically  $\gamma$ ) or an RSS of a human constant region gene contained on a second transgene, often integrated on a separate chromosome.

When trans-switching occurs between an RSS of a first, expressed transgene heavy chain constant region gene (e.g.,  $\mu$  and an RSS of a human heavy chain constant region gene contained on a second transgene, a non-chimeric antibody having a substantially fully human sequence is produced. For example and not limitation, a polynucleotide encoding a human heavy chain constant region (e.g.,  $\gamma 1$ ) and an operably linked RSS (e.g., a  $\gamma 1$  RSS) can be introduced (e.g., transfected) into a population of hybridoma cells generated from a transgenic mouse B-cell (or B cell population) expressing an antibody comprising a transgene-encoded human  $\mu$  chain. The resultant hybridoma cells can be selected for the presence of the introduced polynucleotide and/or for the expression of trans-switched antibody comprising a heavy chain having the variable region



(idiotype/antigen reactivity) of the human  $\mu$  chain and having the constant region encoded by the introduced polynucleotide sequence (e.g., human  $\gamma 1$ ). Trans-switch recombination between the RSS of the transgene-encoded human  $\mu$  chain and the RSS of the introduced polynucleotide encoding a downstream isotype (e.g.,  $\gamma 1$ ) thereby can generate a trans-switched antibody.

5           The invention also provides a method for producing such chimeric trans-switched antibodies comprising the step of immunizing with a predetermined antigen a transgenic mouse comprising a genome comprising: (1) a homozygous functionally disrupted endogenous heavy chain locus comprising at least one murine constant region gene capable of trans-switching (e.g.,  $\gamma 2a$ ,  $\gamma 2b$ ,  $\gamma 1$ ,  $\gamma 3$ ), (2) a human heavy chain transgene capable of rearranging to encode a  
10 functional human heavy chain variable region and expressing a human sequence heavy chain and capable of undergoing isotype switching (and/or trans-switching), and optionally further comprising (3) a human light chain (e.g., kappa) transgene capable of rearranging to encode a functional human light (e.g., kappa) chain variable region and expressing a human sequence light chain, and optionally further comprising (4) a homozygous functionally disrupted endogenous light  
15 chain locus (typically  $\kappa$ , preferably both  $\kappa$  and  $\lambda$ ), and optionally further comprising (5) a serum comprising an antibody comprising a chimeric heavy chain composed of a human sequence variable region encoded by a human transgene and a murine constant region sequence encoded by an endogenous murine heavy chain constant region gene (e. g.,  $\gamma 1$ ,  $\gamma 2a$ ,  $\gamma 2b$ ,  $\gamma 3$ ).

#### Affinity Tagging: Selecting for Switched Isotypes

20           Advantageously, trans-switching (and cis-switching) is associated with the process of somatic mutation. Somatic mutation expands the range of antibody affinities encoded by clonal progeny of a B-cell. For example, antibodies produced by hybridoma cells which have undergone switching (trans- or cis-) represent a broader range of antigen-binding affinities than is present in hybridoma cells which have not undergone switching. Thus, a hybridoma cell population  
25 (typically clonal) which expresses a first antibody comprising a heavy chain comprising a first human heavy chain variable region in polypeptide linkage to a first human heavy chain constant region (e.g.,  $\mu$ ) can be screened for hybridoma cell clonal variants which express an antibody comprising a heavy chain containing said first human heavy chain variable region in polypeptide linkage to a second heavy chain constant region (e.g., a human  $\gamma$ ,  $\alpha$ , or  $\epsilon$  constant region). Such  
30 clonal variants can be produced by natural clonal variation producing cis-switching in vitro, by induction of class switching (trans- or cis-) as through the administration of agents that promote isotype switching, such as T-cell-derived lymphokines (e.g., IL-4 and IFN $\gamma$ ), by introduction of a

polynucleotide comprising a functional RSS and a heterologous (e.g. human) heavy chain constant region gene to serve as a substrate for trans-switching, or by a combination of the above, and the like. Often, polynucleotides containing a human downstream isotype constant region (e.g.,  $\gamma 1$ ,  $\gamma 3$ , and the like) with an operably linked RSS will also be introduced into hybridoma cells to promote isotype switching via the trans-switch mechanism.

Class switching and affinity maturation take place within the same population of B cells derived from transgenic animals of the present invention. Therefore, identification of class-switched B cells (or hybridomas derived therefrom) can be used as a screening step for obtaining high affinity monoclonal antibodies. A variety of approaches can be employed to facilitate class switching events such as cis-switching (intratransgene switching), trans-switching, or both. For example, a single continuous human genomic fragment comprising both  $\mu$  and  $\gamma$  constant region genes with the associated RSS elements and switch regulatory elements (e.g., sterile transcript promoter) can be used as a transgene. However, some portions of the desired single contiguous human genomic fragment can be difficult to clone efficiently, such as due to instability problems when replicated in a cloning host or the like; in particular, the region between  $\delta$  and  $\gamma 3$  can prove difficult to clone efficiently, especially as a contiguous fragment comprising the  $\mu$  gene,  $\gamma 3$  gene, a V gene, D gene segments, and J gene segments.

Also for example, a discontinuous human transgene (minigene) composed of a human  $\mu$  gene, human  $\gamma 3$  gene, a human V gene(s), human D gene segments, and human J gene segments, with one or more deletions of an intervening (intronic) or otherwise nonessential sequence (e.g., one or more V, D, and/or J segment and/or one or more non- $\mu$  constant region gene(s)). Such minigenes have several advantages as compared to isolating a single contiguous segment of genomic DNA spanning all of the essential elements for efficient immunoglobulin expression and switching. For example, such a minigene avoids the necessity of isolating large pieces of DNA which may contain sequences which are difficult to clone (e.g., unstable sequences, poison sequences, and the like). Moreover, miniloci comprising elements necessary for isotype switching (e.g., human  $\gamma$  sterile transcript promoter) for producing cis- or trans-switching, can advantageously undergo somatic mutation and class switching *in vivo*. As many eukaryotic DNA sequences can prove difficult to clone, omitting non-essential sequences can prove advantageous.

In a variation, hybridoma clones producing antibodies having high binding affinity (e.g., at least  $1 \times 10^7 \text{ m}^{-1}$ , preferably at least  $1 \times 10^8 \text{ M}^{-1}$ , more preferably at least  $1 \times 10^9 \text{ M}^{-1}$  or greater) are obtained by selecting, from a pool of hybridoma cells derived from B cells of transgenic mice

5 harboring a human heavy chain transgene capable of isotype switching (*see, supra*) and substantially lacking endogenous murine heavy chain loci capable of undergoing productive (in-frame) V-D-J rearrangement, hybridomas which express an antibody comprising a heavy chain comprising a human sequence heavy chain variable region in polypeptide linkage to a human (or  
10 mouse) non- $\mu$  heavy chain constant region; said antibodies are termed "switched antibodies" as they comprise a "switched heavy chain" which is produced as a consequence of cis-switching and/or trans-switching *in vivo* or in cell culture. Hybridomas producing switched antibodies generally have undergone the process of somatic mutation, and a pool of said hybridomas will generally have a broader range of antigen binding affinities from which hybridoma clones secreting  
15 high affinity antibodies can be selected. Typically, hybridomas secreting a human sequence antibody having substantial binding affinity (greater than  $1 \times 10^7 \text{ M}^{-1}$  to  $1 \times 10^8 \text{ M}^{-1}$ ) for a predetermined antigen and wherein said human sequence antibody comprises human immunoglobulin variable region(s) can be selected by a method comprising a two-step process. One step is to identify and isolate hybridoma cells which secrete immunoglobulins which comprise  
20 a switched heavy chain (e.g., by binding hybridoma cells to an immobilized immunoglobulin which specifically binds a switched heavy chain and does not substantially bind to an unswitched isotype, e.g.,  $\mu$ ). The other step is to identify hybridoma cells which bind to the predetermined antigen with substantial binding affinity (e.g., by ELISA of hybridoma clone supernatants, FACS analysis using labeled antigen, and the like). Typically, selection of hybridomas which secrete  
25 switched antibodies is performed prior to identifying hybridoma cells which bind predetermined antigen. Hybridoma cells which express switched antibodies that have substantial binding affinity for the predetermined antigen are isolated and cultured under suitable growth conditions known in the art, typically as individual selected clones. Optionally, the method comprises the step of culturing said selected clones under conditions suitable for expression of monoclonal antibodies; said monoclonal antibodies are collected and can be administered for therapeutic, prophylactic, and/or diagnostic purposes.

Often, the selected hybridoma clones can serve as a source of DNA or RNA for isolating immunoglobulin sequences which encode immunoglobulins (e.g. a variable region) that bind to (or confer binding to) the predetermined antigen. Subsequently, the human variable region encoding  
30 sequence may be isolated (e.g., by PCR amplification or cDNA cloning from the source (hybridoma clone)) and spliced to a sequence encoding a desired human constant region to encode a human sequence antibody more suitable for human therapeutic uses where immunogenicity is preferably minimized. The polynucleotide(s) having the resultant fully human encoding

sequence(s) can be expressed in a host cell (e.g., from an expression vector in a mammalian cell) and purified for pharmaceutical formulation.

### Xenoenhancers

A heterologous transgene capable of encoding a human immunoglobulin (e.g., a heavy chain) advantageously comprises a cis-linked enhancer which is not derived from the mouse genome, and/or which is not naturally associated in cis with the exons of the heterologous transgene. For example, a human  $\kappa$  transgene (e.g., a  $\kappa$  minilocus) can advantageously comprise a human  $V\kappa$  gene, a human  $J\kappa$  gene, a human  $C\kappa$  gene, and a xenoenhancer, typically said xenoenhancer comprises a human heavy chain intronic enhancer and/or a murine heavy chain intronic enhancer, typically located between a  $J\kappa$  gene and the  $C\kappa$  gene, or located downstream of the  $C\kappa$  gene. For example, the mouse heavy chain  $J\mu$  intronic enhancer (Banerji et al. (1983) Cell 33 729) can be isolated on a 0.9 kb *Xba*I fragment of the plasmid pKVe2 (see, *infra*). The human heavy chain  $J\mu$  intronic enhancer (Hayday et al. (1984) Nature 307: 334) can be isolated as a 1.4 kb *Mlu*I/*Hind*III fragment (see, *infra*). Addition of a transcriptionally active xenoenhancer to a transgene, such as a combined xenoenhancer consisting essentially of a human  $J\mu$  intronic enhancer linked in cis to a mouse  $J\mu$  intronic enhancer, can confer high levels of expression of the transgene, especially where said transgene encodes a light chain, such as human  $\kappa$ . Similarly, a rat 3' enhancer can be advantageously included in a minilocus construct capable of encoding a human heavy chain.

### Specific Preferred Embodiments

A preferred embodiment of the invention is an animal containing at least one, typically 2-10, and sometimes 25-50 or more copies of the transgene described in Example 12 (e.g., pHCl or pHCl2) bred with an animal containing a single copy of a light chain transgene described in Examples 5, 6, 8, or 14, and the offspring bred with the  $J_H$  deleted animal described in Example 10. Animals are bred to homozygosity for each of these three traits. Such animals have the following genotype: a single copy (per haploid set of chromosomes) of a human heavy chain unrearranged mini-locus (described in Example 12), a single copy (per haploid set of chromosomes) of a rearranged human  $\kappa$  light chain construct (described in Example 14), and a deletion at each endogenous mouse heavy chain locus that removes all of the functional  $J_H$  segments (described in Example 10). Such animals are bred with mice that are homozygous for the deletion of the  $J_H$  segments (Examples 10) to produce offspring that are homozygous for the  $J_H$

deletion and hemizygous for the human heavy and light chain constructs. The resultant animals are injected with antigens and used for production of human monoclonal antibodies against these antigens.

5 B cells isolated from such an animal are monospecific with regard to the human heavy and light chains because they contain only a single copy of each gene. Furthermore, they will be monospecific with regards to human or mouse heavy chains because both endogenous mouse heavy chain gene copies are nonfunctional by virtue of the deletion spanning the  $J_H$  region introduced as described in Example 9 and 12. Furthermore, a substantial fraction of the B cells will be monospecific with regards to the human or mouse light chains because expression of the single  
10 copy of the rearranged human  $\kappa$  light chain gene will allelically and isotypically exclude the rearrangement of the endogenous mouse  $\kappa$  and  $\lambda$  chain genes in a significant fraction of B-cells.

The transgenic mouse of the preferred embodiment will exhibit immunoglobulin production with a significant repertoire, ideally substantially similar to that of a native mouse. Thus, for example, in embodiments where the endogenous Ig genes have been inactivated, the total  
15 immunoglobulin levels will range from about 0.1 to 10 mg/ml of serum, preferably 0.5 to 5 mg/ml, ideally at least about 1.0 mg/ml. When a transgene capable of effecting a switch to IgG from IgM has been introduced into the transgenic mouse, the adult mouse ratio of serum IgG to IgM is preferably about 10:1. Of course, the IgG to IgM ratio will be much lower in the immature mouse. In general, greater than about 10%, preferably 40 to 80% of the spleen and lymph node B  
20 cells express exclusively human IgG protein.

The repertoire will ideally approximate that shown in a non-transgenic mouse, usually at least about 10% as high, preferably 25 to 50% or more. Generally, at least about a thousand different immunoglobulins (ideally IgG), preferably  $10^4$  to  $10^6$  or more, will be produced, depending primarily on the number of different V, J and D regions introduced into the mouse  
25 genome. These immunoglobulins will typically recognize about one-half or more of highly antigenic proteins, including, but not limited to: pigeon cytochrome C, chicken lysozyme, pokeweed mitogen, bovine serum albumin, keyhole limpet hemocyanin, influenza hemagglutinin, staphylococcus protein A, sperm whale myoglobin, influenza neuraminidase, and lambda repressor protein. Same of the immunoglobulins will exhibit an affinity for preselected antigens of at least  
30 about  $10^7 M^{-1}$ , preferably  $10^8 M^{-1}$  to  $10^9 M^{-1}$  or greater.

In some embodiments, it may be preferable to generate mice with predetermined repertoires to limit the selection of V genes represented in the antibody response to a predetermined antigen

type. A heavy chain transgene having a predetermined repertoire may comprise, for example, human  $V_H$  genes which are preferentially used in antibody responses to the predetermined antigen type in humans. Alternatively, some  $V_H$  genes may be excluded from a defined repertoire for various reasons (e.g., have a low likelihood of encoding high affinity V regions for the predetermined antigen; have a low propensity to undergo somatic mutation and affinity sharpening; or are immunogenic to certain humans).

Thus, prior to rearrangement of a transgene containing various heavy or light chain gene segments, such gene segments may be readily identified, e.g. by hybridization or DNA sequencing, as being from a species of organism other than the transgenic animal.

The transgenic mice of the present invention can be immunized with a predetermined antigen, such as a transmembrane proteins, cell surface macromolecule, or other suitable antigen (e.g., INF, LPS, etc.) for which a human antibody would be desirable. The mice will produce B cells which undergo class switching via intratransgene switch recombination (cis-switching) and express immunoglobulins reactive with the predetermined antigen. The immunoglobulins can be human sequence antibodies, wherein the heavy and light chain polypeptides are encoded by human transgene sequences, which may include sequences derived by somatic mutation and V region recombinatorial joints, as well as germline-encoded sequences; these human sequence immunoglobulins can be referred to as being substantially identical to a polypeptide sequence encoded by a human  $V_L$  or  $V_H$  gene segment and a human  $J_L$  or  $J_H$  segment, even though other non-germline sequences may be present as a result of somatic mutation and differential V-J and V-D-J recombination joints. With respect to such human sequence antibodies, the variable regions of each chain are typically at least 80 percent encoded by human germline V, J, and, in the case of heavy chains, D, gene segments; frequently at least 85 percent of the variable regions are encoded by human germline sequences present on the transgene; often 90 or 95 percent or more of the variable region sequences are encoded by human germline sequences present on the transgene. However, since non-germline sequences are introduced by somatic mutation and VJ and VDJ joining, the human sequence antibodies will frequently have some variable region sequences (and less frequently constant region sequences) which are not encoded by human V, D, or J gene segments as found in the human transgene(s) in the germline of the mice. Typically, such non-germline sequences (or individual nucleotide positions) will cluster in or near CDRs, or in regions where somatic mutations are known to cluster.

The human sequence antibodies which bind to the predetermined antigen can result from isotype switching, such that human antibodies comprising a human sequence  $\gamma$  chain (such as  $\gamma 1$ ,

5  $\gamma 2a$ ,  $\gamma 2B$ , or  $\gamma 3$ ) and a human sequence light chain (such as K) are produced. Such isotype-switched human sequence antibodies often contain one or more somatic mutation(s), typically in the variable region and often in or within about 10 residues of a CDR) as a result of affinity maturation and selection of B cells by antigen, particularly subsequent to secondary (or subsequent) antigen challenge. These high affinity human sequence antibodies may have binding affinities of at least  $1 \times 10^9 \text{ M}^{-1}$ , typically at least  $5 \times 10^9 \text{ M}^{-1}$  frequently more than  $1 \times 10^{10} \text{ M}^{-1}$  and sometimes  $5 \times 10^{10} \text{ M}^{-1}$  to  $1 \times 10^{11}$  or greater. Such high affinity human sequence antibodies can be made with high binding affinities for human antigens, such as human CD4 and the like human macromolecules (e.g., such as a human transmembrane or cell surface protein or other cell surface antigen).

10 The B cells from such mice can be used to generate hybridomas expressing monoclonal high affinity (greater than  $2 \times 10^9 \text{ M}^{-1}$ ) human sequence antibodies against a variety of antigens, including human proteins such as CD4 and the like. These hybridomas can be used to generate a composition comprising an immunoglobulin having an affinity constant ( $K_a$ ) of at least  $2 \times 10^9 \text{ M}^{-1}$  for binding to a predetermined human antigen, wherein said immunoglobulin consists of:

15 a human sequence light chain composed of (1) a light chain variable region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $V_L$  gene segment and a human  $J_L$  segment, and (2) a light chain constant region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $C_L$  gene segment; and

20 a human sequence heavy chain composed of a (1) a heavy chain variable region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $V_H$  gene segment, optionally a D region, and a human  $J_H$  segment, and (2) a constant region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $C_H$  gene segment.

25 Often, the human sequence heavy chain and human sequence light chain are separately encoded by a human heavy chain transgene and a human light chain transgene, respectively, which are integrated into a mouse cell genome. However, both chains may be encoded on a single transgene, or one or both chains may be encoded on multiple transgenes, such as a human heavy chain transgene (e.g., HC2) which derived a V gene segment from a YAC containing a  $V_H$  array which is not integrated at the same locus as the human heavy chain transgene in the mouse germline.

In one embodiment, the composition has an immunoglobulin which comprises a human sequence light chain having a  $\kappa$  constant region and a human sequence heavy chain having a  $\gamma$  constant region.

5 The mice (and hybridomas derived therefrom) are a source for an immunoglobulin having an affinity constant ( $K_a$ ) of at least  $1 \times 10^{10} \text{ M}^{-1}$  for binding to a predetermined human antigen, wherein said immunoglobulin consists of:

10 a human sequence light chain composed of (1) a light chain variable region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $V_L$  gene segment and a human  $J_L$  segment, and (2) a light chain constant region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $C_L$  gene segment; and

15 a human sequence heavy chain composed of a (1) a heavy chain variable region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $V_H$  gene segment, optionally a D region, and a human  $J_H$  segment, and (2) a constant region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $C_H$  gene segment.

20 The invention provides a transgenic mouse comprising: a homozygous pair of functionally disrupted endogenous heavy chain alleles, a homozygous pair of functionally disrupted endogenous light chain alleles, at least one copy of a heterologous immunoglobulin light chain transgene, and at least one copy of a heterologous immunoglobulin heavy chain transgene, and wherein said animal makes an antibody response following immunization with a human antigen wherein the antibody response comprises an immunoglobulin having an affinity constant ( $K_a$ ) of at least  $2 \times 10^9 \text{ M}^{-1}$  for binding to a predetermined human antigen, wherein said immunoglobulin consists of:

25 a human sequence light chain composed of (1) a light chain variable region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $V_L$  gene segment and a human  $J_L$  segment, and (2) a light chain constant region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $C_L$  gene segment; and

30 a human sequence heavy chain composed of a (1) a heavy chain variable region having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human  $V_H$  gene segment, optionally a D region, and a human  $J_H$  segment, and (2) a constant region



having a polypeptide sequence which is substantially identical to a polypeptide sequence encoded by a human C<sub>H</sub> gene segment.

Such a transgenic mouse can produce a human sequence immunoglobulin which binds to a human surface or transmembrane protein present on at least one somatic cell type of a human, wherein the immunoglobulin binds said human surface or transmembrane protein with an affinity constant ( $K_d$ ) of between  $1.5 \times 10^9 \text{ M}^{-1}$  and  $1.8 \times 10^{10} \text{ M}^{-1}$ . One example of such a human surface or transmembrane protein is CD4, although others may be used as immunogens as desired.

The development of high affinity human sequence antibodies against predetermined antigens is facilitated by a method for expanding the repertoire of human variable region gene segments in a transgenic mouse having a genome comprising an integrated human immunoglobulin transgene, said method comprising introducing into the genome a V gene transgene comprising V region gene segments which are not present in said integrated human immunoglobulin transgene. Often, the V region transgene is a yeast artificial chromosome comprising a portion of a human V<sub>H</sub> or V<sub>L</sub> (V<sub>K</sub>) gene segment array, as may naturally occur in a human genome or as may be spliced together separately by recombinant methods, which may include out-of-order or omitted V gene segments. Often at least five or more functional V gene segments are contained on the YAC. In this variation, it is possible to make a transgenic mouse produced by the V repertoire expansion method, wherein the mouse expresses an immunoglobulin chain comprising a variable region sequence encoded by a V region gene segment present on the V region transgene and a C region encoded on the human Ig transgene. By means of the V repertoire expansion method, transgenic mice having at least 5 distinct V genes can be generated; as can mice containing at least about 24 V genes or more. Of course, some V gene segments may be non-functional (e.g., pseudogenes and the like); these segments may be retained or may be selectively deleted by recombinant methods available to the skilled artisan, if desired.

Once the mouse germline has been engineered to contain a functional YAC having an expanded V segment repertoire, substantially not present in the human Ig transgene containing the J and C gene segments, the trait can be propagated and bred into other genetic backgrounds, including backgrounds where the functional YAC having an expanded V segment repertoire is bred into a mouse germline having a different human Ig transgene. Multiple functional YACs having an expanded V segment repertoire may be bred into a germline to work with a human Ig transgene (or multiple human Ig transgenes). Although referred to herein as YAC transgenes, such transgenes when integrated into the genome may substantially lack yeast sequences, such as sequences required for autonomous replication in yeast; such sequences may optionally be removed by

genetic engineering (e.g., restriction digestion and pulsed-field gel electrophoresis or other suitable method) after replication in yeast is no longer necessary (i.e., prior to introduction into a mouse ES cell or mouse prozygote).

The invention also provides a method of propagating the trait of human sequence immunoglobulin expression, comprising breeding a transgenic mouse having the human Ig transgene(s), and optionally also having a functional YAC having an expanded V segment repertoire. Both  $V_H$  and  $V_L$  gene segments may be present on the YAC. The transgenic mouse may be bred into any background desired by the practitioner, including backgrounds harboring other human transgenes, including human Ig transgenes and/or transgenes encoding other human lymphocyte proteins.

The invention also provides a high affinity human sequence immunoglobulin produced by a transgenic mouse having an expanded V region repertoire YAC transgene.

Although the foregoing describes a preferred embodiment of the transgenic animal of the invention, other embodiments are defined by the disclosure herein and more particularly by the transgenes described in the Examples. Four categories of transgenic animal may be defined:

- 20
- I. Transgenic animals containing an unrearranged heavy and rearranged light immunoglobulin transgene.
  - II. Transgenic animals containing an unrearranged heavy and unrearranged light immunoglobulin transgene
  - III. Transgenic animal containing rearranged heavy and an unrearranged light immunoglobulin transgene, and
  - IV. Transgenic animals containing rearranged heavy and rearranged light immunoglobulin transgenes.

Of these categories of transgenic animal, the preferred order of preference is as follows II > I > III > IV where the endogenous light chain genes (or at least the  $\kappa$  gene) have been knocked out by homologous recombination (or other method) and I > II > III > IV where the endogenous light chain genes have not been knocked out and must be dominated by allelic exclusion.

As is discussed supra, the invention provides human sequence monoclonal antibodies that are useful in treatment of human diseases. Therapeutic uses of monoclonal antibodies are discussed in, e.g., Larrick and Bourla, *Journal of Biological Response Modifiers*, 5:379-393, which is incorporated herein by reference. Uses of human monoclonal antibodies include treatment of autoimmune diseases, cancer, infectious diseases, transplant rejection, blood disorders such as coagulation disorders, and other diseases.

The antibodies of this invention may be administered to patients by any method known in the medical arts for delivery of proteins. Antibodies are particularly suited for parenteral administration (i.e., subcutaneous, intramuscular or intravenous administration). The pharmaceutical compositions of the present invention are suitable for administration using alternative drug delivery approaches as well (see, e.g., Langer, *Science*, 249:1527-1533 (1990)).

Pharmaceutical compositions for parenteral administration usually comprise a solution of a monoclonal antibody dissolved in an acceptable carrier, preferably an aqueous carrier. A variety of aqueous carriers can be used, e.g., water, buffered water, 0.4% saline, 0.3% glycine and the like. These solutions are sterile and generally free of particulate matter. These compositions may be sterilized by conventional, well known sterilization techniques. The compositions may contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions such as pH-adjusting and buffering agents, tonicity adjusting agents and the like, for example sodium acetate, sodium chloride, potassium chloride, calcium chloride, sodium lactate, etc. The concentration of antibody in these formulations can vary widely, i.e., from less than about 0.5%, usually at or at least about 0.1% to as much as 1.5% or 2.0% by weight and will be selected primarily based on fluid volumes, viscosities, etc., in accordance with the particular mode of administration selected. Actual methods for preparing parenterally administrable compositions will be known or apparent to those skilled in the art and are described in more detail in, for example, Remington's Pharmaceutical Sciences, 17th Ed., Mack Publishing Company, Easton, Pennsylvania (1985), which is incorporated herein by reference.

The compositions containing the present antibodies or a cocktail thereof can be administered for the prophylactic and/or therapeutic treatments. In therapeutic application, compositions are administered to a patient in an amount sufficient to cure or at least partially arrest the infection and its complications. An amount adequate to accomplish this is defined as a "therapeutically effective dose." Amounts effective for this use generally range from about .05 mg/kg body weight to about 5 mg/kg body weight, preferably between about .2 mg/kg body weight to about 1.5 mg/kg body weight.



bacterial cell or an immune or hybridoma cell) and the progeny (through replication) of the artificial gene, i.e., a wholly synthetic nucleic acid.

Although it is routine in genetic engineering to use short synthetic nucleic acids as primers, linkers and the like, it is also possible by chemical and/or enzymatic means to produce wholly synthetic protein-coding nucleic acids that are 30, 50, or more bases in length. The artificial genes of the invention may include both synthetic nucleic acid regions and cell-derived nucleic acid regions. The synthetic nucleic acid region of the artificial gene will generally be at least about 50 bases in length, often at least about 100 bases, typically at least about 200 bases, more often at least about 250 bases and usually aver 300 bases or 400 bases in length. Typically the synthetic nucleic acid regions will encode variable gene segments or a portion thereof, e.g., CDR regions, and the constant regions will be encoded by cell-derived nucleic acids. Immunoglobulin polypeptides (i.e., immunoglobulin heavy chains and immunoglobulin light chains) can be conveniently expressed using artificial genes that encode the polypeptides. Usually the artificial genes are operably linked to transcription promoter sequences, e.g., promoter sequences derived from immunoglobulin genes or from viruses (e.g., SV40, CMV, HIV, RSV) or hybrid promoters. The artificial gene may be linked to other sequences as well, e.g. polyadenylation sequences and introns. One method for expressing an immunoglobulin polypeptide involves insertion of a synthetic nucleic acid encoding one region of an immunoglobulin polypeptide (e.g., a variable region or portion thereof) into a vector that encodes the remaining segments or parts of the immunoglobulin chain (e.g., a  $\mu$ ,  $\gamma$ ,  $\gamma_2$ ,  $\gamma_3$ ,  $\gamma_4$ ,  $\delta$ ,  $\epsilon$ ,  $\alpha_1$  or  $\alpha_2$  constant region) and, optionally, promoter (e.g., a CMV (cytomegalovirus) promoter), polyadenylation or other sequences. Such vectors are constructed so that upon introduction into a cell, the cellular transcription and translation of the vector sequences results in an immunoglobulin polypeptide.

Functional human sequence immunoglobulin heavy and light chain genes and polypeptides can be constructed using artificial genes, and used to produce immunoglobulins with a derived specificity such as specific binding to a predetermined antigen. This is accomplished by constructing an artificial gene that encodes an immunoglobulin polypeptide substantially similar to a polypeptide expressed by a cell from, or a hybridoma derived from, a transgenic animal immunized with the predetermined antigen. Thus, the invention provides artificial genes encoding immunoglobulin polypeptides and methods for producing a human-sequence immunoglobulin using an artificial gene(s).

According to this method, a transgenic animal (e.g., a transgenic mouse with a homozygous pair of functionally disrupted endogenous heavy chain alleles, a homozygous pair of

functionally disrupted endogenous light chain alleles, at least one copy of a human immunoglobulin light chain transgene, and at least one copy of a human immunoglobulin heavy chain transgene) is immunized with predetermined antigen, e.g., a human protein. Nucleic acid, preferably mRNA, is then collected or isolated from a cell or population of cells in which immunoglobulin gene rearrangement has taken place, and the sequence(s) of nucleic acids encoding the heavy and/or light chains (especially the V segments) of immunoglobulins, or a portion thereof, is determined. This sequence information is used as a basis for the sequence of the artificial gene.

Sequence determination will generally require isolation of at least a portion of the gene or cDNA of interest, e.g., a portion of a rearranged human transgene or corresponding cDNA encoding an immunoglobulin polypeptide. Usually this requires cloning the DNA or, preferably, mRNA (i.e., cDNA) encoding the human immunoglobulin polypeptide. Cloning is carried out using standard techniques (see, e.g., Sambrook et al. (1989) *Molecular Cloning: A Laboratory Guide*, Vols 1-3, Cold Spring Harbor Press, which is incorporated herein by reference). For example, a cDNA library may be constructed by reverse transcription of polyA+ mRNA, preferably membrane-associated mRNA, and the library screened using probes specific for human immunoglobulin polypeptide gene sequences. In a preferred embodiment, however, the polymerase chain reaction (PCR) is used to amplify cDNAs (or portions of full-length cDNAs) encoding an immunoglobulin gene segment of interest (e.g., a light chain variable segment). Because the sequences of the human immunoglobulin polypeptide genes are readily available to those of skill, probes or PCR primers that will specifically hybridize to or amplify a human immunoglobulin gene or segment thereof can be easily designed. See, e.g., Taylor et al., *Nuc. Acids. Res.*, 20:6287 (1992) which is incorporated by reference. Moreover, the sequences of the human transgene of the transgenic mouse will often be known to the practitioner, and primer sequences can be chosen that hybridize to appropriate regions of the transgene. The amplified sequences can be readily cloned into any suitable vector, e.g., expression vectors, minigene vectors, or phage display vectors. It will be appreciated that the particular method of cloning used is not critical, so long as it is possible to determine the sequence of some portion of the immunoglobulin polypeptide of interest. As used herein, a nucleic acid that is cloned, amplified, tagged, or otherwise distinguished from background nucleic acids such that the sequence of the nucleic acid of interest can be determined, is considered isolated.

One source for RNA used for cloning and sequencing is a hybridoma produced by obtaining a B cell from the transgenic mouse and fusing the B cell to an immortal cell. An advantage of using hybridomas is that they can be easily screened, and a hybridoma that produces a human monoclonal antibody of interest selected. Alternatively, RNA can be isolated from B

cells (or whole spleen) of the immunized animal. When sources other than hybridomas are used, it may be desirable to screen for sequences encoding immunoglobulins or immunoglobulin polypeptides with specific binding characteristics. One method for such screening is the use of phage display technology. Phage display is described in *e.g.*, Dower et al., WO 91/17271, McCafferty et al., WO 92/01047, and Caton and Koprowski, *Proc. Natl. Acad. Sci. USA*, 87:6450-6454 (1990), each of which is incorporated herein by reference. In one embodiment using phage display technology, cDNA from an immunized transgenic mouse (*e.g.*, total spleen cDNA) is isolated, the polymerase chain reaction is used to amplify a cDNA sequences that encode a portion of an immunoglobulin polypeptide, *e.g.*, CDR regions, and the amplified sequences are inserted into a phage vector. cDNAs encoding peptides of interest, *e.g.*, variable region peptides with desired binding characteristics, are identified by standard techniques such as panning.

The sequence of the amplified or cloned nucleic acid is then determined. Typically the sequence encoding an entire variable region of the immunoglobulin polypeptide is determined, however, it will sometimes by adequate to sequence only a portion of a variable region, for example, the CDR-encoding portion. Typically the portion sequenced will be at least 30 bases in length, more often based coding for at least about one-third or at least about one-half of the length of the variable region will be sequenced.

Sequencing can be carried on clones isolated from a cDNA library, or, when PCR is used, after subcloning the amplified sequence or by direct PCR sequencing of the amplified segment. Sequencing is carried out using standard techniques (see, *e.g.*, Sambrook et al. (1989) *Molecular Cloning: A Laboratory Guide*, Vols 1-3, Cold Spring Harbor Press, and Sanger, F. et al. (1977) *Proc. Natl. Acad. Sci. USA* 74: 5463-5467, which is incorporated herein by reference). By comparing the sequence of the cloned nucleic acid with published sequences of human immunoglobulin genes and cDNAs, one of skill will readily be able to determine, depending on the region sequenced, (i) the germline segment usage of the hybridoma immunoglobulin polypeptide (including the isotype of the heavy chain) and (ii) the sequence of the heavy and light chain variable regions, including sequences resulting from N-region addition and the process of somatic mutation. One source of immunoglobulin gene sequence information is the National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, Bethesda, Md.

In an alternative embodiment, the amino acid sequence of an immunoglobulin of interest may be determined by direct protein sequencing.

An artificial gene can be constructed that has a sequence identical to or substantially similar to, at least a portion of the immunoglobulin-expressing gene (i.e., rearranged transgene). Similarly, the artificial gene can encode a polypeptide that is identical or has substantial similarity to a polypeptide encoded by the sequenced portion of the rearranged transgene. The degeneracy of the genetic code allows the same polypeptide to be encoded by multiple nucleic acid sequences. It is sometimes desirable to change the nucleic acid sequence, for example to introduce restriction sites, change codon usage to reflect a particular expression system, or to remove a glycosylation site. In addition, changes in the hybridoma sequences may be introduced to change the characteristics (e.g., binding characteristics) of the immunoglobulin. For example, changes may be introduced, especially in the CDR regions of the heavy and light chain variable regions, to increase the affinity of the immunoglobulin for the predetermined antigen.

Methods for constructing an synthetic nucleic acids are well known. An entirely chemical synthesis is possible but in general, a mixed chemical-enzymatic synthesis is carried out in which chemically synthesized oligonucleotides are used in ligation reactions and/or in the polymerase chain reaction to create longer polynucleotides. In a most preferred embodiment, the polymerase chain reaction is carried out using overlapping primers chosen so that the result of the amplification is a DNA with the sequence desired for the artificial gene. The oligonucleotides of the present invention may be synthesized in solid phase or in solution. Generally, solid phase synthesis is preferred. Detailed descriptions of the procedures for solid phase synthesis of oligonucleotides by phosphate-triester, phosphotriester, and H-phosphonate chemistries are widely available. See, for example, Itakura, U.S. Pat. No. 4,401,796; Caruthers et al., U.S. Pat. Nos. 4,458,066 and 4,500,707; Beaucage et al., *Tetrahedron Lett.*, 22:1859-1862; Matteucci et al., *J. Amer. Chem. Soc.*, 103:3185-3191 (1981); Caruthers et al., *Genetic Engineering*, 4:1-17 (1982); Jones, chapter 2, *Atkinson et al.*, chapter 3, and Sproat et al., chapter 4, in Gait, ed. *Oligonucleotide Synthesis: A Practical Approach*, IRL Press, Washington, D.C: (1984); Froehler et al., *Tetrahedron Lett.*, 27:469-472 (1986); Froehler et al., *Nucleic Acids Res.*, 14:5399-5407 (1986); Sinha et al., *Tetrahedron Lett.*, 24:5843-5846 (1983); and Sinha et al., *Nucleic Acids Res.*, 12:4539-4557 (1984) which are incorporated herein by reference.

The artificial gene can introduced into a cell and expressed to produce an immunoglobulin polypeptide. The choice of cell type for expression will depend on many factors (e.g., the level of protein glycosylation desired), but cells capable of secreting human immunoglobulins will be preferred. Especially preferred cells include CHO cells and myeloma-derived cells such as the SP20 and NS0 cell lines. Standard cell culture are well known and are also described in Newman, et al., *Biotechnology*, 10:1455-1460 (1992); Bebbington, et al., *Biotechnology*, 10:169-175 (1992);



Cockett, et al., *Biotechnology*, 8:662-667 (1990); Carter, et al., *Biotechnology*, 10:163-167 (1992), each of which is incorporated herein by reference. Methods for introduction of nucleic acids, e.g., an artificial gene, are well known and include transfection (e.g., by electroporation or liposome-mediated) and transformation. Systems for expression of introduced genes are described generally in Sambrook et al., *supra*.

It is often desirable to express two immunoglobulin polypeptides (i.e., a heavy chain and a light chain) in the same cell so that an immunoglobulin (e.g., an IgG molecule) is produced *in vivo*. Accordingly it will sometimes be desirable to introduce two artificial genes (i.e., one encoding a heavy chain and one encoding a light chain) into a cell. (The two artificial genes can be introduced on a single vector). Alternatively, one artificial gene encoding one immunoglobulin polypeptide can be introduced into a cell that has been genetically engineered to express the other immunoglobulin polypeptide.

It will be apparent that as the cells into which the artificial gene is transfected propagate, the wholly synthetic nucleic acid portion of the artificial gene, will act as a template for replication and transcription. Nonetheless, the progeny genes will have originated from a synthetic nucleic acid (i.e., a polypeptide-encoding nucleic acid molecule that is synthesized *in vitro* by chemical or enzymatic methods that do not require a cell-derived template nucleic acid strand) and as used herein, are also considered artificial genes. Thus, the relationship of the synthetic portion of the artificial gene to the expressed transgene of the hybridoma is one in which there is an *informational* link (i.e., sequence information) but no direct *physical* link.

The invention also provides anti-CD4 monoclonal antibodies useful in therapeutic and diagnostic applications, especially the treatment of human disease. CD4 is a cell surface protein that is expressed primarily on thymocytes and T cells, and which is involved in T-cell function and MHC Class II recognition of antigen. Antibodies directed against CD4 act to reduce the activity of CD4 cells and thus reduce undesirable autoimmune reactions, inflammatory responses and rejection of transplanted organs.

The ability of a human anti-CD4 mAb to inhibit a T-helper cell dependent immune response in primates can be demonstrated by immunizing the primate with a soluble foreign antigen (e.g., tetanus toxoid (TT)) and measuring the ability of the primate to mount a delayed-type hypersensitivity reaction (DTH) to the antigen (e.g., following injection of the human mAb). The DTH is mediated by CD4<sup>+</sup> (T-helper) cells (E. Benjamin and S. Lescowitz, *Immunology: A Short Course*, Second Edition, (1991) Wiley-Liss, Inc., New York, pp. 277-292). Antigen-specific T-helper cells recognize the processed antigen presented by MHC Class II molecules on antigen-

presenting cells and become activated. The activated T-helper cells secrete a variety of lymphokines (IL2, INF $\gamma$ , INF $\beta$ , MCF) and thus attract and activate macrophages and T-cytotoxic cells at the injection site. Although most of the effector functions occurring as part of the DTH are performed by macrophages and T-cytotoxic cells, it is the T-helper cells which initiate the response. Therefore, if the T-helper cells can be inhibited, there will be no DTH. Administration of anti-CD4 mABs has been shown to prevent (Wofsy, et al., *J. Exp. Med.*, 161:378-391 (1985)) or reverse (Wofsy, et al., *J. Immunol.*, 138:3247-3253 (1987), Waldor, et al., *Science*, 227:415-417 (1985)) autoimmune disease in animal models. Administration of murine or chimeric anti-CD4 mAbs to patients with rheumatoid arthritis has shown evidence of clinical benefit (Knox, et al., *Blood*, 77:20-30 (1991); Goldbery, et al., *J. Autoimmunity*, 4:617-630; Herzog, et al., *Lancet*, ii:1461-1462 ; Horneff, et al., *Arthritis Rheum.*, 34:129-140; Reiter, et al., *Arthritis Rheum.*, 34:525-536; Wending, et al., *J. Rheum.*, 18:325-327; Van der Lubbe, et al., *Arthritis Rheum.*, 38:1097-1106; Van der Lubbe, et al., *Arthritis Rheum.*, 36:1375-1379; Moreland, et al., *Arthritis Rheum.*, 36:307-318, and Choy, et al., *Arthritis and Rheumatism*, 39(1):52-56 (1996); all of which is incorporated herein by reference). In addition, as noted above, a chimeric anti-CD4 mAB has shown some clinical efficacy in patients with mycosis fungoides (Knox et al. (1991) *Blood* 77:20; which is incorporated herein by reference). Anti-CD4 antibodies are also discussed in Newman, et al., *Biotechnology*, 10:1455-1460 (1992), which is incorporated herein by reference.

The invention also provides anti-interleukin-8 monoclonal antibodies useful in therapeutic and diagnostic applications, especially the treatment of human diseases. Interleukin-8 (IL8), a very potent and mostly specific chemoattractant for neutrophils, is thought to play an important role in the inflammatory response. IL8 also induces angiogenesis, mediates transendothelial neutrophil migration and contributes to other inflammatory responses. The properties of IL8 and related cytokines are discussed in Baggiolini *et al.*, 1994, *Adv. Immunol.* 55:97-179, which is incorporated herein by reference.

IL8 has been shown to bind to, and activate, neutrophils and to induce neutrophil chemotaxis through an endothelial cell layer *in vitro*. The role of IL8 in inducing neutrophil transmigration from the vasculature to a site of inflammation has been demonstrated *in vivo* as well. Moreover, inhibition of the IL8 in those circumstances has prevented tissue damage resulting from neutrophil recruitment. Anti-rabbit IL8 antibodies can prevent lung reperfusion injuries resulting from ischemia (Sekido *et al.*, 1993, *Nature* 365:654-7). Anti-rabbit IL8 antibodies can also prevent lung damage resulting from endotoxin-induced pleurisy in rabbits (Broaddus *et al.*, 1994, *J. Immunol.* 152:2960-7). *In vivo* primate models are also suitable for determining the effects of anti-human IL8 mAbs on migration of neutrophils from the vasculature to

the inflammatory site. It has been shown that intradermal injection of rhesus monkeys with endotoxin upregulates IL8 expression at the injection site and ultimately results in neutrophil localization (Silber *et al.*, 1994, *Lab. Invest.* 70:163-75).

5 Anti-IL8 antibodies have also been shown to reduce tissue damage and prolong survival in animal models of acute inflammation including adult respiratory distress syndrome (ARDS) and acid induced lung injury (Sekido *et al.*, 1993, *Nature* 365:654-7; Mulligan *et al.*, 1993, *J. Immunol.* 150:5585-95; Broaddus, *et al.*, 1994, *J. Immunol.* 152:2960-7, all of which are incorporated herein by reference). Consistent with the role of IL-8 in inflammation, human anti-IL-8 monoclonal antibodies can be used for treatment of a variety of conditions caused or  
10 aggravated by an inflammatory response, including reperfusion injuries (especially to the lung and heart), vasculitis, septic shock, autoimmune diseases (including glomerulonephritis, inflammatory bowel disease, rheumatoid arthritis and psoriasis), allergic reactions (e.g., asthma) and cystic fibrosis.

Two distinct IL8 receptors, IL8RA and IL8RB, have been identified (Holmes *et al.*, 1991, *Science* 253:1278-80; Murphy *et al.*, 1991, *Science* 253:1280-3). Both receptors bind IL8, but  
15 only IL8RB binds other CXC chemokines. Both receptors are found in approximately equal numbers on neutrophils and some lymphocytes.

## EXPERIMENTAL EXAMPLES

### METHODS AND MATERIALS

20 Transgenic mice are derived according to Hogan, *et al.*, "Manipulating the Mouse Embryo: A Laboratory Manual", Cold Spring Harbor Laboratory, which is incorporated herein by reference.

Embryonic stem cells are manipulated according to published procedures (Teratocarcinomas and embryonic stem cells: a practical approach, E.J. Robezyson, ed., IRL  
25 Press, Washington, D.C., 1987; Zijlstra *et al.*, *Nature* 342:435-438 (1989); and Schwartzberg *et al.*, *Science* 246:799-803 (1989), each of which is incorporated herein by reference).

DNA cloning procedures are carried out according to J. Sambrook, *et al.* in Molecular Cloning: A Laboratory, Manual, 2d ed., 1989, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., which is incorporated herein by reference.

30 Oligonucleotides are synthesized on an Applied Bio Systems oligonucleotide synthesizer according to specifications provided by the manufacturer.

Hybridoma cells and antibodies are manipulated according to “Antibodies: A Laboratory Manual”, Ed Harlow and David Lane, Cold Spring Harbor Laboratory (1988), which is incorporated herein by reference.

## EXAMPLE 1

### Genomic Heavy Chain Human Ig Transgene

This Example describes the cloning and microinjection of a human genomic heavy chain immunoglobulin transgene which is microinjected into a murine zygote.

Nuclei are isolated from fresh human placental tissue as described by Marzluff et al., “Transcription and Translation: A Practical Approach”, B.D. Hammes and S.J. Higgins, eds., pp. 89-129, IRL Press, Oxford (1985)). The isolated nuclei (or PBS washed human spermatocytes) are embedded in a low melting point agarose matrix and lysed with EDTA and proteinase  $\kappa$  to expose high molecular weight DNA, which is then digested in the agarose with the restriction enzyme NotI as described by M. Finney in Current Protocols in Molecular Biology (F. Ausubel, et al., eds. John Wiley & Sons, Supp. 4, 1988, Section 2.5.1).

The NotI digested DNA is then fractionated by pulsed field gel electrophoresis as described by Anand et al., Nucl. Acids Res. 17:3425-3433 (1989). Fractions enriched for the NotI fragment are assayed by Southern hybridization to detect one or more of the sequences encoded by this fragment. Such sequences include the heavy chain D segments, J segments,  $\mu$  and  $\gamma 1$  constant regions together with representatives of all 6 VH families (although this fragment is identified as a 670 kb fragment from HeLa cells by Berman et al. (1988), supra., we have found it to be as 830 kb fragment from human placental and sperm DNA). Those fractions containing this NotI fragment (see Fig. 4) are pooled and cloned into the NotI site of the vector pYACNN in yeast cells. Plasmid pYACNN is prepared by digestion of pYAC-4 Neo (Cook et al., Nucleic Acids Res. 16: 11817 (1988)) with EcoRI and ligation in the presence of the oligonucleotide 5' - AAT TGC GGC CGC - 3' (SEQ ID NO:25).

YAC clones containing the heavy chain NotI fragment are isolated as described by Brownstein et al., Science 244:1348-1351 (1989), and Green et al., Proc. Natl. Acad. Sci. USA 87:1213-1217 (1990), which are incorporated herein by reference. The cloned NotI insert is isolated from high molecular weight yeast DNA by pulse field gel electrophoresis as described by M. Finney, op cit. The DNA is condensed by the addition of 1 mM spermine and microinjected directly into the nucleus of single cell embryos previously described.

### EXAMPLE 2

## Genomic $\kappa$ Light Chain Human Ig Transgene Formed by In vivo Homologous Recombination

A map of the human  $\kappa$  light chain has been described in Lorenz et al., Nucl. Acids Res. 15  
5 9667-9677 (1987), which is incorporated herein by reference.

A 450 kb XhoI to NotI fragment that includes all of C<sub>κ</sub>, the 3' enhances, all J segments, and at least five different V segments is isolated and microinjected into the nucleus of single cell embryos as described in Example 1.

### EXAMPLE 3

**Genomic κ Light Chain Human Ig Transgene  
Formed by In vivo Homologous Recombination**

A 750 kb MluI to NotI fragment that includes all of the above plus at least 20 more V segments is isolated as described in Example 1 and digested with BssHII to produce a fragment of about 400 kb.

15        The 450 kb XhoI to NotI fragment plus the approximately 400 kb MluI to BssHII fragment have sequence overlap defined by the BssHII and XhoI restriction sites. Homologous recombination of these two fragments upon microinjection of a mouse zygote results in a transgene containing at least an additional 15-20 V segments over that found in the 450 kb XhoI/NotI fragment (Example 2).

20 **EXAMPLE 4**

### Construction of Heavy Chain Mini-Locus

### A. Construction of pGP1 and pGP2

pBR322 is digested with EcoRI and StyI and ligated with the following oligonucleotides to generate pGP1 which contains a 147 base pair insert containing the restriction sites shown in Fig. 8. The general overlapping of these oligos is also shown in Fig. 9.

The oligonucleotides are:

[illegible]





identified as pMU (see Fig. 10). pMU is digested with BamHI and fragment (c) from Fig. 9 is inserted. The orientation is checked with HindIII digest. The resultant plasmid pHIG1 (Fig. 10) contains an 18 kb insert encoding J and C $\mu$  segments.

#### D. Cloning of C $\mu$ Region

5 pGP1 is digested with BamHI and HindIII is followed by treatment with calf intestinal alkaline phosphatase (Fig: 14). The so treated fragment (b) of Fig. 14 and fragment (c) of Fig. 14 are cloned into the BamHI/HindIII cut pGP1. Proper orientation of fragment (c) is checked by HindIII digestion to form pCON1 containing a 12 kb insert encoding the C $\mu$ , region.

10 Whereas pHIG1 contains J segments, switch and  $\mu$  sequences in its 18 kb insert with an SfiI 3' site and a SpeI 5' site in a polylinker flanked by NotI sites, will be used for rearranged VDJ segments. pCON1 is identical except that it lacks the J region and contains only a 12 kb insert. The use of pCON1 in the construction of fragment containing rearranged VDJ segments will be described hereinafter.

#### E. Cloning of $\gamma$ -1 Constant Region (pREG2)

15 The cloning of the human  $\gamma$ -1 region is depicted in Fig. 16.

Yamamura et al., Proc. Natl. Acad. Sci. USA 83:2152-2156 (1986) reported the expression of membrane bound human  $\gamma$ -1 from a transgene construct that had been partially deleted on integration. Their results indicate that the 3' BamHI site delineates a sequence that includes the transmembrane rearranged and switched copy of the gamma gene with a V-C intron of less than 20 5kb. Therefore, in the unrearranged, unswitched gene, the entire switch region is included in a sequence beginning less than 5 kb from the 5' end of the first  $\gamma$ -1 constant exon. Therefore it is included in the 5' 5.3 kb HindIII fragment (Ellison et al., Nucleic Acids Res. 10:4071-4079 (1982), which is incorporated herein by reference). Takahashi et al., Cell 29: 671-679 (1982), which is incorporated herein by reference, also reports that this fragment contains the switch 25 sequence, and this fragment together with the 7.7 kb HindIII to BamHI fragment must include all of the sequences we need for the transgene construct. An intronic sequence is a nucleotide sequence of at least 15 contiguous nucleotides that occurs in an intron of a specified gene.

Phage clones containing the  $\gamma$ -1 region are identified and isolated using the following oligonucleotide which is specific for the third exon of  $\gamma$ -I (CH3).



5' TGA GCC ACG AAG ACC CTG AGG TCA AGT TCA ACT GGT ACG TGG 3'  
(SEQ ID NO:39)

A 7.7 kb HindIII to BglII fragment (fragment (a) in Fig. 11) is cloned into HindIII/BglII cut pRE3 to form pREG1. The upstream 5.3 kb HindIII fragment (fragment (b) in Fig. 11) is cloned  
5 into HindIII digested pREG1 to form pREG2. Correct orientation is confirmed by BamHI/SpeI digestion.

#### F. Combining C $\gamma$ and C $\mu$

The previously described plasmid pHIG1 contains human J segments and the C $\mu$  constant region exons. To provide a transgene containing the C $\mu$  constant region gene segments, pHIG1  
10 was digested with SfiI (Fig. 10). The plasmid pREG2 was also digested with SfiI to produce a 13.5 kb insert containing human C $\gamma$  exons and the rat 3' enhancer sequence. These sequences were combined to produce the plasmid pHIG3' (Fig. 12) containing the human J segments, the human C $\mu$  constant region, the human C $\gamma$ 1 constant region and the rat 3' enhancer contained on a 31.5 kb insert.

15 A second plasmid encoding human C $\mu$  and human C $\gamma$ 1 without J segments is constructed by digesting pCON1 with SfiI and combining that with the SfiI fragment containing the human C $\gamma$  region and the rat 3' enhancer by digesting pREG2 with SfiI. The resultant plasmid, pCON (Fig. 12) contains a 26 kb NotI/SpeI insert containing human C $\mu$ , human  $\gamma$ 1 and the rat 3' enhancer sequence.

#### 20 G. Cloning of D Segment

The strategy for cloning the human D segments is depicted in Fig. 13. Phage clones from the human genomic library containing D segments are identified and isolated using probes specific for diversity region sequences (Ichihara et al., EMBO J. 7:4141-4150 (1988)). The following oligonucleotides are used:

25 DXP1: 5' - TGG TAT TAC TAT GGT TCG GGG AGT TAT TAT  
AAC CAC AGT GTC - 3' (SEQ ID NO:40)  
DXP4: 5' - GCC TGA AAT GGA GCC TCA GGG CAC AGT GGG  
CAC GGA CAC TGT - 3' (SEQ ID NO:41)  
30 DN4: 5' - GCA GGG AGG ACA TGT TTA GGA TCT GAG GCC  
GCA CCT GAC ACC - 3' (SEQ ID NO:42)

A 5.2 kb XhoI fragment (fragment (b) in Fig. 13) containing DLR1, DXP1, DXP'1, and DA1 is isolated from a phage clone identified with oligo DXP1.

A 3.2 kb XbaI fragment (fragment (c) in Fig. 13) containing DXP4, DA4 and DK4 is isolated from a phage clone identified with oligo DXP4.

5        Fragments (b), (c) and (d) from Fig. 13 are combined and cloned into the XbaI/XhoI site of pGP1 to form pHIG2 which contains a 10.6 kb insert.

10        This cloning is performed sequentially. First, the 5.2 kb fragment (b) in Fig. 13 and the 2.2 kb fragment (d) of Fig. 13 are treated with calf intestinal alkaline phosphatase and cloned into pGP1 digested with XhoI and XbaI. The resultant clones are screened with the 5.2 and 2.2 kb insert. Half of those clones testing positive with the 5.2 and 2.2 kb inserts have the 5.2 kb insert in the proper orientation as determined by BamHI digestion. The 3.2 kb XbaI fragment from Fig. 13 is then cloned into this intermediate plasmid containing fragments (b) and (d) to form pHIG2. This plasmid contains diversity segments cloned into the polylinker with a unique 5' SfiI site and unique 3' SpeI site. The entire polylinker is flanked by NotI sites.

#### 15        H. Construction of Heavy Chain Minilocus

The following describes the construction of a human heavy chain mini-locus which contain one or more V segments.

20        An unrearranged V segment corresponding to that identified as the V segment contained in the hybridoma of Newkirk et al., *J. Clin. Invest.*, 81:1511-1518 (1988), which is incorporated herein by reference, is isolated using the following oligonucleotide:

5' - GAT CCT GGT TTA GTT AAA GAG GAT TTT  
ATT CAC CCC TGT GTC - 3' (SEQ ID NO:43)

25        A restriction map of the unrearranged V segment is determined to identify unique restriction sites which provide upon digestion a DNA fragment having a length approximately 2 kb containing the unrearranged V segment together with 5' and 3' flanking sequences. The 5' prime sequences will include promoter and other regulatory sequences whereas the 3' flanking sequence provides recombination sequences necessary for V-DJ joining. This approximately 3.0 kb V segment insert is cloned into the polylinker of pGB2 to form pVH1.

30        pVH1 is digested with SfiI and the resultant fragment is cloned into the SfiI site of pHIG2 to form a pHIG5'. Since pHIG2 contains D segments only, the resultant pHIG5' plasmid contains

a single V segment together with D segments. The size of the insert contained in pHIG5 is 10.6 kb plus the size of the V segment insert.

The insert from pHIG5 is excised by digestion with NotI and SpeI and isolated. pHIG3' which contains J, C $\mu$  and C $\gamma$ 1 segments is digested with SpeI and NotI and the 3' kb fragment containing such sequences and the rat 3' enhancer sequence is isolated. These two fragments are combined and ligated into NotI digested pGP1 to produce pHIG which contains insert encoding a V segment, nine D segments, six functional J segments, C $\mu$ , C $\gamma$  and the rat 3' enhancer. The size of this insert is approximately 43 kb plus the size of the V segment insert.

#### I. Construction of Heavy Chain Minilocus Homologous Recombination

As indicated in the previous section, the insert of pHIG is approximately 43 to 45 kb when a single V segment is employed. This insert size is at or near the limit of that which may be readily cloned into plasmid vectors. In order to provide for the use of a greater number of V segments, the following describes in vivo homologous recombination of overlapping DNA fragments which upon homologous recombination within a zygote or ES cell form a transgene containing the rat 3' enhancer sequence, the human C $\mu$ , the human C $\gamma$ 1, human J segments, human D segments and a multiplicity of human V segments.

A 6.3 kb BamHI/HindIII fragment containing human J segments (see fragment (a) in Fig. 9) is cloned into MluI/SpeI digested pHIG5' using the following adapters:

5' GAT CCA AGC AGT 3' (SEQ ID NO:44)

5' CTA GAC TGC TTG 3' (SEQ ID NO:45)

5' CGC GTC GAA CTA 3' (SEQ ID NO:46)

5' AGC TTA GTT CGA 3' (SEQ ID NO:47)

The resultant is plasmid designated pHIG5'O (overlap). The insert contained in this plasmid contains human V, D and J segments. When the single V segment from pVH1 is used, the size of this insert is approximately 17 kb plus 2 kb. This insert is isolated and combined with the insert from pHIG3' which contains the human J, C $\mu$ ,  $\gamma$ 1 and rat 3' enhancer sequences. Both inserts contain human J segments which provide for approximately 6.3 kb of overlap between the two DNA fragments. When coinjected into the mouse zygote, in vivo homologous recombination occurs generating a transgene equivalent to the insert contained in pHIG.

This approach provides for the addition of a multiplicity of V segments into the transgene formed in vivo. For example, instead of incorporating a single V segment into pHIG5', a multiplicity of V segments contained on (1) isolated genomic DNA, (2) ligated DNA derived from genomic DNA, or (3) DNA encoding a synthetic V segment repertoire is cloned into pHIG2 at the SfiI site to generate pHIG5' V<sub>N</sub>. The J segments fragment (a) of Fig. 9 is then cloned into pHIG5' V<sub>N</sub> and the insert isolated. This insert now contains a multiplicity of V segments and J segments which overlap with the J segments contained on the insert isolated from pHIG3'. When cointroduced into the nucleus of a mouse zygote, homologous recombination occurs to generate in vivo the transgene encoding multiple V segments and multiple J segments, multiple D segments, the C<sub>μ</sub> region, the C<sub>γ</sub>1 region (all from human) and the rat 3' enhancer sequence.

## EXAMPLE 5

### Construction of Light Chain Minilocus

#### A. Construction of pE<sub>μ</sub>1

The construction of pE<sub>μ</sub>1 is depicted in Fig. 16. The mouse heavy chain enhancer is isolated on the XbaI to EcoRI 678 bp fragment (Banerji et al., Cell 33:729-740 (1983)) from phage clones using oligo:

5' GAA TGG GAG TGA GGC TCT CTC ATA CCC TAT TCA GAA CTG ACT 3' (SEQ ID NO:48)

This E<sub>μ</sub> fragment is cloned into EcoRV/XbaI digested pGP1 by blunt end filling in EcoRI site. The resultant plasmid is designated pE<sub>μ</sub>1.

#### B. Construction Of κ Light chain Minilocus

The κ construct contains at least one human V<sub>κ</sub> segment, all five human J<sub>κ</sub> segments, the human J-C<sub>κ</sub> enhancer, human κ constant region exon, and, ideally, the human 3' κ enhancer (Meyer et al., EMBO J. 8:1959-1964 (1989)). The κ enhancer in mouse is 9 kb downstream from C<sub>κ</sub>. However, it is as yet unidentified in the human. In addition, the construct contains a copy of the mouse heavy chain J-C<sub>μ</sub> enhancers.

The minilocus is constructed from four component fragments:

(a) A 16 kb SmaI fragment that contains the human C<sub>κ</sub> exon and the 3' human enhancer by analogy with the mouse locus;

(b) A 5' adjacent 5 kb SmaI fragment, which contains all five J segments;

(c) The mouse heavy chain intronic enhancer isolated from pE $\mu$ I (this sequence is included to induce expression of the light chain construct as early as possible in B-cell development. Because the heavy chain genes are transcribed earlier than the light chain genes, this heavy chain enhancer is presumably active at an earlier stage than the intronic  $\kappa$  enhancer); and

(d) A fragment containing one or more V segments.

The preparation of this construct is as follows. Human placental DNA is digested with SmaI and fractionated on agarose gel by electrophoresis. Similarly, human placental DNA is digested with BamHI and fractionated by electrophoresis. The 16 kb fraction is isolated from the SmaI digested gel and the 11 kb region is similarly isolated from the gel containing DNA digested with BamHI.

The 16 kb SmaI fraction is cloned into Lambda FIX II (Stratagene, La Jolla, California) which has been digested with XhoI, treated with klenow fragment DNA polymerase to fill in the XhoI restriction digest product. Ligation of the 16 kb SmaI fraction destroys the SmaI sites and leaves XhoI sites intact.

The 11 kb BamHI fraction is cloned into  $\lambda$  EMBL3 (Stratagene, La Jolla, California) which is digested with BamHI prior to cloning.

Clones from each library were probed with the C $\kappa$  specific oligo:

5' GAA CTG TGG CTG CAC CAT CTG TCT TCA TCT TCC CGC CAT CTG 3' (SEQ ID NO:49)

A 16 kb XhoI insert that was subcloned into the XhoI cut pE $\mu$ I so that C $\kappa$  is adjacent to the SmaI site. The resultant plasmid was designated pKap1.

The above C $\kappa$  specific oligonucleotide is used to probe the  $\lambda$  EMBL3/BamHI library to identify an 11 kb clone. A 5 kb SmaI fragment (fragment (b) in Fig. 20) is subcloned and subsequently inserted into pKap1 digested with SmaI. Those plasmids containing the correct orientation of J segments, C $\kappa$  and the E $\mu$  enhancer are designated pKap2.

One or more V $\kappa$  segments are thereafter subcloned into the MluI site of pKap2 to yield the plasmid pKapH which encodes the human V $\kappa$  segments, the human J $\kappa$  segments, the human C $\kappa$

segments and the human E $\mu$  enhancer. This insert is excised by digesting pKapH with NotI and purified by agarose gel electrophoresis. The thus purified insert is microinjected into the pronucleus of a mouse zygote as previously described.

5 C. Construction of  $\kappa$  Light Chain Minilocus by  
In vivo Homologous Recombination

The 11 kb BamHI fragment is cloned into BamHI digested pGF1 such that the 3' end is toward the SfiI site. The resultant plasmid is designated pKAPint. One or more V $\kappa$  segments is inserted into the polylinker between the BamHI and SpeI sites in pKAPint to form pKapHV. The insert of pKapHV is excised by digestion with NotI and purified. The insert from pKap2 is excised by digestion with NotI and purified. Each of these fragments contain regions of homology in that the fragment from pKapHV contains a 5 kb sequence of DNA that include the J $\kappa$  segments which is substantially homologous to the 5 kb SmaI fragment contained in the insert obtained, from pKap2. As such, these inserts are capable of homologously recombining when microinjected into a mouse zygote to form a transgene encoding V $\kappa$ , J $\kappa$ , and C $\kappa$ .

15 **EXAMPLE 6**

Isolation of Genomic Clones  
Corresponding to Rearranged and Expressed  
Copies of Immunoglobulin  $\kappa$  Light Chain Genes

This example describes the cloning of immunoglobulin  $\kappa$  light chain genes from cultured cells that express an immunoglobulin of interest. Such cells may contain multiple alleles of a given immunoglobulin gene. For example, a hybridoma might contain four copies of the  $\kappa$  light chain gene, two copies from the fusion partner cell line and two copies from the original B-cell expressing the immunoglobulin of interest. Of these four copies, only one encodes the immunoglobulin of interest, despite the fact that several of them may be rearranged. The procedure described in this example allows for the selective cloning of the expressed copy of the  $\kappa$  light chain.

A. Double Stranded cDNA

Cells from human hybridoma, or lymphoma, or other cell line that synthesizes either cell surface or secreted or both forms of IgM with a  $\kappa$  light chain are used for the isolation of polyA<sup>+</sup> RNA. The RNA is then used for the synthesis of oligo dT primed cDNA using the enzyme reverse transcriptase (for general methods see, Goodspeed et al. (1989) Gene 76: 1; Dunn et al.

(1989) J. Biol. Chem. 264: 13057). The single stranded cDNA is then isolated and G residues are added to the 3' end using the enzyme polynucleotide terminal transferase. The G-tailed single-stranded cDNA is then purified and used as template for second strand synthesis (catalyzed by the enzyme DNA polymerase) using the following oligonucleotide as a primer:

5 5' - GAG GTA CAC TGA CAT ACT GGC ATG CCC CCC CCC CCC - 3' (SEQ ID NO:50)

The double stranded cDNA is isolated and used for determining the nucleotide sequence of the 5' end of the mRNAs encoding the heavy and light chains of the expressed immunoglobulin molecule. Genomic clones of these expressed genes are then isolated. The procedure for cloning the expressed light chain gene is outlined in part B below.

## 10 B. Light Chain

The double stranded cDNA described in part A is denatured and used as a template for a third round of DNA synthesis using the following oligonucleotide primer:

5' - GTA CGC CAT ATC AGC TGG ATG AAG TCA TCA GAT GGC GGG AAG ATG AAG  
ACA GAT GGT GCA - 3' (SEQ ID NO:51)

15 This primer contains sequences specific for the constant portion of the  $\kappa$  light chain message (TCA TCA GAT GGC GGG AAG ATG AAG ACA GAT GGT GCA) (SEQ ID NO:52) as well as unique sequences that can be used as a primer for the PCR amplification of the newly synthesized DNA strand (GTA CGC CAT ATC AGC TGG ATG AAG) (SEQ ID NO:53). The sequence is amplified by PCR using the following two oligonucleotide primers:

20 5' - GAG GTA CAC TGA CAT ACT GGC ATG -3' (SEQ ID NO:54)  
5' - GTA CGC CAT ATC AGC TGG ATG AAG -3' (SEQ ID NO:53)

The PCR amplified sequence is then purified by gel electrophoresis and used as template for dideoxy sequencing reactions using the following oligonucleotide as a primer:

5' - GAG GTA CAC TGA CAT ACT GGC ATG -3' (SEQ ID NO:54)

25 The first 42 nucleotides of sequence will then be used to synthesize a unique probe for isolating the gene from which immunoglobulin message was transcribed. This synthetic 42 nucleotide segment of DNA will be referred to below as o-kappa.

A Southern blot of DNA, isolated from the Ig expressing cell line and digested individually and in pairwise combinations with several different restriction endonucleases including SmaI, is  
30 then probed with the 32-P labelled unique oligonucleotide o-kappa. A unique restriction endonuclease site is identified upstream of the rearranged V segment.

DNA from the Ig expressing cell line is then cut with SmaI and second enzyme (or BamHI or KpnI if there is SmaI site inside V segment). Any resulting non-blunted ends are treated with the enzyme T4 DNA polymerase to give blunt ended DNA molecules. Then add restriction site encoding linkers (BamHI, EcoRI or XhoI depending on what site does not exist in fragment) and cut with the corresponding linker enzyme to give DNA fragments with BamHI, EcoRI or XhoI ends. The DNA is then size fractionated by agarose gel electrophoresis, and the fraction including the DNA fragment covering the expressed V segment is cloned into lambda EMBL3 or Lambda FIX (Stratagene, La Jolla, California). V segment containing clones are isolated using the unique probe o-kappa. DNA is isolated from positive clones and subcloned into the polylinker of pKapl. The resulting clone is called pRKL.

### EXAMPLE 7

#### Isolation of Genomic Clones Corresponding to Rearranged Expressed Copies of Immunoglobulin Heavy Chain $\mu$ Genes

This example describes the cloning of immunoglobulin heavy chain  $\mu$  genes from cultured cells of expressed and immunoglobulin of interest. The procedure described in this example allows for the selective cloning of the expressed copy of a  $\mu$  heavy chain gene.

Double-stranded cDNA is prepared and isolated as described herein before. The double-stranded cDNA is denatured and used as a template for a third round of DNA synthesis using the following oligonucleotide primer:

5' - GTA CGC CAT ATC AGC TGG ATG AAG ACA GGA GAC GAG GGG GAA AAG GGT TGG GGC GGA TGC - 3' (SEQ ID NO:55)

This primer contains sequences specific for the constant portion of the  $\mu$  heavy chain message (ACA GGA GAC GAG GGG GAA AAG GGT TGG GGC GGA TGC) (SEQ ID NO:56) as well as unique sequences that can be used as a primer for the PCR amplification of the newly synthesized DNA strand (GTA CGC CAT ATC AGC TGG ATG AAG) (SEQ ID NO:53). The sequence is amplified by PCR using the following two oligonucleotide primers:

5' - GAG GTA CAC TGA CAT ACT GGC ATG - 3' (SEQ ID NO:54)  
5' - GTA CTC CAT ATC AGC TGG ATG AAG - 3' (SEQ ID NO:57)

The PCR amplified sequence is then purified by gel electrophoresis and used as template for dideoxy sequencing reactions using the following oligonucleotide as a primer:





recombination heptamer-12 by spacer-nonamer sequences. Three of the clones were mapped and sequenced. Two of the clones, 65.5 and 65.8 appear to be functional, they contain TATA boxes, open reading frames encoding leader and variable peptides (including 2 cysteine residues), splice sequences, and recombination heptamer-12 bp spacer-nonamer sequences. The third clone, 65.4, appears to encode a V<sub>κ</sub>I pseudogene as it contains a non-canonical recombination heptamer.

One of the functional clones, V<sub>κ</sub> 65-8, which encodes a V<sub>κ</sub>III family gene, was used to build a light chain minilocus construct.

### pKC1

The kappa light chain minilocus transgene pKC1 (Fig. 32) was generated by inserting a 7.5 kb XhoI/SalI fragment containing V<sub>κ</sub> 65.8 into the 5' XhoI site of pKcor. The transgene insert was isolated by digestion with NotI prior to injection.

The purified insert was microinjected into the pronuclei of fertilized (C57BL/6 x CBA) F2 mouse embryos and transferred the surviving embryos into pseudopregnant females as described by Hogan et al. (*in* Methods of Manipulating the Mouse Embryo, 1986, Cold Spring Harbor Laboratory, New York). Mice that developed from injected embryos were analyzed for the presence of transgene sequences by Southern blot analysis of tail DNA. Transgene copy number was estimated by band intensity relative to control standards containing known quantities of cloned DNA. Serum was isolated from these animals and assayed for the presence of transgene encoded human Ig kappa protein by ELISA as described by Harlow and Lane (*in* Antibodies: A Laboratory Manual, 1988, Cold Spring Harbor Laboratory, New York). Microtiter plate wells were coated with mouse monoclonal antibodies specific for human Ig kappa (clone 6E1, #0173, AMAC, Inc., Westbrook, ME), human IgM (Clone AF6, #0285, AMAC, Inc., Westbrook, ME) and human IgG1 (clone JL512, #0280, AMAC, Inc., Westbrook, ME). Serum samples were serially diluted into the wells and the presence of specific immunoglobulins detected with affinity isolated alkaline phosphatase conjugated goat anti-human Ig (polyvalent) that had been pre-adsorbed to minimize cross-reactivity with mouse immunoglobulins.

Fig. 35 shows the results of an ELISA assay of serum from 8 mice (I.D. #676, 674, 673, 670, 666, 665, 664, and 496). The first seven of these mice developed from embryos that were injected with the pKC1 transgene insert and the eighth mouse is derived from a mouse generated by microinjection of the pHK1 transgene (described previously). Two of the seven mice from KC1 injected embryos (I.D.#'s 666 and 664) did not contain the transgene insert as assayed by DAN Southern blot analysis, and five of the mice (I.D.#'s 676, 674, 673, 670, and 665) contained



Two synthetic oligonucleotides (o-130, o-131) were used to amplify rearranged kappa light chain genes from human spleen genomic DNA. Oligonucleotide o-131 (gga ccc aga (g,c)gg aac cat gga a(g,a)(g,a,t,c)) is complementary to the 5' region of V $\kappa$ III family light chain genes and overlaps the first ATC of the leader sequence. Oligonucleotide o-130 (gtg caa tca att ctc gag ttt gac tac aga c) is complementary to a sequence approximately 150 bp 3' of J $\kappa$ 1 and includes an XhoI site. These two oligonucleotides amplify a 0.7 kb DNA fragment from human spleen DNA corresponding to rearranged V $\kappa$ III genes joined to J $\kappa$ 1 segments. The PCR amplified DNA was digested with NcoI and XhoI and cloned individual PCR products into the plasmid pNN03. The DNA sequence of 5 clones was determined and identified two with functional VJ joints (open reading frames). Additional functionally rearranged light chain clones are collected. The functionally rearranged clones can be individually cloned into light chain expression cassette described above (Fig. 33). Transgenic mice generated with the rearranged light chain constructs can be bred with heavy chain minilocus transgenics to produce a strain of mice that express a spectrum of fully human antibodies in which all of the diversity of the primary repertoire is contributed by the heavy chain. One source of light chain diversity can be from somatic mutation. Because not all light chains will be equivalent with respect to their ability to combine with a variety of different heavy chains, different strains of mice, each containing different light chain constructs can be generated and tested. The advantage of this scheme, as opposed to the use of unrearranged light chain miniloci, is the increased light chain allelic and isotypic exclusion that comes from having the light chain ready to pair with a heavy chain as soon as heavy chain VDJ joining occurs. This combination can result in an increased frequency of B-cells expressing fully human antibodies, and thus it can facilitate the isolation of human Ig expressing hybridomas.

NotI inserts of plasmids pIGM1, pHC1, pIGG1, pKC1, and pKC2 were isolated away from vector sequences by agarose gel electrophoresis. The purified inserts were microinjected into the pronuclei of fertilized (C57BL/6 x CBA)F2 mouse embryos and transferred the surviving embryos into pseudopregnant females as described by Hogan et al. (Hogan et al., *Methods of Manipulating the Mouse Embryo*, Cold Spring Harbor Laboratory, New York (1986)).

## EXAMPLE 9

### Inactivation of the Mouse Kappa Light Chain Gene by Homologous Recombination

This example describes the inactivation of the mouse endogenous kappa locus by homologous recombination in embryonic stem (ES) cells followed by introduction of the mutated gene into the mouse germ line by injection of targeted ES cells bearing an inactivated kappa allele into early mouse embryos (blastocysts).



The Herpes simplex Virus (HSV) thymidine kinase (TK) gene was then included in the construct in order to allow for enrichment of ES clones bearing homologous recombinants, as described by Mansour et al., Nature 336:348-352 (1988), which is incorporated herein by reference. The HSV TK cassette was obtained from the plasmid pGEM7 (TK), which contains the structural sequences for the HSV TK gene bracketed by the mouse pgk promoter and polyadenylation sequences as described above for pGEM7 (KJ1). The EcoRI site of pGEM7 (TK) was modified to a BamHI site and the TK cassette was then excised as a BamHI/HindIII fragment and subcloned into pGP1b to generate pGP1b-TK. This plasmid was linearized at the XhoI site and the XhoI fragment from pNEO-K5'3', containing the neo gene flanked by genomic sequences from 5' of J $\kappa$  and 3' of C $\kappa$ , was inserted into pGP1b-TK to generate the targeting vector J/C KI (Fig. 20d). The putative structure of the genomic kappa locus following homologous recombination with J/C K1 is shown in Fig. 20e.

### Generation and analysis of ES cells with targeted inactivation of a kappa allele

The ES cells used were the AB-1 line grown on mitotically inactive SNL76/7 cell feeder layers (McMahon and Bradley, Cell 62:1.073-1085 (1990)) essentially as described (Robertson, E.J. (1987) in Teratocarcinomas and Embryonic Stem Cells: A Practical Approach. E.J. Robertson, ed. (Oxford: IRL Press), p. 71-112). Other suitable ES lines include, but are not limited to, the E14 line (Hopper et al. (1987) Nature 326: 292-295), the D3 line (Doetschman et al. (1985) J. Embryol. Exp. Morph. 87: 27-45), and the CCE line (Robertson et al. (1986) Nature 323: 445-448). The success of generating a mouse line from ES cells bearing a specific targeted mutation depends on the pluripotency of the ES cells (i.e., their ability, once injected into a host blastocyst, to participate in embryogenesis and contribute to the germ cells of the resulting animal).

The pluripotency of any given ES cell line can vary with time in culture and the care with which it has been handled. The only definitive assay for pluripotency is to determine whether the specific population of ES cells to be used for targeting can give rise to chimeras capable of germline transmission of the ES genome. For this reason, prior to gene targeting, a portion of the parental population of AB-1 cells is injected into C57B1/6J blastocysts to ascertain whether the cells are capable of generating chimeric mice with extensive ES cell contribution and whether the majority of these chimeras can transmit the ES genome to progeny.

The kappa chain inactivation vector J/C K1 was digested with NotI and electroporated into AB-1 cells by the methods described (Hasty et al., Nature, u:243-246 (1991)). Electroporated cells were plated onto 100 mm dishes at a density of  $1-2 \times 10^6$  cells/dish. After 24 hours, G418











frozen and the other half analyzed for homologous recombination between vector and target sequences.

DNA analysis was carried out by Southern blot hybridization. DNA was isolated from the clones as described (Laird et al. (1991) Nucleic Acids Res. 19: 4293), digested with StuI and probed with the 500 bp EcoRI/StuI fragment designated as probe A in Fig. 21f. This probe detects a StuI fragment of 4.7 kb in the wild-type locus, whereas a 3 kb band is diagnostic of homologous recombination of endogenous sequences with the targeting vector (see Fig. 21a and f). Of 525 G418 and FIAU doubly-resistant clones screened by Southern blot hybridization, 12 were found to contain the 3 kb fragment diagnostic of recombination with the targeting vector. That these clones represent the expected targeted events at the  $J_H$  locus (as shown in Fig. 21f) was confirmed by further digestion with HindIII, SpeI and HpaI. Hybridization of probe A (see Fig. 21f) to Southern blots of HindIII, SpeI, and HpaI digested DNA produces bands of 2.3 kb, > 10 kb, and > 10kb, respectively, for the wild-type locus (see Fig. 21a), whereas bands of 5.3 kb, 3.8 kb, and 1.9 kb, respectively, are expected for the targeted heavy chain locus (see Fig 21f). All 12 positive clones detected by the StuI digest showed the predicted HindIII, SpeI, and HpaI bands diagnostic of a targeted  $J_H$  gene. In addition, Southern blot analysis of a StuI digest of all 12 clones using a neo-specific probe (probe B, Fig. 21f) generated only the predicted fragment of 3 kb, demonstrating that the clones each contained only a single copy of the targeting vector.

#### Generation of mice carrying the $J_H$ deletion

Three of the targeted ES clones described in the previous section were thawed and injected into C57BL/6J blastocysts as described (Bradley, A. (1987) in Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, E.J. Robertson, ed. (Oxford: IRL Press), p.113-151) and transferred into the uteri of pseudopregnant females. The extent of ES cell contribution to the chimera was visually estimated from the amount of agouti coat coloration, derived from the ES cell line, on the black C57BL/6J background. Half of the offspring resulting from blastocyst injection of two of the targeted clones were chimeric (i.e., showed agouti as well as black pigmentation); the third targeted clone did not generate any chimeric animals. The majority of the chimeras showed significant (approximately 50 percent or greater) ES cell contribution to coat pigmentation. Since the AB-1 ES cells are an XY cell line, most of the chimeras were male, due to sex conversion of female embryos colonized by male ES cells. Males chimeras were bred, with C57BL/6J females and the offspring monitored for the presence of the dominant agouti coat color indicative of germline transmission of the ES genome. Chimeras from both of the clones consistently generated agouti offspring. Since only one copy of the heavy chain locus was targeted in the injected ES clones, each agouti pup had a 50 percent chance of inheriting the mutated locus.

Screening for the targeted gene was carried out by Southern blot analysis of StuI-digested DNA from tail biopsies, using the probe utilized in identifying targeted ES clones (probe A, Fig. 21f). As expected, approximately 50 percent of the agouti offspring showed a hybridizing StuI band of approximately 3 kb in addition to the wild-type band of 4.7 kb, demonstrating germline transmission of the targeted J<sub>H</sub> gene segment.

In order to generate mice homozygous for the mutation, heterozygotes were bred together and the heavy chain genotype of the offspring determined as described above. As expected, three genotypes were derived from the heterozygote matings: wild-type mice bearing two copies of the normal J<sub>H</sub> locus, heterozygotes carrying one targeted copy of the gene and one normal copy, and mice homozygous for the J<sub>H</sub> mutation. The absence of J<sub>H</sub> sequences from these latter mice was verified by hybridization of the Southern blots of StuI-digested DNA with a probe specific for J<sub>H</sub> (probe C, Fig. 21a). whereas hybridization of the J<sub>H</sub> probe to a 4.7 kb fragment in DNA samples from heterozygous and wild-type siblings was observed, no signal was present in samples from the J<sub>H</sub>-mutant homozygotes, attesting to the generation of a novel mouse strain in which both copies of the heavy chain gene have been mutated by deletion of the J<sub>H</sub> sequences.

## EXAMPLE 12

### Heavy Chain Minilocus Transgene

#### A. Construction of plasmid vectors for cloning large DNA sequences

##### 1. pGP1a

The plasmid pBR322 was digested with EcoRI and StyI and ligated with the following oligonucleotides:

oligo-42      5'    -    caa gag ccc gcc taa tga gcg ggc ttt ttt ttg cat act gcg gcc gct -3' (SEQ ID NO:62)

oligo-43      5'    -    aat tag cgg ccg cag tat gca aaa aaa agc ccg ctc att agg cgg gct -3' (SEQ ID NO:63)

The resulting plasmid, pGP1a, is designed for cloning very large DNA constructs that can be excised by the rare cutting restriction enzyme NotI. It contains a NotI restriction site downstream (relative to the ampicillin resistance gene, AmpR) of a strong transcription termination signal derived from the trpA gene (Christie et al., Proc. Natl. Acad. Sci. USA 78:4180 (1981)). This termination signal reduces the potential toxicity of coding sequences inserted into the NotI site by eliminating readthrough transcription from the AmpR gene. In addition, this plasmid is low copy relative to the pUC plasmids because it retains the pBR322 copy number control region. The

low copy number further reduces the potential toxicity of insert sequences and reduces the selection against large inserts due to DNA replication. The vectors pGP1b, pGP1c, pGP1d, and pGP1f are derived from pGP1a and contain different polylinker cloning sites. The polylinker sequences are given below

## 5 pGP1a

NotI  
GCGGCCGC

## pGP1b

10 NotI XhoI ClaI BamHI HindIII NotI  
GCggccgcctcgagatcactatcgattaattaaggatccagcagtaagcttgcGGCCGC (SEQ ID NO:64)

## Pg11c

NotI SmaI XhoI SalI HindIII BamHI SacII NotI  
GCggccgcatcccgggtctcgaggtcgacaagctttcgaggatccgcGGCCGC (SEQ ID NO:65)

## 15 pGP1d

NotI SalI HindIII ClaI BamHI XhoI NotI  
GCggccgctgtcgacaagcttatcgatggatcctcgagtgcGGCCGC (SEQ ID NO:66)

## pGP1f

20 NotI SalI HindIII EcoRI ClaI KpnI BamHI XhoI NotI  
GCggccgctgtcgacaagcttcgaattcagatcgatgtggtacctggatcctcgagtgcGGCCGC  
(SEQ ID NO:67)

Each of these plasmids can be used for the construction of large transgene inserts that are excisable with NotI so that the transgene DNA can be purified away from vector sequences prior to microinjection.

## 25 2. pGP1b

pGP1a was digested with NotI and ligated with the following oligonucleotides:

oligo-47 5' - ggc cgc aag ctt act gct gga tcc tta att aat cga tag tga tct cga ggc -3' (SEQ ID NO:68)

oligo-48      5'    -      ggc cgc ctc gag atc act atc gat taa tta agg atc cag cag taa gct tgc -3' (SEQ ID NO:69)

The resulting plasmid, pGP1b, contains a short polylinker region flanked by NotI sites. This facilitates the construction of large inserts that can be excised by NotI digestion.

### 5                    3.      pGPe

The following oligonucleotides:

oligo-44      5'    -      ctc cag gat cca gat atc agt acc tga aac agg gct tgc -3' (SEQ ID NO:70)

oligo-45      5'    -      ctc gag cat gca cag gac ctg gag cac aca cag cct tcc -3' (SEQ ID NO:71)

10      were used to amplify the immunoglobulin heavy chain 3' enhancer (S. Petterson, et al., Nature 344:165-168 (1990)) from rat liver DNA by the polymerase chain reaction technique.

15      The amplified product was digested with BamHI and SphI and cloned into BamHI/SphI digested pNNO3 (pNNO3 is a pUC derived plasmid that contains a polylinker with the following restriction sites, listed in order: NotI, BamHI, NcoI, ClaI, EcoRV, XbaI, SacI, XhoI, SphI, PstI, BglII, EcoRI, SmaI, KpnI, HindIII, and NotI). The resulting plasmid, pRE3, was digested with BamHI and HindIII, and the insert containing the rat Ig heavy chain 3' enhancer cloned into BamHI/HindIII digested pGP1b. The resulting plasmid, pGPe (Fig. 22 and Table 1), contains several unique restriction sites into which sequences can be cloned and subsequently excised together with the 3' enhancer by NotI digestion.

TABLE 1

AATTAGCggcgcgctcagatcgtataattaaggatccagatatcagtacctgaacagggctgtcacaca  
tctctctctctgtctctctgtctctgtgtgtgtctctctctgtctctctgtctctctgtctctgtgtgtg  
tctctctctgtctctctctgtctctctgtctctgtctctgtctctgtctctgtctctctctctctctc  
tctctctctctctctcacacacacacacacacacacacacacactgccgagtactactctgtgcagggttgccc  
tcggggcacatgcaaatggatgtttgttccatgcagaaaaacatgtttctattctctgagccaaaaatagcatcaatga  
ttccccaccctgcagctgcaggttcaccccacctggccagggtgaccagctttggggatggggctgggggttccatgac  
ccctaacggtgacattgaattcagtggtttccatttatcgcactgctggaatctgaccctaggagggaatgacaggag  
ataggcaaggtccaacacccccagggaagtgggagagacaggaaggctgtgtgtgtccaggtcctgtgcatgtgcaga  
tctgaattcccggtaccaagcttgcGGCCGCAGTATGCAAAAAAAGCCCGCTCATTAGGCGGGCTCT  
TGGCAGAACAT  
ATCCATCGCGTCCGCCATCTCCAGCAGCCGCACGCGGCGCATCTCGGGCAGCGTTGGG  
TCCTGGCCACGGGTGCGCATGA  
TCGTGCTCCTGTCGTTGAGGACCCGGCTAGGCTGGCGGGGTTGCCTTACTGGTTAGCAG  
AATGAATCACCGATACGCGAG  
CGAACGTGAAGCGACTGCTGCTGCAAAACGTCTGCGACCTGAGCAACAACATGAATGG  
TCTTCGGTTTCCGTGTTTCGTA  
AAGTCTGGAAACGCGGAAGTCAGCGCCCTGCACCATTATGTTCCGGATCTGCATCGCA  
GGATGCTGCTGGCTACCCTGTG  
GAACACCTACATCTGTATTAACGAAGCGCTGGCATTGACCCTGAGTGATTTTTCTCTGG  
TCCCGCCGCATCCATACCGCC  
AGTTGTTTACCCTCACACGTTCCAGTAACCGGGCATGTTTCATCATCAGTAACCCGTAT  
CGTCACGATCCTCTCTCGTTT  
CATCGGTATCATTACCCCCATGAACAGAAATTCCCCCTTACACGGAGGCATCAAGTGA  
CCAAACAGGAAAAAACCGCCCT  
TAACATGGCCCCGCTTTATCAGAAGCCAGACATTAACGCTTCTGGAGAAACTCAACGAG  
CTGGACGCGGATGAACAGGCAG  
ACATCTGTGAATCGCTTCACGACCACGCTGATGAGTTTACCGCAGCTGCCTCGCGCGTT  
TCGGTGATGACGGTGAAAAC  
CTCTGACACATGCAGCTCCCGGAGACGGTCACAGCTTGTCTGTAAGCGGATGCCGGGA  
GCAGACAAGCCCGTCAGGGCGC  
GTCAGCGGGTGTTGGCGGGTGTCGGGGCGCAGCCATGACCCAGTCACGTAGCGATAGC  
GGAGTGTATACTGGCTTAACTA  
TGCGGCATCAGAGCAGATTGTA CTGAGAGTGCACCATATGCGGTGTGAAATACCGCAC  
AGATGCGTAAGGAGAAAAATACC  
GCATCAGGCGCTCTTCCGCTTCCCTCGCTCACTGACTCGCTGCGCTCGGTTCGTTCCGGCTG  
CGGCGAGCGGTATCAGCTCAC  
TCAAAGGCGGTAATACGGTTATCCACAGAATCAGGGGATAACGCAGGAAAGAACATGT  
GAGCAAAAGGCCAGCAAAAGGC  
CAGGAACCGTAAAAAGGCCGCGTGTGCTGGCGTTTTTCCATAGGCTCCGCCCCCCTGACG  
AGCATCACAAAAATCGACGCT  
CAAGTCAGAGGTGGCGAAACCCGACAGGACTATAAAGATACCAGGCGTTTCCCCCTGG  
AAGCTCCCTCGTGCGCTCTCCT  
TAGGTATCTCAGTTCGGTGTAGGTCGTTTCGCTCCAAGCTGGGCTGTGTGCACGAACCCC  
CCGTTTCAGCCCGACCGCTGCG  
CCTTATCCGGTA ACTATCGTCTTGAGTCCAACCCGGTAAGACACGACTTATCGCCACTG  
GCAGCAGCCACTGGTAACAGG  
ATTAGCAGAGCGAGGTATGTAGGCGGTGCTACAGAGTTCTTGAAGTGGTGGCCTAACT

ACGGCTACACTAGAAGGACAGT  
 ATTTGGTATCTGCGCTCTGCTGAAGCCAGTTACCTTCGGAAAAAGAGTTGGTAGCTCTT  
 GATCCGGCAAACAAACCACCG  
 CTGGTAGCGGTGGTTTTTTTTGTTTGC'AAGCAGCAGATTACGCGCAGAAAAAAAGGATCT  
 CAAGAAGATCCTTTGATCTTT  
 TCTACGGGGTCTGACGCTCAGTGGAACGAAAACCTCACGTAAAGGGATTTTGGTCATGA  
 GATTATCAAAAAGGATCTTCAC  
 CTAGATCCTTTTTAAATTAAAAATGAAGTTTTAAATCAATCTAAAGTATATATGAGTAAA  
 CTTGGTCTGACAGTTACCAAT  
 GCTTAATCAGTGAGGCAGGTATCTCAGCGATCTGTCTATTTTCGTTTCATCCATAGTTGCC  
 TGACTCCCCGTCGTGTAGATA  
 ACTACGATACGGGAGGGCTTACCATCTGGCCCCAGTGCTGCAATGATACCGCGAGACC  
 CACGCTCACCGGCTCCAGATTT  
 ATCAGCAATAAACCCAGCCAGCCGGAAGGGCCGAGCGCAGAAGTGGTCCTGCAACTTTA  
 TCCGCCTCCATCCAGTCTATTA  
 ATTGTTGCCGGGAAGCTAGAGTAAGTAGTTCGCCAGTTAATAGTTTGCGCAACGTTGTT  
 GCCATTGCTGCAGGCATCGTG  
 GTGTCACGCTCGTCGTTTGGTATGGCTTCATTCAGCTCCGGTTCCCAACGATCAAGGCG  
 AGTTACATGATCCCCCATGTT  
 GTGCAAAAAAGCGGTTAGCTCCTTCGGTCCTCCGATCGTTGTCAGAAGTAAGTTGGCCG  
 CAGTGTTATCACTCATGGTTA  
 TGGCAGCACTGCATAATTCTCTTACTGTCATGCCATCCGTAAGATGCTTTTCTGTGACT  
 GGTGAGTACTCAACCAAGTCA  
 TTCTGAGAATAGTGTATGCGGGCAGCCGAGTTGCTCTTGCCCGGCGTCAACACGGGATA  
 ATACCGCGCCACATAGCAGAAC  
 TTTAAAAGTGCTCATCATTGGAAAAACGTTCTTCGGGGCGAAAACTCTCAAGGATCTTAC  
 CGCTGTTGAGATCCAGTTCGA  
 TGTAACCCACTCGTGCACCCAACCTGATCTTCAGCATCTTTTACTTTCACCAGCGTTTCTG  
 GGTGAGCAAAAACAGGAAGG  
 CAAAATGCCGCAAAAAAGGGAATAAGGGCGACACGGAAATGTTGAATACTCATACTCT  
 TCCTTTTTCAATATTATTGAAG  
 CATTTATCAGGGTTATTGTCTGATGAGCGGATACATATTTGAATGTATTTAGAAAAATA  
 AACAAATAGGGGTTCGCGCA  
 CATTTCCCCGAAAAGTGCCACCTGACGTCTAAGAAACCATTATTATCATGACATTAACC  
 TATAAAAATAGGCGTATCACG  
 AGGCCCTTTCGTCTTCAAG





was used to screen the human placenta genomic library for D region clones. Phage clones  $\lambda$ 4.1 and  $\lambda$ 4.3 were isolated. A 5.5 kb XhoI fragment, that includes the D elements  $D_{KI}$ ,  $D_{NI}$ , and  $D_{M2}$  (Zchihara et al., EMBO J. 7:4141 (1988)), was isolated from phage clone  $\lambda$ 4.1. An adjacent upstream 5.2 kb XhoI fragment, that includes the D elements DLR1,  $D_{XP1}$ ,  $D_{XP'1}$ , and  $D_{A1}$ , was isolated from phage clone  $\lambda$ 4.3. Each of these D region XhoI fragments were cloned into the SalI site of the plasmid vector pSP72 (Promega, Madison, WI) so as to destroy the XhoI site linking the two sequences. The upstream fragment was then excised with XhoI and SmaI, and the downstream fragment with EcoRV and XhoI. The resulting isolated fragments were ligated together with SalI digested pSP72 to give the plasmid pDHI. pDHI contains a 10.6 kb insert that includes at least 7 D segments and can be excised with XhoI (5') and EcoRV (3').

#### 4. pCOR1

The plasmid pJM2 was digested with Asp718 (an isoschizomer of KpnI) and the overhang filled in with the Klenow fragment of DNA polymerase I. The resulting DNA was then digested with ClaI and the insert isolated. This insert was ligated to the XhoI/EcoRV insert of pDHI and XhoI/ClaI digested pGPe to generate pCOR1 (Fig. 24).

#### 5. pVH251

A 10.3 kb genomic HindIII fragment containing the two human heavy chain variable region segments  $V_{H251}$  and  $V_{H105}$  (Humphries et al., Nature 331:446 (1988), which is incorporated herein by reference) was subcloned into pSP72 to give the plasmid pVH251.

#### 6. pIGM1

The plasmid pCOR1 was partially digested with XhoI and the isolated XhoI/SalI insert of pVH251 cloned into the upstream XhoI site to generate the plasmid pIGM1 (Fig. 25). pIGM1 contains 2 functional human variable region segments, at least 8 human D segments all 6 human  $J_H$  segments, the human J- $\mu$  enhancer, the human  $\sigma\mu$  element, the human  $\mu$  switch region, all of the human  $\mu$  coding exons, and the human  $\Sigma\mu$  element, together with the rat heavy chain 3' enhancer, such that all of these sequence elements can be isolated on a single fragment, away from vector sequences, by digestion with NotI and microinjected into mouse embryo pronuclei to generate transgenic animals.

## C. Construction of IgM and IgG expressing minilocus transgene pHCl

### 1. Isolation of $\gamma$ constant region clones

The following oligonucleotide, specific for human Ig g constant region genes:

oligo-29      5'      -      cag cag gtg cac acc caa tgc cca tga gcc cag aca ctg gac -3' (SEQ ID NO:76)

was used to screen the human genomic library. Phage clones 129.4 and  $\lambda$ 29.5 were isolated. A 4 kb HindIII fragment of phage clone  $\lambda$ 29.4, containing a  $\gamma$  switch region, was used to probe a human placenta genomic DNA library cloned into the phage vector lambda FIX™ II (Stratagene, La Jolla, CA). Phage clone  $\lambda$ Sg1.13 was isolated. To determine the subclass of the different  $\gamma$  clones, dideoxy sequencing reactions were carried out using subclones of each of the three phage clones as templates and the following oligonucleotide as a primer:

oligo-67      5'      -      tga gcc cag aca ctg gac -3' (SEQ ID NO:77)

Phage clones  $\lambda$ 29.5 and  $\lambda$ Sy1.13 were both determined to be of the  $\gamma$ 1 subclass.

### 2. pye1

A 7.8 kb HindIII fragment of phage clone  $\lambda$ 29.5, containing the  $\gamma$ 1 coding region was cloned into pUC18. The resulting plasmid, pLTI, was digested with XhoI, Klenow treated, and religated to destroy the internal XhoI site. The resulting clone, pLTlxk, was digested with HindIII and the insert isolated and cloned into pSP72 to generate the plasmid clone pLTlxks. Digestion of pLTlxks at a polylinker XhoI site and a human sequence derived BamHI site generates a 7.6 kb fragment containing the  $\gamma$ 1 constant region coding exons. This 7.6 kb XhoI/BamHI fragment was cloned together with an adjacent downstream 4.5 kb BamHI fragment from phage clone  $\lambda$ 29.5 into XhoI/BamHI digested pGPe to generate the plasmid clone pye1. pye1 contains all of the  $\gamma$ 1 constant region coding exons, together with 5 kb of downstream sequences, linked to the rat heavy chain 3' enhancer.

### 3. pye2

A 5.3 kb HindIII fragment containing the  $\gamma$ 1 switch region and the first exon of the pre-switch sterile transcript (P. Sideras et al. (1989) International Immunol. 1, 631) was isolated from phage clone  $\lambda$ Sy1.13 and cloned into pSP72 with the polylinker XhoI site adjacent to the 5' end of the insert, to generate the plasmid clone pSy1s. The XhoI/SalI insert of pSy1s was cloned into

XhoI digested pye1 to generate the plasmid clone pye2 (Fig. 26). pye2 contains all of the  $\gamma$ 1 constant region coding exons, and the upstream switch region and sterile transcript exons, together with 5 kb of downstream sequences, linked to the rat heavy chain 3' enhancer. This clone contains a unique XhoI site at the 5' end of the insert. The entire insert, together with the XhoI site and the 3' rat enhancer can be excised from vector sequences by digestion with NotI.

4. pHC1

The plasmid pIGM1 was digested with XhoI and the 43 kb insert isolated and cloned into XhoI digested pge2 to generate the plasmid pHC1 (Fig. 25). pHC1 contains 2 functional human variable region segments, at least 8 human D segments all 6 human J<sub>H</sub> segments, the human J- $\mu$  enhancer, the human  $\sigma\mu$  element, the human  $\mu$  switch region, all of the human  $\mu$  coding exons, the human  $\Sigma\mu$  element, and the human  $\gamma$ 1 constant region, including the associated switch region and sterile transcript associated exons, together with the rat heavy chain 3' enhancer, such that all of these sequence elements can be isolated on a single fragment, away from vector sequences, by digestion with NotI and microinjected into mouse embryo pronuclei to generate transgenic animals.

D. Construction of IgM and IgG expressing minilocus transgene, pHC2

1. Isolation of human heavy chain V region gene VH49.8

The human placental genomic DNA library lambda, FIX™ II, (Stratagene, La Jolla, CA) was screened with the following human VH1 family specific oligonucleotide:

oligo-49      5'      -      gtt aaa gag gat ttt att cac ccc tgt gtc ctc tcc aca ggt gtc -3' (SEQ ID NO:78)

Phage clone  $\lambda$ 49.8 was isolated and a 6.1 kb XbaI fragment containing the variable segment VH49.8 subcloned into pNN03 (such that the polylinker ClaI site is downstream of VH49.8 and the polylinker XhoI site is upstream) to generate the plasmid pVH49.8. An 800 bp region of this insert was sequenced, and VH49.8 found to have an open reading frame and intact splicing and recombination signals, thus indicating that the gene is functional. (Table 2).

TABLE 2

Sequence of human V<sub>H</sub>I family gene V<sub>H</sub>49.8 (SEQ ID NOS:79 and 80)

TTCTCAGGC	AGGATTTAGG	GCTTGGTCTC	TCAGCATCCC	ACACTTGTAC	50
AGCTGATGTG	GCATCTGTGT	TTTCTTTCTC	ATCCTAGATC	AAGCTTTGAG	100
CTGTGAAATA	CCCTGCCTCA	TGAATATGCA	AATAATCTGA	GGTCTTCTGA	150
GATAAATATA	GATATATTGG	TGCCCTGAGA	GCATCACATA	ACACCAGAT	200
TCCTCCTCTA	AAGAAGCCCC	TGGGAGCACA	GCTCATCACC	ATGGACTGGA MetAspTrpT	250
CCTGGAGGTT HrTrpArgPh	CCTCTTTGTG ILeuPheVal	GTGGCAGCAG ValAlaAlaA	CTACAGgtaa laThr	ggggcttcct	300
agtcctaagg	ctgaggaagg	gatcctggtt	tagttaaaga	ggattttatt	350
caccctgtg	tcctctccac	agGTGTCCAG GlyValGln	TCCCAGGTCC SerGlnValG	AGCTGGTGCA lnLeuValGl	400
GTCTGGGGCT nSerGlyAla	GAGGTGAAGA GluValLysL	AGCCTGGGTC ysProGlySe	CTCGGTGAAG rSerValLys	GTCTCCTGCA ValSerCysL	450
AGGCTTCTGG ysAlaSerGl	AGGCACCTTC yGlyThrPhe	AGCAGCTATG SerSerTyrA	CTATCAGCTG laIleSerTr	GGTGCGACAG pValArgGln	500
GCCCCTGGAC AlaProGlyG	AAGGGCTTGA LnGlyLeuGl	GTGGATGGGA uTrpMetGly	AGGATCATCC ArgIleIleP	CTATCCTTGG roIleLeuGl	550
TATAGCAAAC yIleAlaAsn	TACGCACAGA TyrAlaGlnL	AGTTCCAGGG ysPheGlnGl	CAGAGTCACG yArgValThr	ATTACCGCCG IleThrAlaA	600
ACAAATCCAC spLysSerTh	GAGCACCAGCC rSerThrAla	TACATGGAGC TyrMetGluL	TGAGCAGCCT euSerSerLe	GAGATCTGAG uArgSerGlu	650
GACACGGCCG AspThrAlaV	TGTATTACTG alTyrTyrCy	TGCGAGAGAGC sAlaArg	ACAGTGTGAA	AACCCACATC	700
CTGAGAGTGT	CAGAAACCT	GAGGGAGAAG	GCAGCTGTGC	CGGGCTGAGG	750
AGATGACAGG	GTTTATTAGG	TTTAAGGCTG	TTTACAAAAT	GGGTTATATA	800
TTTGAGAAA	AA				812

## 2. pV2

A 4 kb XbaI genomic fragment containing the human V<sub>H</sub>IV family gene V<sub>H</sub>4-21 (Sanz et al., EMBO J., 8:3741 (1989)), subcloned into the plasmid pUC12, was excised with SmaI and HindIII, and treated with the Klenow fragment of polymerase I. The blunt ended fragment was then cloned into ClaI digested, Klenow treated, pVH49.8. The resulting plasmid, pV2, contains the human heavy chain gene VH49.8 linked upstream of VH4-21 in the same orientation, with a unique SalI site at the 3' end of the insert and a unique XhoI site at the 5' end.

## 3. pSγ1-5'

A 0.7 kb XbaI/HindIII fragment (representing sequences immediately upstream of, and adjacent to, the 5.3 kb γ1 switch region containing fragment in the plasmid pye2) together with the neighboring upstream 3.1 kb XbaI fragment were isolated from the phage clone λSg1.13 and cloned into HindIII/XbaI digested pUC18 vector. The resulting plasmid, pSγ1-5', contains a 3.8 kb insert representing sequences upstream of the initiation site of the sterile transcript found in B-cells prior to switching to the γ1 isotype (P. Bideras et al., International Immunol. 1:631 (1989)). Because the transcript is implicated in the initiation of isotype switching, and upstream cis-acting sequences are often important for transcription regulation, these sequences are included in transgene constructs to promote correct expression of the sterile transcript and the associated switch recombination.

## 4. pVGE1

The pSγ1-5' insert was excised with SmaI and HindIII, treated with Klenow enzyme, and ligated with the following oligonucleotide linker:

5'- ccg gtc gac cgg -3' (SEQ ID NO:81)

The ligation product was digested with SalI and ligated to SalI digested pV2. The resulting plasmid, pVP, contains 3.8 kb of γ1 switch 5' flanking sequences linked downstream of the two human variable gene segments VH49.8 and VH4-21 (see Table 2). The pVP insert is isolated by partial digestion with SalI and complete digestion with XhoI, followed by purification of the 15 kb fragment on an agarose gel. The insert is then cloned into the XhoI site of pye2 to generate the plasmid clone pVGE1 (Fig. 27). pVGE1 contains two human heavy chain variable gene segments upstream of the human γ1 constant gene and associated switch region. A unique SalI site between



Table 3 and Fig. 28 show the results of an ELISA assay for the presence of human IgM and IgG1 in the serum of two animals that developed from embryos injected with the transgene insert of plasmid pHC1. All of the control non-transgenic mice tested negative for expression of human IgM and IgG1 by this assay. Mice from two lines containing the pIGMI NotI insert (lines #6 and 5 15) express human IgM but not human IgG1. We tested mice from 6 lines that contain the pHC1 insert and found that 4 of the lines (lines #26, 38, 57 and 122) express both human IgM and human IgG1, while mice from two of the lines (lines #19 and 21) do not express detectable levels of human immunoglobulins. The pHC1 transgenic mice that did not express human immunoglobulins were so-called G<sub>0</sub> mice that developed directly from microinjected embryos and may have been 10 mosaic for the presence of the transgene. Southern blot analysis indicates that many of these mice contain one or fewer copies of the transgene per cell. The detection of human IgM in the serum of pIGM1 transgenics, and human IgM and IgG1 in pHC1 transgenics, provides evidence that the transgene sequences function correctly in directing VDJ joining, transcription, and isotype switching. One of the animals (#18) was negative for the transgene by Southern blot analysis, and 15 showed no detectable levels of human IgM or IgG1. The second animal (#38) contained approximately 5 copies of the transgene, as assayed by Southern blotting, and showed detectable levels of both human IgM and IgG1. The results of ELISA assays for 11 animals that developed from transgene injected embryos is summarized in the table below (Table 3).



**TABLE 3**

Detection of human IgM and IgG1 in the serum of transgenic animals by ELISA assay

animal #	injected transgene	approximate transgene copies per cell	human IgM	human IgG1
6	pIGM1	1	++	-
7	pIGM1	0	-	-
9	pIGM1	0	-	-
10	pIGM1	0	-	-
12	pIGM1	0	-	-
15	pIGM1	10	++	-
18	pHC1	0	-	-
19	pHC1	1	-	-
21	pHC1	< 1	-	-
26	pHC1	2	++	+
38	pHC1	5	++	+

Table 3 shows a correlation between the presence of integrated transgene DNA and the presence of transgene encoded immunoglobulins in the serum. Two of the animals that were found to contain the pHC1 transgene did not express detectable levels of human immunoglobulins. These were both low copy animals and may not have contained complete copies of the transgenes, or the animals may have been genetic mosaics (indicated by the < 1 copy per cell estimated for animal #21), and the transgene containing cells may not have populated the hematopoietic lineage. Alternatively, the transgenes may have integrated into genomic locations that are not conducive to their expression. The detection of human IgM in the serum of pIGM1 transgenics, and human IgM and IgG1 in pHC1 transgenics, indicates that the transgene sequences function correctly in directing VDJ joining, transcription, and isotype switching.

#### F. cDNA clones

To assess the functionality of the pHC1 transgene in VDJ joining and class switching, as well the participation of the transgene encoded human B-cell receptor in B-cell development and

allelic exclusion, the structure of immunoglobulin cDNA clones derived from transgenic mouse spleen mRNA were examined. The overall diversity of the transgene encoded heavy chains, focusing on D and J segment usage, N region addition, CDR3 length distribution, and the frequency of joints resulting in functional mRNA molecules was examined. Transcripts encoding IgM and IgG incorporating VH105 and VH251 were examined.

Polyadenylated RNA was isolated from an eleven week old male second generation line-57 pHc1 transgenic mouse. This RNA was used to synthesize oligo-dT primed single stranded cDNA. The resulting cDNA was then used as template for four individual PCR amplifications using the following four synthetic oligonucleotides as primers: VH251 specific oligo-149, cta gct cga gtc caa gga gtc tgt gcc gag gtg cag ctg (g,a,t,c) (SEQ ID NO:82); VH105 specific o-150, gtt gct cga gtg aaa ggt gtc cag tgt gag gtg cag ctg (g,a,t,c) (SEQ ID NO:83); human gamma1 specific oligo-151, ggc gct cga gtt cca cga cac cgt cac cgg ttc (SEQ ID NO:84); and human mu specific oligo-152, cct gct cga ggc agc caa cgg cca cgc tgc tcg (SEQ ID NO:85). Reaction 1 used primers 0-149 and o-151 to amplify VH251-gamma1 transcripts, reaction 2 used o-149 and o-152 to amplify VH251-mu transcripts, reaction 3 used o-150 and 0-151 to amplify VH105-gamma1 transcripts, and reaction 4 used 0-150 and o-152 to amplify VH105-mu transcripts. The resulting 0.5 kb PCR products were isolated from an agarose gel; the  $\mu$  transcript products were more abundant than the  $\gamma$  transcript products, consistent with the corresponding ELISA data (Fig. 34). The PCR products were digested with XhoI and cloned into the plasmid pNN03. Double-stranded plasmid DNA was isolated from minipreps of nine clones from each of the four PCR amplifications and dideoxy sequencing reactions were performed. Two of the clones turned out to be deletions containing no D or J segments. These could not have been derived from normal RNA splicing products and are likely to have originated from deletions introduced during PCR amplification. One of the DNA samples turned out to be a mixture of two individual clones, and three additional clones did not produce readable DNA sequence (presumably because the DNA samples were not clean enough). The DNA sequences of the VDJ joints from the remaining 30 clones are compiled in Table 4. Each of the sequences are unique, indicating that no single pathway of gene rearrangement, or single clone of transgene expressing B-cells is dominant. The fact that no two sequences are alike is also an indication of the large diversity of immunoglobulins that can be expressed from a compact minilocus containing only 2 V segments, 10 D segments, and 6 J segments. Both of the V segments, all six of the J segments, and 7 of the 10 D segments that are included in the transgene are used in VDJ joints. In addition, both constant region genes (mu and gamma1) are incorporated into transcripts. The VH105 primer turned out not to be specific for VH105 in the reactions performed. Therefore many of the clones from reactions 3 and



TABLE 4 (SEQ ID NOS:86-115)

V							n-D-n		J		C	
1	V11251	DHQ52	J3 $\gamma^1$	TACTGTGCGAGA	CGGCTAAACTGGGGTTGAT	GCCTTGATATCTGGGCCAAGGGACAAT GGTCACCGTCTCTTCAG	CCTCCACCAAG					
2	V11251	DN1	J4 $\gamma^1$	TACTGTGCGAGA	CACCGTATAGCAGCAGCTGG	CTTTGACTACTGGGGCCAGGGAAACCT GGTCACCGTCTCTCCAG	CCTCCACCAAG					
3	V11251	DN1	J6 $\gamma^1$	TACTGTGCGAGA	T	ATTACTACTACTACTACGGTATGGACGT CTGGGCCAAGGGACCAACGGTCAACCCG TCTCTCAG	CCTCCACCAAG					
4	V11251	D?	J6 $\gamma^1$	TACTGTGCGAGA	CATTACGATAATTTTGACTGGT C	CTACTACTACTACGGTATGGACGTCTGG GCCAAGGGACCAACGGTCAACCGTCTCT CAG	CCTCCACCAAG					
5	V11251	DXP1	J4 $\gamma^1$	TACTGTGCGAGA	CGGAGGTACTATGGTTCGGGG AGTTATTATAACGT	CTTTGACTACTGGGGCCAAAGGGACAA TGGTCACCGTCTCTTCAG	CCTCCACCAAG					
6	V11251	D?	J3 $\gamma^1$	TACTGTGCGAGA	CGGGGGTGTCTGAT	GCTTGATATCTGGGGCCAAAGGGACAA TGGTCACCGTCTCTTCAG	CCTCCACCAAG					
7	V11251	DHQ52	J3 $\mu$	TACTGTGCGAGA	GCAACTGGC	GCTTGATATCTGGGGCCAAAGGGACAA TGGTCACCGTCTCTTCAG	GGAGTGCAATCC					
8	V11251	DHQ52	J6 $\mu$	TACTGTGCGAGA	TCGGCTAACTGGGGATC	CTACTACTACTACGGTATGGACAGTCTG GGGCCAAGGGACCAACGGTCACCGTCTC CTCAG	GGAGTGCAATCC					
9	V11251	---	J1 $\mu$	TACTGTGCCGAGA		TACTTCAGGCACCTGGGGCCAGGGCACC CTGGTCACCGTCTCTTCAG	GGAGTGCAATCC					
10	V11251	DIR2	J4 $\mu$	TACTGTGCGAGA	CACGTAGCTAACTCT	TTTGACTACTGGGGCCAGGGAAACCTG GTACACCGTCTCTTCAG	GGAGTGCAATCC					
11	V11251	DXPT	J4 $\mu$	TACTGTGCGAGA	CAAAATTACTATGGTTCGGGGGA GTTC	CTTTGACTACTGGGGCCAGGGAAACCT GGTTCACGTCTCTTCAG	GGAGTGCAATCC					
12	V11251	D?	J1 $\mu$	TACTGTGCGAGA	C	AATACCTCCAGCACTGGGGCCAGGGCA CCCTGGTCACCGTCTCTTCAG	GGAGTGCAATCC					
13	V11251	DHQ52	J6 $\mu$	TACTGTGCGAGA	CAAACTGGGG	ACTACTACTACTACGGTATGGACGTCTG GGGCCCAAGGGACCAACGGTCACCGTCT CCTCAG	GGAGTGCAATCC					
14	V11251	DXP1	J6 $\gamma^1$	TACTGTGCGAGA	CATTACTATGGTTCGGGGAGT TTATG	ACTACTACTACTACGGTATGGACGTCTG GGGCCAAGGGACCAACGGTCACCGTCTC CTCAG	GGAGTGCAATCC					
15	V11251	DXP1	J4 $\mu$	TACTGTGCGAGA	CAGGGAG	TGGGGCCAGGGAAACCTGGTCAACCGTC TCTCAG	GGAGTGCAATCC					
16	V1105	DXP1	J5 $\mu$	TACTGTGCGAGA	TTCTGGGAG	ACTGGTTCGACCCCTGGGGCCAGGGAA CCCTGGTCACCGTCTCTTCAG	CCTTCCACCAAG					
17	V11251	DXP1	J4 $\gamma^1$	TACTGTGCGAGA	CGGAGGTACTATGGTTCGGGG AGTTATTATAACGT	CTTTGACTACTGGGGCCAGGGAAACCT GGAAACCTTGGTCACCGTCTCTTCAG	CCTCCACCAAG					
18	V11251	DHQ52	J4 $\gamma^1$	TACTGTGCGAGA	CAAACTGGGGAGGA	ACTACTACTACGGTATGGACGTCTGGG GCCAAGGGACCAACGGTCAACCGTCAAC TCTCTCAG	GGAGTGGCATC C					
19	V11251	DK1	J6 $\gamma^1$	TACTGTGCGAGA	GGATATATAGGCTACGATA	ACTACTTTGACTACTGGGGCCAGGGAAAC CCTGGTCACCGTCTCTTCAG	CCTCCACCAAG					
20	V11251	DHQ52	J4 $\mu$	TACTGTGCGAGA	CAAACTGGGGAGG	CTACTGGTACTTCGATCTCTGGGGCCGT GGACCTCTGGCACCGTCTCTTCAG	CTCCACCAAG					
21	V11251	DK1	J2 $\gamma^1$	TACTGTGCGAGA	TATAGTGGCTACGATTAC	CTACTFTTACTTCGATCTCTGGGCCGT GGACCTCTGGTCACCGTCTCTTCAG	GGAGTGCAATCC					

22	V11251	DIR2	J6 $\gamma^1$	TACTGTGCGAGA	GCA'TCCCTCCCTCCCTTTG	ACTATACGGTATGGACGTCTGGGCCAA GGGACCACGGTCACCGTGCTCTCCTCA G	GGAGTGCATCC
23	V11251	DIR2	J4 $\mu$	TACTGTGCGAGA	CGGGGTGGGG	TTTGACTACTGGGGCCAGGGAACCCCTG GTCACCGTCTCCTCAG	GGAGTGCATCC
24	V11105	D?	J6 $\mu$	TACTGTGTG	CCGGTCGAAACT	TTACTACTACTACGGGTATGGACGTG GGGCCAAGGGACCACCGTCAACCGTCCT CCTCAGTGACTACTGGGGCCAGGGAAC CCTGGTCACGTCACCGTCTCCTCAG	GGAGTGCATCC
25	V11105	DXP1	J4 $\mu$	TACTGTGCGAGA	GATA'TTGTACTGGTTAACG	TGCTTTGATATCTGGGGCCAAAGGACA ATGGTCACCGTCTCTTCAG	GGAGTGCATCC
26	V11251	DN1	J3 $\mu$	TACTGTGCGAGA	CATGGTATAGCAGCAGCTGGT AC	ATGCTTTTGATATCTGGGGCCAAAGGGAC AATGGTCACCGTCACCGTCTCTTCAG	GGAGTGCATCC
27	V11105	DHQ52	J3 $\mu$	TACTGTGCGAGA	TCAACTGGGTTG	ATGCTTTTGATATCTGGGGCCAAAGGACA ATGGTCACCTCTCTTCAG	GGAGTGCATCC
28	V11251	DN1	J4 $\mu$	TACTGTGCG	GAATAGCAGCAGCTGCC	CTACTTTGACTACTGGGGCCAGGGAAC CCTGGTCACCGTCTCACCGTCTCCTCAG	GGAGTGCATCC
29	V11105	DN1	J4 $\mu$	TACTGTGCG	TGTATAGCAGCAGCTGGTAAA GGAAACGG	CTACTGGGGCCAGGGAACCCCTGGTCAC CCTGGTCACCGTCTCCTCAG	GGAGTGCATCC
30	V11251	DHQ52	J4 $\mu$	TACTGTGCGAGA	CAAAACTGGGG	TGCACTACTGGGGCCAGGGAACCCCTGG TCACCGTCTCCTCAG	GGAGTGCATCC

### G. J segment choice

Table 5 compared the distribution of J segments incorporated into pHCl transgene encoded transcripts to J segments found in adult human PBL immunoglobulin transcripts. The distribution profiles are very similar, J4 is the dominant segment in both systems, followed by J6. J2 is the least common segment in human PBL and the transgenic animal.

TABLE 5 J. Segment Choice

<u>J. Segment</u>	<u>Percent Usage HC1 transgenic</u>	<u>(<math>\pm</math> 3%) Human PBL</u>
J1	7	1
J2	3	< 1
J3	17	9
J4	44	53
J5	3	15
J6	26	22
	<hr/> 100%	<hr/> 100%

### H. D segment choice

49% (40 of 82) of the clones analyzed by Yamada et al. incorporated D segments that are included in the pHCl transgene. An additional 11 clones contained sequences that were not assigned by the authors to any of the known D segments. Two of these 11 unassigned clones appear to be derived from an inversion of the DIR2 segments which is included in the pHCl construct. This mechanism, which was predicted by Ichihara et al. (EMBO J. 7:4141 (1988)) and observed by Sanz (J. Immunol. 147:1,720-1729 (1991)), was not considered by Yamada et al. (J. Exp. Med. 173:395-407 (1991)). Table 5 is a comparison of the D segment distribution for the pHCl transgenic mouse and that observed for human PBL transcripts by Yamada et al. The data of Yamada et al. was recompiled to include DIR2 use, and to exclude D segments that are not in the pHCl transgene. Table 6 demonstrates that the distribution of D segment incorporation is very similar in the transgenic mouse and in human PBL. The two dominant human D segments, DXP'1 and DN1, are also found with high frequency in the transgenic mouse. The most dramatic dissimilarity between the two distributions is the high frequency of DHQ52 in the transgenic mouse as compared to the human. The high frequency of DHQ52 is reminiscent of the D segment distribution in the human fetal liver. Sanz has observed that 14% of the heavy chain transcripts

contained DHQ52 sequences. If D segments not found in pHC1 are excluded from the analysis, 31% of the fetal transcripts analyzed by Sanz contain DHQ52. This is comparable to the 27% that we observe in the pHC1 transgenic mouse.

**TABLE 6** D Segment Choice

<u>D. Segment</u>	<u>Percent Usage HC1 transgenic</u>	<u>(± 3%) Human PBL</u>
DLR1	< 1	< 1
DXP1	3	6
DXP '1	25	19
DA1	< 1	12
DK1	7	12
DN1	12	22
DIR2	7	4
DM2	< 1	2
DLR2	3	4
DHQ52	26	2
?	17	17
	100%	100%

I. Functionality of VDJ joints

Table 7 shows the predicted amino acid sequences of the VDJ regions from 30 clones that were analyzed from the pHC1 transgenic. The translated sequences indicate that 23 of the 30 VDJ joints (77%) are in-frame with respect to the variable and J segments.

TABLE 7 (SEQ IDS NOS:116-145)

Functionality of V-D-J Joints

					FR 3	CDR 3	FR 4
1.	VH251	DHQ52	J3	$\gamma$ 1	YCAR	RLTGVDAFDI	WGQGTMTVMSSASTK
2.	VH251	DN1	J4	$\gamma$ 1	YCAR	HRIAAAGFDY	WGQGTMLTVSSASTK
3.	VH251	D?	J6	$\gamma$ 1	YCAR	YYYYYYGMDV	WGQGTTVTVSSASTK
4.	VH251	DXP'1	J6	$\gamma$ 1	YCAR	HYDILTGPTTTTVWTSGAKGPRSPSPQPPP	
5.	VH251	DXP'1	J4	$\gamma$ 1	YCAR	RRYYGSGSYYNVFDY	WGQGTMLTVSSADTK
6.	VH251	D?	J3	$\gamma$ 1	YCAR	RGVSDAFDI	WGQGTMTVTVSSADTK
7.	VH251	DHQ52	J3	$\mu$	YCAR	ATGAFDI	WGQGTMTVTVSSGSAS
8.	VH251	DHQ52	J6	$\mu$	YCAR	SANWGSYYYYGMDV	WGQGTTVTVSSGSAS
9.	VH251		J1	$\mu$	YCAR	YFQH	WGQGTMLTVTVSSGSAS
10.	VH251	DLR2	J4	$\mu$	YCAR	HVANSFDY	WGQGTMLTVTVSSGSAS
11.	VH251	DXP'1	J4	$\mu$	YCAR	QITMVRGVFPDY	WGQGTMLTVTVSSGSAS
12.	VH251	D?	J1	$\mu$	YCAR	QYFQH	WGQGTMLTVTVSSGSAS
13.	VH251	DHQ52	J6	$\mu$	YCAR	QTGDYYYYYGMDV	WGQGTTVTVTVSSGSAS
14.	VH251	DXP'1	J6	$\mu$	YCAR	HYYGSGSYDYYYYYGMDV	WGQGTTVTVTVSSGSAS
15.	VH251	DXP'1	J4	$\gamma$ 1	YCAR	OGVGPGNPGHRLLSLHQ	
16.	VH105	DXP'1	J5	$\mu$	YCVR	FWETGSTPGAREPWSPSPQGVH	
17.	VH251	DXP'1	J4	$\gamma$ 1	YCAR	RRYYGSGSYYNVFDY	WGQGTMLTVTVSSGSTK
18.	VH251	DHQ52	J4	$\gamma$ 1	YCAR	QTWGGDY	WGQGTMLTVTVSSGSTK
19.	VH251	DK1	J6	$\gamma$ 1	YCAR	GYSYDNYYYGIHV	WGQGTTVTVTVSSGSTK
20.	VH251	DHQ52	J4	$\mu$	YCAR	QTGEDYFDY	WGQGTMLTVTVSSGSAS
21.	VH251	DK1	J2	$\gamma$ 1	YCAR	YSGYDYLLVLRSLGPWHPGHCLLSLHR	
22.	VH251	DIR2	J6	$\gamma$ 1	YCAR	ASLPSFDYYGMDV	WGQGTTVTVTVSSGSTK
23.	VH251	DIR2	J4	$\mu$	YCAR	RGGGLTTGAREPWSPSPQGVH	
24.	VH105	D?	J6	$\mu$	YCVp	VETLLLLLRYGRLGPRDHGHRLRECI	
25.	VH105	DXP1	J4	$\mu$	YCVR	DILTGZRDY	WGQGTMLTVTVSSGSAS
26.	VH251	DM1	J3	$\mu$	YCAR	HGIAAAGTAFDI	WGQGTMTVTVSSGSAS
27.	VH105	DHQ52	J3	$\mu$	YCVR	STGVDAFDI	WGQGTMTVTVSSGSAS
28.	VH251	DN1	J4	$\mu$	YCAE	IAAAALLZLLGPGNPGHRLRECI	
29.	VH105	DN1	J4	$\mu$	YCVC	IAAAGKNGY	WGQGTMLTVTVSSGSAS
30.	VH251	DHQ52	J4	$\mu$	YCAR	QNWGDY	WGQGTMLTVTVSSGSAS



## J. CDR3 length distribution

Table 8 compared the length of the CDR3 peptides from transcripts with in-frame VDJ joints in the pH1C1 transgenic mouse to those in human PBL. Again the human PBL data comes from Yamada et al. The profiles are similar with the transgenic profile skewed slightly toward smaller CDR3 peptides than observed from human PBL. The average length of CDR3 in the transgenic mouse is 10.3 amino acids. This is substantially the same as the average size reported for authentic human CDR3 peptides by Sanz (J. Immunol. 147:1720-1729 (1991)).

**TABLE 8** **CDR3 Length Distribution**

#amino acids in CDR3	Percent Occurrence <u>HC1 transgenic</u>	( $\pm$ 3%) <u>Human PBL</u>
3-8	26	14
9-12	48	41
13-18	26	37
19-23	< 1	7
> 23	< 1	1
	100%	100%

### EXAMPLE 13

### Rearranged Heavy Chain Transgenes

### A. Isolation of Rearranged Human Heavy Chain VDJ segments.

Two human leukocyte genomic DNA libraries cloned into the phage vector  $\lambda$ EMBL3/SP6/T7 (Clonetech Laboratories, Inc., Palo Alto, CA) are screened with a 1 kb PacI/HindIII fragment of  $\lambda$ 1.3 containing the human heavy chain J- $\mu$  intronic enhancer. Positive clones are tested for hybridization with a mixture of the following V<sub>H</sub> specific oligonucleotides:

oligo-7      5'      -      tca gtg aag gtt tcc tgc aag gca tct gga tac acc ttc acc-3' (SEQ ID NO:146)

oligo-8      5'    -    tcc ctg aga ctc tcc tgt gca gcc tct gga ttc acc ttc agt-3' (SEQ ID NO:147)

Clones that hybridized with both V and J- $\mu$  probes are isolated and the DNA sequence of the rearranged VDJ segment determined.

### B. Construction of rearranged human heavy chain transgenes

Fragments containing functional VJ segments (open reading frame and splice signals) are subcloned into the plasmid vector pSP72 such that the plasmid derived XhoI site is adjacent to the 5' end of the insert sequence. A subclone containing a functional VDJ segment is digested with XhoI and PacI (PacI, a rare-cutting enzyme, recognizes a site near the J-m intronic enhancer), and the insert cloned into XhoI/PacI digested pHc2 to generate a transgene construct with a functional VDJ segment, the J- $\mu$  intronic enhancer, the  $\mu$  switch element, the  $\mu$  constant region coding exons, and the  $\gamma$ 1 constant region, including the sterile transcript associated sequences, the  $\gamma$ 1 switch, and the coding exons. This transgene construct is excised with NotI and microinjected into the pronuclei of mouse embryos to generate transgenic animals as described above.

### EXAMPLE 14

### Light Chain Transgenes

### A. Construction of Plasmid vectors

1. Plasmid vector pGP1c

15 Plasmid vector pGP1a is digested with NotI and the following oligonucleotides ligated in:

oligo-81      5' -      ggc cgc atc ccg ggt ctc gag gtc gac aag ctt tcg agg atc cgc-3' (SEQ ID NO:148)

oligo-82      5' -      ggc cgc gga tcc tcg aaa gct tgt cga cct cga gac ccg gga tgc-3' (SEQ ID NO:149)

20 The resulting plasmid, pGP1c, contains a polylinker with XmaI, XhoI, SalI, HindIII, and BamHI restriction sites flanked by NotI sites.

## 2. Plasmid vector pGP1d

Plasmid vector pGP1a is digested with NotI and the following oligonucleotides ligated in:

oligo-87 5' - ggc cgc tgt cga caa gct tat cga tgg atc ctc gag tgc -3' (SEQ ID NO:150)

25 oligo-88 5' - ggc cgc act cga gga tcc atc gat aag ctt gtc gac agc -3' (SEQ ID NO:151)

The resulting plasmid, pGP1d, contains a polylinker with Sall, HindIII, ClaI, BamHI, and XhoI restriction sites flanked by NotI sites.

### B. Isolation of J $\kappa$ and C $\kappa$ clones

A human placental genomic DNA library cloned into the phage vector  $\lambda$ EMBL3/5P6/T7 (Clontech Laboratories, Inc., Palo Alto, CA) was screened with the human kappa light chain J region specific oligonucleotide:

5 oligo-36 5' - cac ctt cgg cca agg gac acg act gga gat taa acg taa gca -3' (SEQ ID NO:152)

and the phage clones 136.2 and 136.5 isolated. A 7.4 kb XhoI fragment that includes the Jk1 segment was isolated from 136.2 and subcloned into the plasmid pNN03 to generate the plasmid clone p36.2. A neighboring 13 kb XhoI fragment that includes Jk segments 2 through 5 together with the Ck gene segment was isolated from phage clone 136.5 and subcloned into the plasmid pNN03 to generate the plasmid clone p36.5. Together these two clones span the region beginning 7.2 kb upstream of Jk1 and ending 9 kb downstream of Ck.

### C. Construction of rearranged light chain transgenes

1. pCKI, a C $\kappa$  vector for expressing rearranged variable segments

15        The 13 kb XhoI insert of plasmid clone p36.5 containing the C $\kappa$  gene, together with 9 kb of downstream sequences, is cloned into the SalI site of plasmid vector pGP1c with the 5' end of the insert adjacent to the plasmid XhoI site. The resulting clone, pCKI can accept cloned fragments containing rearranged VJ $\kappa$  segments into the unique 5' XhoI site. The transgene can then be excised with NotI and purified from vector sequences by gel electrophoresis. The resulting  
20        transgene construct will contain the human J-C $\kappa$  intronic enhancer and may contain the human 3'  $\kappa$  enhancer.

2. pCK2, a C $\kappa$  vector with heavy chain enhancers for expressing rearranged variable segments

A 0.9 kb XbaI fragment of mouse genomic DNA containing the mouse heavy chain J- $\mu$  intronic enhancer (J. Banerji et al., Cell **33**:729-740 (1983)) was subcloned into pUC18 to generate the plasmid pJH22.1. This plasmid was linearized with SphI and the ends filled in with Klenow enzyme. The Klenow treated DNA was then digested with HindIII and a 1.4 kb MluI/HindIII fragment of phage clone  $\lambda$ 1.3 (previous example), containing the human heavy chain J- $\mu$  intronic enhancer (Hayday et al., Nature **307**:334-340 (1984)), to it. The resulting plasmid, pMHE1, consists of the mouse and human heavy chain J- $\mu$  intronic enhancers ligated together into pUC18

such that they are excised as a single BamHI/HindIII fragment. This 2.3 kb fragment is isolated and cloned into pGP1c to generate pMHE2. pMHE2 is digested with SalI and the 13 kb XhoI insert of p36.5 cloned in. The resulting plasmid, pCK2, is identical to pCK1, except that the mouse and human heavy chain J- $\mu$  intronic enhancers are fused to the 3' end of the transgene insert. To modulate expression of the final transgene, analogous constructs can be generated with different enhancers, i.e. the mouse or rat 3' kappa or heavy chain enhancer (Meyer and Neuberger, EMBO J., 8:1959-7.964 (1989); Petterson et al., Nature, 344:165-168 (1990)).

### 3. Isolation of rearranged kappa light chain variable segments

Two human leukocyte genomic DNA libraries cloned into the phage vector  $\lambda$ EMBL3/SP6/T7 (Clontech Laboratories, Inc., Palo Alto, CA) were screened with the human  $\kappa$  light chain J region containing 3.5 kb XhoI/SmaI fragment of p36.5. Positive clones were tested for hybridization with the following V $\kappa$  specific oligonucleotide:

oligo-65      5'      -      agg ttc agt ggc agt ggg tct ggg aca gac ttc act ctc acc atc agc-3' (SEQ ID NO:153)

Clones that hybridized with both V and J probes are isolated and the DNA sequence of the rearranged VJ $\kappa$  segment determined.

### 4. Generation of transgenic mice containing rearranged human light chain constructs.

Fragments containing functional VJ segments (open reading frame and splice signals) are subcloned into the unique XhoI sites of vectors pCK1 and pCK2 to generate rearranged kappa light chain transgenes. The transgene constructs are isolated from vector sequences by digestion with NotI. Agarose gel purified insert is microinjected into mouse embryo pronuclei to generate transgenic animals. Animals expressing human kappa chain are bred with heavy chain minilocus containing transgenic animals to generate mice expressing fully human antibodies.

Because not all VJ $\kappa$  combinations may be capable of forming stable heavy-light chain complexes with a broad spectrum of different heavy chain VDJ combinations, several different light chain transgene constructs are generated, each using a different rearranged VJ $\kappa$  clone, and transgenic mice that result from these constructs are bred with heavy chain minilocus transgene expressing mice. Peripheral blood, spleen, and lymph node lymphocytes are isolated from double transgenic (both heavy and light chain constructs) animals, stained with fluorescent antibodies specific for human and mouse heavy and light chain immunoglobulins (Pharmingen, San Diego, CA) and analyzed by flow cytometry using a FACScan analyzer (Becton Dickinson, San Jose,

CA). Rearranged light chain transgenes constructs that result in the highest level of human heavy/light chain complexes on the surface of the highest number of B cells, and do not adversely affect the immune cell compartment (as assayed by flow cytometric analysis with B and T cell subset specific antibodies), are selected for the generation of human monoclonal antibodies.

5      D. Construction of unrearranged light chain minilocus transgenes

1.      pJCK1, a J $\kappa$ , C $\kappa$  containing vector for constructing minilocus transgenes

10      The 13 kb C $\kappa$  containing XhoI insert of p36.5 is treated with Klenow enzyme and cloned into HindIII digested, Klenow-treated, plasmid pGP1d. A plasmid clone is selected such that the 5' end of the insert is adjacent to the vector derived Cla2 site. The resulting plasmid, p36.5-1d, is  
15      digested with ClaI and Klenow-treated. The J $\kappa$ 1 containing 7.4 kb XhoI insert of p36.2 is then Klenow-treated and cloned into the ClaI, Klenow-treated p36.5-1d. A clone is selected in which the p36.2 insert is in the same orientation as the p36.5 insert. This clone, pJCK1 (Fig. 34), contains the entire human J $\kappa$  region and C $\kappa$ , together with 7.2 kb of upstream sequences and 9 kb of downstream sequences. The insert also contains the human J-C $\kappa$  intronic enhancer and may  
20      contain a human 3'  $\kappa$  enhancer. The insert is flanked by a unique 3' SalI site for the purpose of cloning additional 3' flanking sequences such as heavy chain or light chain enhancers. A unique XhoI site is located at the 5' end of the insert for the purpose of cloning in unrearranged V $\kappa$  gene segments. The unique SalI and XhoI sites are in turn flanked by NotI sites that are used to isolate the completed transgene construct away from vector sequences.

20      2.      Isolation of unrearranged V $\kappa$  gene segments and generation of transgenic animals expressing human Ig light chain protein

25      The V $\kappa$  specific oligonucleotide, oligo-65 (discussed above), is used to probe a human placental genomic DNA library cloned into the phage vector IEMBL3/SP6/T7 (Clonotech Laboratories, Inc., Palo Alto, CA). Variable gene segments from the resulting clones are  
30      sequenced, and clones that appear functional are selected. Criteria for judging functionality include: open reading frames, intact splice acceptor and donor sequences, and intact recombination sequence. DNA fragments containing selected variable gene segments are cloned into the unique XhoI site of plasmid pJCK1 to generate minilocus constructs. The resulting clones are digested with NotI and the inserts isolated and injected into mouse embryo pronuclei to generate transgenic  
30      animals. The transgenic of these animals will undergo V to J joining in developing B-cells. Animals expressing human kappa chain are bred with heavy chain minilocus containing transgenic animals to generate mice expressing fully human antibodies.

## EXAMPLE 15

### Genomic Heavy Chain Human Ig Transgene

This Example describes the cloning of a human genomic heavy chain immunoglobulin transgene which is then introduced into the murine germline via microinjection into zygotes or  
5 integration in ES cells.

Nuclei are isolated from fresh human placental tissue as described by Marzluff, W.F., et al. (1985), Transcription and Translation: A Practical Approach, B.D. Hammes and S.J. Higgins, eds., pp. 89-129, IRL Press, Oxford). The isolated nuclei (or PBS washed human spermatocytes) are embedded in 0.5% low melting point agarose blocks and lysed with 1 mg/ml proteinase K in  
10 500mM EDTA, 1% SDS for nuclei, or with 1mg/ml proteinase K in 500mM EDTA, 1% SDS, 10mM DTT for spermatocytes at 50°C for 18 hours. The proteinase K is inactivated by incubating the blocks in 40 µg/ml PMSF in TE for 30 minutes at 50°C, and then washing extensively with TE. The DNA is then digested in the agarose with the restriction enzyme NotI as described by M. Finney in Current Protocols in Molecular Biology (F. Ausubel et al., eds. John  
15 Wiley & Sons, Supp. 4, 1988, e.g., Section 2.5.1).

The NotI digested DNA is then fractionated by pulsed field gel electrophoresis as described by Anand et al., Nuc. Acids Res. 17:3425-3433 (1989). Fractions enriched for the NotI fragment are assayed by Southern hybridization to detect one or more of the sequences encoded by this fragment. Such sequences include the heavy chain D segments, J segments, and  $\gamma 1$  constant regions  
20 together with representatives of all 6  $V_H$  families (although this fragment is identified as 670 kb fragment from HeLa cells by Berman et al. (1988), supra., we have found it to be an 830 kb fragment from human placental and sperm DNA). Those fractions containing this NotI fragment are ligated into the NotI cloning site of the vector pYACNN as described (McCormick et al., Technique 2:65-71 (1990)). Plasmid pYACNN is prepared by digestion of pYACneo (Clontech)  
25 with EcoRI and ligation in the presence of the oligonucleotide 5' - AAT TGC GGC CGC - 3' (SEQ ID NO:25).

YAC clones containing the heavy chain NotI fragment are isolated as described by Traver et al. Proc. Natl. Acad. Sci. USA, 86:5898-5902 (1989). The cloned NotI insert is isolated from high molecular weight yeast DNA by pulse field gel electrophoresis as described by M. Finney,  
30 op. cit. The DNA is condensed by the addition of 1 mM spermine and microinjected directly into the nucleus of single cell embryos previously described. Alternatively, the DNA is isolated by

pulsed field gel electrophoresis and introduced into ES cells by lipofection (Gnirke et al., EMBO J. 10:1629-1634 (1991)), or the YAC is introduced into ES cells by spheroplast fusion.

### EXAMPLE 16

#### Discontinuous Genomic Heavy Chain Ig Transgene

5           An 85 kb SpeI fragment of human genomic DNA, containing V<sub>H</sub>6, D segments, J segments, the  $\mu$  constant region and part of the  $\gamma$  constant region, has been isolated by YAC cloning essentially as described in Example 1. A YAC carrying a fragment from the germline variable region, such as a 570 kb NotI fragment upstream of the 670-830 kb NotI fragment described above containing multiple copies of V<sub>1</sub> through V<sub>5</sub> is isolated as described. (Berman et  
10 al. (1988), supra. detected two 570 kb NotI fragments, each containing multiple V segments.) The two fragments are coinjected into the nucleus of a mouse single cell embryo as described in Example 1.

Typically, coinjection of two different DNA fragments result in the integration of both fragments at the same insertion site within the chromosome. Therefore, approximately 50% of the  
15 resulting transgenic animals that contain at least one copy of each of the two fragments will have the V segment fragment inserted upstream of the constant region containing fragment. Of these animals, about 50% will carry out V to DJ joining by DNA inversion and about 50% by deletion, depending on the orientation of the 570 kb NotI fragment relative to the position of the 85 kb SpeI fragment. DNA is isolated from resultant transgenic animals and those animals found to be  
20 containing both transgenes by Southern blot hybridization (specifically, those animals containing both multiple human V segments and human constant region genes) are tested for their ability to express human immunoglobulin molecules in accordance with standard techniques.

### EXAMPLE 17

#### Identification of functionally rearranged variable region sequences in transgenic B cells

25           An antigen of interest is used to immunize (see Harlow and Lane, Antibodies: A Laboratory Manual, Cold Spring Harbor, New York (1988)) a mouse with the following genetic traits: homozygosity at the endogenous heavy chain locus for a deletion of J<sub>H</sub> (Examples 10); hemizygous for a single copy of unrearranged human heavy chain minilocus transgene (examples 5 and 14); and hemizygous for a single copy of a rearranged human kappa light chain transgene  
30 (Examples 6 and 14) .

Following the schedule of immunization, the spleen is removed, and spleen cells used to generate hybridomas. Cells from an individual hybridoma clone that secretes antibodies reactive with the antigen of interest are used to prepare genomic DNA. A sample of the genomic DNA is digested with several different restriction enzymes that recognize unique six base pair sequences, and fractionated on an agarose gel. Southern blot hybridization is used to identify two DNA fragments in the 2-10 kb range, one of which contains the single copy of the rearranged human heavy chain VDJ sequences and one of which contains the single copy of the rearranged human light chain VJ sequence. These two fragments are size fractionated on agarose gel and cloned directly into pUC18. The cloned inserts are then subcloned respectively into heavy and light chain expression cassettes that contain constant region sequences.

The plasmid clone p $\gamma$ el (Example 12) is used as a heavy chain expression cassette and rearranged VDJ sequences are cloned into the XhoI site. The plasmid clone pCK1 is used as a light chain expression cassette and rearranged VJ sequences are cloned into the XhoI site. The resulting clones are used together to transfect SP<sub>0</sub> cells to produce antibodies that react with the antigen of interest (Co. et al., Proc. Natl. Acad. Sci. USA 88:2869 (1991), which is incorporated herein by reference).

Alternatively, mRNA is isolated from the cloned hybridoma cells described above, and used to synthesize cDNA. The expressed human heavy and light chain VDJ and VJ sequence are then amplified by PCR and cloned (Larrick et al., Biol. Technology, 7:934-938 (1989)). After the nucleotide sequence of these clones has been determined, oligonucleotides are synthesized that encode the same polypeptides, and synthetic expression vectors generated as described by Queen et al., Proc. Natl. Acad. Sci. USA, 84:5454-5458 (1989).

#### Immunization of Transgenic Animals with Complex Antigens

The following experiment demonstrates that transgenic animals can be successfully immunized with complex antigens such as those on human red blood cells and respond with kinetics that are similar to the response kinetics observed in normal mice.

Blood cells generally are suitable immunogens and comprise many different types of antigens on the surface of red and white blood cells.

#### Immunization with human blood

Tubes of human blood from a single donor were collected and used to immunize transgenic mice having functionally disrupted endogenous heavy chain loci (J<sub>H</sub>D) and harboring a human



heavy chain minigene construct (HC1); these mice are designated as line 112. Blood was washed and resuspended in 50 mls Hanks' and diluted to  $1 \times 10^8$  cells/ml 0.2 mls ( $2 \times 10^7$  cells) were then injected interperitoneally using a 28 gauge needle and 1 cc syringe. This immunization protocol was repeated approximately weekly for 6 weeks. Serum titers were monitored by taking blood  
5 from retro-orbital bleeds and collecting serum and later testing for specific antibody. A pre-immune bleed was also taken as a control. On the very last immunization, three days before these animals were sacrificed for serum and for hybridomas, a single immunization of  $1 \times 10^7$  cells was given intravenously through the tail to enhance the production of hybridomas.

### Table 9

## Animals

	Mouse ID	Line	Sex	HC1-112	JHD
1	2343	112	M	+	++
2	2344	112	M	-	+
3	2345	112	F	-	+
4	2346	112	F	-	++
5	2347	112	F	-	++
6	2348	112	F	+	++
7	2349	112	F	-	+

Mice # 2343 and 2348 have a desired phenotype: human heavy chain mini-gene transgenic on heavy chain knock-out background.

## 5 Generation of Hybridomas

Hybridomas were generated by fusing mouse spleen cells of approximately 16 week-old transgenic mice (Table 9) that had been immunized as described (supra) to a fusion partner consisting of the non-secreting HAT-sensitive myeloma cell line, X63 Ag8.653. Hybridoma clones were cultivated and hybridoma supernatants containing immunoglobulins having specific binding affinity for blood cell antigens were identified, for example, by flow cytometry.

## Flow cytometry

Serum and hybridoma supernatants were tested using flow cytometry. Red blood cells from the donor were washed 4X in Hanks' balanced Salt solution and 50,000 cells were placed in 1.1 ml polypropylene microtubes. Cells were incubated with antisera or supernatant from the hybridomas for 30 minutes on ice in staining media (1x RPMI 1640 media without phenol red or biotin (Irvine Scientific) 3% newborn calf serum, 0.1% Na azide). Controls consisted of littermate mice with other genotypes. Cells were then washed by centrifugation at 4°C in Sorvall RT600B for 5-10 minutes at 1000 rpm. Cells were washed two times and then antibody detected on the cell surface with a fluorescent developing reagent. Two monoclonal reagents were used to test. One was a FITC-labeled mouse anti-human  $\mu$  heavy chain antibody (Pharmagen, San Diego, CA) and the other was a PE-labeled rat anti-mouse kappa light chain (Becton-Dickenson, San Jose, CA). Both of these reagents gave similar results. Whole blood (red blood cells and white blood cells) and white blood cells alone were used as target cells. Both sets gave positive results.

Serum of transgenic mice and littermate controls was incubated with either red blood cells from the donor, or white blood cells from another individual, washed and then developed with anti-human IgM FITC labeled antibody and analyzed in a flow cytometry. Results showed that serum from mice that are transgenic for the human mini-gene locus (mice 2343 and 2348) show human IgM reactivity whereas all littermate animals (2344, 2345, 2346, 2347) do not. Normal mouse serum (NS) and phosphate buffer saline (PBS) were used as negative controls. Red blood cells were ungated and white blood cells were gated to include only lymphocytes. Lines are drawn on the x and y axis to provide a reference. Flow cytometry was performed on 100 supernatants from fusion 2348. Four supernatants showed positive reactivity for blood cell antigens.

## EXAMPLE 18

### Reduction of Endogenous Mouse Immunoglobulin Expression by Antisense RNA

#### A. Vector for Expression of Antisense Ig Sequences

##### 1. Construction of the cloning vector pGP1h

The vector pGP1b (referred to in a previous example) is digested with XhoI and BamHI and ligated with the following oligonucleotides:

5'- gat cct cga gac cag gta cca gat ctt gtg aat tcg -3' (SEQ ID NO:154)

5'- tcg acg aat tca caa gat ctg gta cct ggt ctc gag -3' (SEQ ID NO:155)

to generate the plasmid pGP1h. This plasmid contains a polylinker that includes the following restriction sites: NotI, EcoRI, BglII, Asp718, XhoI, BamHI, HindIII, NotI.

#### Construction of pBCE1.

A 0.8 kb XbaI/BglII fragment of pVH251 (referred to in a previous example), that includes the promoter leader sequence exon, first intron, and part of the second exon of the human VH-V family immunoglobulin variable gene segment, was inserted into XbaI/BglII digested vector pNN03 to generate the plasmid pVH251.

The 2.2 kb BamHI/EcoRI DNA fragment that includes the coding exons of the human growth hormone gene (hGH; Seeburg, (1982) DNA 1:239-249) is cloned into BglII/EcoRI digested pGH1h. The resulting plasmid is digested with BamHI and the BamHI/BglII of pVH251N is inserted in the same orientation as the hGH gene to generate the plasmid pVhgh.



factors and cells that promote B-cell differentiation and expansion. Because the Ig receptor plays a key role in B-cell development, mouse Ig receptor expressing B-cells that express reduced levels of IgM on their surface (due to mouse Ig specific antisense down-regulation) during B-cell development will not compete as well as cells that express the human receptor.

5 C. An IgKappa antisense transgene construct.

The following two oligonucleotides:

5'- cgc ggt acc gct gat gct gca cca act gtc tcc -3' (SEQ ID NO:158)

5'- cgc ctc gag cta aca ctc att cct gtt gaa gct -3' (SEQ ID NO:159)

- 10 are used as primers for the amplification of mouse Igkappa constant region sequences by polymerase chain reaction (PCR) using mouse spleen cDNA as a substrate. The resulting 0.3 kb PCR product is digested with Asp718 and XhoI and cloned into Asp718/XhoI digested pBCEI to generate the antisense transgene construct pKASI. The purified NotI insert of pKASI is microinjected into the pronuclei of half day mouse embryos - - alone or in combination with one or
- 15 more other transgene constructs - - to generate transgenic mice. This construct expresses an RNA transcript in B-cells that hybridizes with mouse IgK mRNA, thus down-regulating the expression of mouse IgK protein as described above for pMAS1.

### EXAMPLE 19

- This example demonstrates the successful immunization and immune response in a
- 20 transgenic mouse of the present invention.

#### Immunization of Mice

- Keyhole limpet hemocyanin conjugated with greater than 400 dinitrophenyl groups per molecule (Calbiochem, La Jolla, California) (KLH-DNP) was alum precipitated according to a previously published method (Practical Immunology, L. Hudson and F.C. Hay, Blackwell
- 25 Scientific (Pubs.), p. 9, 1980). Four hundred µg of alum precipitated KLH-DNP along with 100 µg dimethyldioctadecyl Ammonium Bromide in 100 µL of phosphate buffered saline (PBS) was injected intraperitoneally into each mouse. Serum samples were collected six days later by retro-orbital sinus bleeding.

### Analysis of Human Antibody Reactivity in serum

Antibody reactivity and specificity were assessed using an indirect enzyme-linked immunosorbent assay (ELISA). Several target antigens were tested to analyze antibody induction by the immunogen. Keyhole limpet hemocyanin (Calbiochem) was used to identify reactivity against the protein component, bovine serum albumin-DNP for reactivity against the hapten and/or modified amino groups, and KLH-DNP for reactivity against the total immunogen. Human antibody binding to antigen was detected by enzyme conjugates specific for IgM and IgG subclasses with no cross reactivity to mouse immunoglobulin. Briefly, PVC microtiter plates were coated with antigen drying overnight at 37°C of 5 µg/mL protein in PBS. Serum samples diluted in PBS, 5% chicken serum, 0.5% Tween-20 were incubated in the wells for 1 hour at room temperature, followed by anti-human IgG Fc and IgG F(ab')-horseradish peroxidase or anti-human IgM Fc-horseradish peroxidase in the same diluent. After 1 hour at room temperature enzyme activity was assessed by addition of ABTS substrate (Sigma, St. Louis, Missouri) and read after 30 minutes at 415-490 nm.

### Human Heavy Chain Participation in Immune Response in Transgenic Mice

Figures 37A-37D illustrate the response of three mouse littermates to immunization with KLH-DNP. Mouse number 1296 carried the human IgM and IgG unrearranged transgene and was homozygous for mouse Ig heavy chain knockout. Mouse number 1299 carried the transgene on a non-knockout background, while mouse 1301 inherited neither of these sets of genes. Mouse 1297, another littermate, carried the human transgene and was hemizygous with respect to mouse heavy chain knockout. It was included as a non-immunized control.

The results demonstrate that both human IgG and IgM responses were developed to the hapten in the context of conjugation to protein. Human IgM also developed to the KLH molecule, but no significant levels of human IgG were present at this time point. In pre-immunization serum samples from the same mice, titers of human antibodies to the same target antigens were insignificant.

### **EXAMPLE 20**

This example demonstrates the successful immunization with a human antigen and immune response in a transgenic mouse of the present invention, and provides data demonstrating that nonrandom somatic mutation occurs in the variable region sequences of the human transgene.

Demonstration of antibody responses comprising human immunoglobulin heavy chains against a human glycoprotein antigen

Transgenic mice used for the experiment were homozygous for functionally disrupted murine immunoglobulin heavy chain loci produced by introduction of a transgene at the joining (J) region (supra) resulting in the absence of functional endogenous (murine) heavy chain production. The transgenic mice also harbored at least one complete unrearranged human heavy chain mini-locus transgene, (HC1, supra), which included a single functional V<sub>H</sub> gene (V<sub>H</sub>251), human  $\mu$  constant region gene, and human  $\gamma$ 1 constant region gene. Transgenic mice shown to express human immunoglobulin transgene products (supra) were selected for immunization with a human antigen to demonstrate the capacity of the transgenic mice to make an immune response against a human antigen immunization. Three mice of the HC1-26 line and three mice of the HC1-57 line (supra) were injected with human antigen.

One hundred  $\mu$ g of purified human carcinoembryonic antigen (CEA) insolubilized on alum was injected in complete Freund's adjuvant on Day 0, followed by further weekly injections of alum-precipitated CEA in incomplete Freund's adjuvant on Days 7, 14, 21, and 28. Serum samples were collected by retro-orbital bleeding on each day prior to injection of CEA. Equal volumes of serum were pooled from each of the three mice in each group for analysis.

Titres of human  $\mu$  chain-containing immunoglobulin and human  $\gamma$  chain-containing immunoglobulin which bound to human CEA immobilized on microtitre wells were determined by ELISA assay. Results of the ELISA assays for human  $\mu$  chain-containing immunoglobulins and human  $\gamma$  chain-containing immunoglobulins are shown in Figs. 38 and 39, respectively. Significant human  $\mu$  chain Ig titres were detected for both lines by Day 7 and were observed to rise until about Day 21. For human  $\gamma$  chain Ig, significant titres were delayed, being evident first for line HC1-57 at Day 14, and later for line HC1-26 at Day 21. Titres for human  $\gamma$  chain Ig continued to show an increase over time during the course of the experiment. The observed human  $\mu$  chain Ig response, followed by a plateau, combined with a later developing  $\gamma$  chain response which continues to rise is characteristic of the pattern seen with affinity maturation. Analysis of Day 21 samples showed lack of reactivity to an unrelated antigen, keyhole limpet hemocyanin (KLC), indicating that the antibody response was directed against CEA in a specific manner.

These data indicate that animals transgenic for human unrearranged immunoglobulin gene loci: (1) can respond to a human antigen (e.g., the human glycoprotein, CEA), (2) can undergo

isotype switching ("class switching) as exemplified by the observed  $\mu$  to  $\gamma$  class switch, and (3) exhibit characteristics of affinity maturation in their humoral immune responses. In general, these data indicate: (1) the human Ig transgenic mice have the ability to induce heterologous antibody production in response to a defined antigen, (2) the capacity of a single transgene heavy chain variable region to respond to a defined antigen, (3) response kinetics over a time period typical of primary and secondary response development, (4) class switching of a transgene-encoded humoral immune response from IgM to IgG, and (5) the capacity of transgenic animal to produce human-sequence antibodies against a human antigen.

#### Demonstration of somatic mutation in a human heavy chain transgene minilocus.

Line HC1-57 transgenic mice, containing multiple copies of the HC1 transgene, were bred with immunoglobulin heavy chain deletion mice to obtain mice that contain the HC1 transgene and contain disruptions at both alleles of the endogenous mouse heavy chain (*supra*). These mice express human  $\mu$  and  $\gamma 1$  heavy chains together with mouse kappa and lambda light chains (*supra*). One of these mice was hyperimmunized against human carcinoembryonic antigen by repeated intraperitoneal injections over the course of 1.5 months. This mouse was sacrificed and lymphoid cells isolated from the spleen, inguinal and mesenteric lymph nodes, and Peyer's patches. The cells were combined and total RNA isolated. First strand cDNA was synthesized from the RNA and used as a template for PCR amplification with the following 2 oligonucleotide primers:

149            5'    -    cta gct cga gtc caa gga gtc tgt gcc gag gtg cag ctg (g/a/t/c)-3' (SEQ ID NO:82)

151            5'    -    ggc gct cga gtt cca cga cac cgt cac cgg ttc-3' (SEQ ID NO:84)

These primers specifically amplify vH251/gamma1 cDNA sequences. The amplified sequences were digested with XhoI and cloned into the vector pNN03. DNA sequence from the inserts of 23 random clones is shown in Fig. 40; sequence variations from germline sequence are indicated, dots indicate sequence is identical to germline. Comparison of the cDNA sequences with the germline sequence of the VH251 transgene reveals that 3 of the clones are completely unmutated, while the other 20 clones contain somatic mutations. One of the 3 non-mutated sequences is derived from an out-of-frame VDJ joint. Observed somatic mutations at specific positions of occur at similar frequencies and in similar distribution patterns to those observed in human lymphocytes (Cai et al. (1992) *J. Exp. Med.* 176: 1073, incorporated herein by reference). The overall frequency of somatic mutations is approximately 1%; however, the frequency goes up



to about 5% within CDR1, indicating selection for amino acid changes that affect antigen binding. This demonstrates antigen driven affinity maturation of the human heavy chain sequences.

## EXAMPLE 21

This example demonstrates the successful formation of a transgene by co-introduction of two separate polynucleotides which recombine to form a complete human light chain minilocus transgene.

### Generation of an unrearranged light chain minilocus transgene by co-injection of two overlapping DNA fragments

#### 1. Isolation of unrearranged functional V<sub>κ</sub> gene segments vk65.3, vk65.5, vk65.8 and vk65.15

The V<sub>κ</sub> specific oligonucleotide, oligo-65 (5'-agg ttc agt ggc agt ggg tct ggg aca gac ttc act ctc aca atc agc-3') (SEQ ID NO:153), was used to probe a human placental genomic DNA library cloned into the phage vector λMBL3/SP6/T7 (Clonetech Laboratories, Inc., Palo Alto, CA). DNA fragments containing V<sub>κ</sub> segments from positive phage clones were subcloned into plasmid vectors. Variable gene segments from the resulting clones are sequenced, and clones that appear functional were selected. Criteria for judging functionality include: open reading frames, intact splice acceptor and donor sequences, and intact recombination sequence. DNA sequences of 4 functional V<sub>κ</sub> gene segments (vk65.3, vk65.5, vk65.8, and vk65.15) from 4 different plasmid clones isolated by this procedure are shown in Figs. 41-44. The four plasmid clones, p65.3f, p65.5g1, p65.8, and p65.15f, are described below.

#### (1 a) p65.3f

A 3 kb Xba fragment of phage clone λ65.3 was subcloned into pUC19 so that the vector derived SalI site was proximal to the 3' end of the insert and the vector derived BamHI site 5'. The 3 kb BamHI/SalI insert of this clone was subcloned into pGP1f to generate p65.3f.

#### (1 b) p65.5g1

A 6.8 kb EcoRI fragment of phage clone λ65.5 was subcloned into pGP1f so that the vector derived XhoI site is proximal to the 5' end of the insert and the vector derived SalI site 3'. The resulting plasmid is designated p65.5g1.

#### (1 c) p65.8

A 6.5 kb HindIII fragment of phage clone  $\lambda$ 65.8 was cloned into pSP72 to generate p65.8.

(1 d) p65.15f

A 10 kb EcoRI fragment of phage clone  $\lambda$ 65.16 was subcloned into pUC18 to generate the plasmid p65.15.3. The  $V_{\kappa}$  gene segment within the plasmid insert was mapped to a 4.6 kb EcoRI/HindIII subfragment, which was cloned into pGP1f. The resulting clone, p65.15f, has unique XhoI and SalI sites located at the respective 5' and 3' ends of the insert.

2. pKV4

The XhoI/SalI insert of p65.8 was cloned into the XhoI site of p65.15f to generate the plasmid pKV2. The XhoI/SalI insert of p65.5g1 was cloned into the XhoI site of pKV2 to generate pKV3. The XhoI/SalI insert of pKV3 was cloned into the XhoI site of p65.3f to generate the plasmid pKV4. This plasmid contains a single 21 kb XhoI/SalI insert that includes 4 functional  $V_{\kappa}$  gene segments. The entire insert can also be excised with NotI.

3. pKC1B

(3a) pKcor

Two XhoI fragments derived from human genomic DNA phage  $\lambda$  clones were subcloned into plasmid vectors. The first, a 13 kb  $J_{\kappa}2$ - $J_{\kappa}5$ / $C_{\kappa}$  containing fragment, was treated with Klenow enzyme and cloned into HindIII digested, Klenow treated, plasmid pGP1d. A plasmid clone (pK-31) was selected such that the 5' end of the insert is adjacent to the vector derived ClaI site. The second XhoI fragment, a 7.4 kb piece of DNA containing  $J_{\kappa}1$  was cloned into XhoI/SalI-digested psP72, such that the 3' insert XhoI site was destroyed by ligation to the vector SalI site. The resulting clone, p36.2s, includes an insert derived ClaI site 4.5 kb upstream of  $J_{\kappa}1$  and a polylinker derived ClaI site downstream in place of the naturally occurring XhoI site between  $J_{\kappa}1$  and  $J_{\kappa}2$ . This clone was digested with ClaI to release a 4.7 kb fragment which was cloned into ClaI digested pK-31 in the correct 5' to 3' orientation to generate a plasmid containing all 5 human  $J_{\kappa}$  segments, the human intronic enhancer human  $C_{\kappa}$ , 4.5 kb of 5' flanking sequence, and 9 kb of 3' flanking sequence. This plasmid, pKcor, includes unique flanking XhoI and SalI sites on the respective 5' and 3' sides of the insert.

(3 b) pKcorB



cells to generate hybridomas using conventional techniques (see, Kohler and Milstein, Eur. J. Immunol., 6:511-519 (1976); Harlow and Lane, Antibodies: A Laboratory Manual, Cold Spring Harbor, New York (1988)). The mouse used for immunization contained a human unrearranged heavy chain minilocus transgene which comprised a single functional V<sub>H</sub> gene (V<sub>H251</sub>), human D and J segments, human  $\mu$  constant region, and human  $\gamma$ 1 constant region genes. The transgenic line from which it originated was designated HC1-57 (*supra*).

One hundred  $\mu$ g of purified human carcinoembryonic antigen (CEA) (Cyrstal Chem, Chicago, IL or Scripps Labs, San Diego, CA) insolubilized on alum was injected in complete Freund's adjuvant on Day 0, followed by further weekly injections of alum-precipitated CEA in incomplete Freund's adjuvant on Days 7, 14, 21, and 28. An additional 20  $\mu$ g of soluble CEA was administered intravenously on Day 83, followed by 50  $\mu$ g alum-precipitated CEA in incomplete Freund's adjuvant on Day 92. Human heavy chain responses to CEA were confirmed in serum samples prior to fusion of spleen cells with myeloma cells. The animal was sacrificed on Day 95, the spleen removed and fused with P3X63-Ag8.653 mouse myeloma cells (ATCC CRL 1580, American Type Culture Collection, Rockville, MD) using polyethylene glycol. Two weeks later, supernates from fusion wells were screened for the presence of antibodies specifically reactive with CEA, and which contained human heavy chain  $\mu$  or  $\gamma$  constant region epitopes by ELISA. Briefly, purified human CEA was coated onto PVC microtitre plates at 2.5  $\mu$ g/ml, and incubate with culture supernate diluted 1:4 or 1:5 in FBS, 0.5% Tween-20, 5% chicken serum. Plates were washed, followed by addition of horseradish peroxidase-conjugated goat antiserum specific for human IgG Fc or rabbit antiserum specific for human IgM Fc5Mu (Jackson Immuno Research, West Grove, PA). Presence of conjugate bound to captured antibody was determined, after further washing, by the addition of ABTS substrate. Two independent fusion wells were found to contain antibody with substantial binding to CEA. After cloning, both hybridomas were found to be positive for the presence of human  $\mu$  chain and murine  $\kappa$  chain by ELISA. No mouse IgG or IgM were detected using similar assays.

Subcloning of the two independent parent hybridomas resulted in two clones, designated 92-09A-4F7-A5-2 and 92-09A-1D7-1-7-1. Both lines were deposited with the ATCC Patent Culture Depository under the Budapest Treaty and were assigned ATCC Designation HB 11307 and HB 11308, respectively. Culture supernatants from these cell lines were assessed for specificity by testing for reactivity to several purified target proteins using ELISA. As shown in Fig. 46, ELISA assays for determining the reactivity of the monoclonal antibodies to various antigens demonstrate that only CEA and the CEA-related antigen NCA-2 show significant

reactivity, indicating the development of a restricted reactivity for the variable regions of the heterohybrid immunoglobulin molecules.

### EXAMPLE 23

This example demonstrates that a rearranged human VDJ gene encoded by a human Ig minilocus transgene may be transcribed as a transcript which includes an endogenous Ig constant region gene, for example by the mechanism of trans-switching, to encode a chimeric human/mouse Ig chain.

#### Identification of Trans-Switch Transcripts Encoding Chimeric Human-Mouse Heavy Chains

RNA was isolated from a hyperimmunized HC1 line 57 transgenic mouse homozygous for the endogenous heavy chain J segment deletion (*supra*). cDNA was synthesized according to Taylor et al. (1993) Nucleic Acids Res. 20: 6287, incorporated herein by reference, and amplified by PCR using the following two primers:

o-149 (human V<sub>H251</sub>)

5'-CTA GCT CGA GTC CAA GGA GTC TGT GCC GAG GTG CAG CTG (G,A,T,C)-3 (SEQ ID NO:82)

o-249 (mouse gamma):

5'-GGC GCT CGA GCT GGA CAG GG(A/C) TCC A(G/T)A GTT CCA-3' (SEQ ID NO:160)

Oligonucleotide o-149 is specific for the HC1-encoded variable gene segment V<sub>H251</sub>, while o-249 hybridizes to both mouse and human gamma sequences with the following order of specificities: mouse  $\gamma 1$  = mouse  $\gamma 2b$  = mouse  $\gamma 3$  > mouse  $\gamma 2a$  >> human  $\gamma 1$ . DNA sequences from 10 randomly chosen clones generated from the PCR products was determined and is shown in Fig. 47. Two clones comprised human VDJ and mouse  $\gamma 1$ ; four clones comprised human VDJ and mouse  $\gamma 2b$ ; and four clones comprised human VDJ and mouse  $\gamma 3$ . These results indicate that in a fraction of the transgenic B cells, the transgene-encoded human VDJ recombined into the endogenous murine heavy chain locus by class switching as an analogous recombination.

### EXAMPLE 24

This example describes a method for screening a pool of hybridomas to discriminate clones which encode chimeric human/mouse Ig chains from clones which encode and express a human Ig chain. For example, in a pool of hybridoma clones made from a transgenic mouse comprising a

human Ig heavy chain transgene and homozygous for a J region-disrupted endogenous heavy chain locus, hybridoma clones encoding trans-switched human VDJ-murine constant region heavy chains may be identified and separated from hybridoma clones expressing human VDJ-human constant region heavy chains.

## 5 Screening Hybridomas to Eliminate Chimeric Ig Chains

The screening process involves two stages, which may be conducted singly or optionally in combination: (1) a preliminary ELISA-based screen, and (2) a secondary molecular characterization of candidate hybridomas. Preferably, a preliminary ELISA-based screen is used for initial identification of candidate hybridomas which express a human VDJ region and a human constant region.

Hybridomas that show positive reactivity with the antigen (e.g., the immunogen used to elicit the antibody response in the transgenic mouse) are tested using a panel of monoclonal antibodies that specifically react with mouse  $\mu$ ,  $\gamma$ , and  $\kappa$ , and  $\lambda$  human  $\mu$ ,  $\gamma$ , and  $\kappa$ . Only hybridomas that are positive for human heavy and light chains, as well as negative for mouse chains, are identified as candidate hybridomas that express human immunoglobulin chains. Thus, candidate hybridomas are shown to have reactivity with specific antigen and to possess epitopes characteristic of a human constant region.

RNA is isolated from candidate hybridomas and used to synthesize first strand cDNA. The first strand cDNA is then ligated to a unique single-stranded oligonucleotide of predetermined sequence (oligo-X) using RNA ligase (which ligates single-stranded DNA). The ligated cDNA is then amplified in two reactions by PCR using two sets of oligonucleotide primers. Set H (heavy chain) includes an oligo that specifically anneals to either human  $\mu$  or human  $\gamma 1$  (depending on the results of the ELISA) and an oligo that anneals to the oligo-X sequence. This prevents bias against detection of particular V segments, including mouse V segments that may have trans-rearranged into the human minilocus. A second set of primers, Set L (light chain), includes an oligo that specifically anneals to human  $\kappa$  and an oligo that anneals specifically to oligo-X. The PCR products are molecularly cloned and the DNA sequence of several are determined to ascertain whether the hybridoma is producing a unique human antibody on the basis of sequence comparison to human and murine Ig sequences.

## EXAMPLE 25

This example demonstrates production of a transgenic mouse harboring a human light chain ( $\kappa$ ) minilocus.

### Human $\kappa$ Minilocus transgenic mice

#### 5 KC1

A 13 kb XhoI J $\kappa$ 2-K $\kappa$  containing fragment from a phage clone (isolated from a human genomic DNA phage library by hybridization to a  $\kappa$  specific oligonucleotide, e.g., *supra*) was treated with Klenow enzyme and cloned into the Klenow treated HindIII site of pGP1d to produce pK-31. This destroyed the insert XhoI sites and positioned the unique polylinker derived XhoI site  
10 at the 5' end next to J $\kappa$ 2. A unique polylinker derived ClaI site is located between this XhoI site and the inset sequences, while a unique polylinker derived SalI site is located at the 3' end of the insert. A 7.5 kb XhoI fragment, containing J $\kappa$ 1 and upstream sequences, was also isolated from a human genomic DNA phage clone (isolated from a human genomic DNA phage library by hybridization to a  $\kappa$  specific oligonucleotide, e.g. *supra*). This 7.5 kb XhoI fragment was cloned  
15 into the SalI site of pSP72 (Promega, Madison, Wisconsin), thus destroying both XhoI sites and positioning a polylinker ClaI site 3' of J $\kappa$ 1. Digestion of the resulting clone with ClaI released a 4.7 kb fragment containing J $\kappa$ 1 and 4.5 kb of upstream sequences. This 4.7 kb fragment was cloned into the ClaI site of pK-31 to create pKcor. The remaining unique 5' XhoI site is derived from polylinker sequences. A 6.5 kb XhoI/SalI DNA fragment containing the unrearranged  
20 human V $\kappa$ III gene segment 65.8 (plasmid p65.8, EXAMPLE 21) was cloned into the XhoI site of pKcar to generate the plasmid pKCI. The NotI insert of pKCI was microinjected into 1/2 day mouse embryos to generate transgenic mice. Two independent pKCI derived transgenic lines were established and used to breed mice containing both heavy and light chain miniloci. These lines, KC1-673 and KC1-674, were estimated by Southern blot hybridization to contain integrations of  
25 approximately 1 and 10-20 copies of the transgenes respectively.

#### KC1e

The plasmid pMHE1 (EXAMPLES 13 and 18) was digested with BamHI and HindIII to excise the 2.3 kb insert containing both the mouse and human heavy chain J- $\mu$  intronic enhancers. This fragment was Klenow treated, ligated to SalI linkers (New England Biolabs, Beverly,  
30 Massachusetts), and cloned into the unique 3' SalI Site of pKCI to generate the plasmid pKC1e. The NotI insert of pKC1e was microinjected into 1/2 day mouse embryos to generate transgenic





PA). Presence of conjugate bound to captured antibody was determined after further washing by addition of ABTS substrate. Human  $\mu$  reactive with antigen was detected in both bleeds, while there was essentially undetectable  $\gamma$  reactivity. The Day 40 sample was also tested for antigen-reactive human  $\kappa$  chain using the same assay with goat anti-human  $\kappa$  peroxidase conjugate (Sigma, St. Louis, MO). CD4-binding  $\kappa$  reactivity was detected at this time point. The assay results are shown in Fig. 48.

### EXAMPLE 27

This example shows the successful generation of mice which are homozygous for functionally disrupted murine heavy and light chain loci (heavy chain and  $\kappa$  chain loci) and which concomitantly harbor a human heavy chain transgene and a human light chain transgene capable of productively rearranging to encode functional human heavy chains and functional human light chains. Such mice are termed "0011" mice, indicating by the two 0's in the first two digits that the mice lack functional heavy and light chain loci and indicating by the 1's in the second two digits that the mice are hemizygous for a human heavy chain transgene and a human light chain transgene. This example shows that such 0011 mice are capable of making a specific antibody response to a predetermined antigens and that such an antibody response can involve isotype switching.

#### 0011/0012 Mice: Endogenous Ig Knockout + Human Ig Transgenes

Mice which were homozygous for a functionally disrupted endogenous heavy chain locus lacking a functional  $J_H$  region (designated  $JHD^{++}$  or  $JH\Delta^{++}$ ) and also harboring the human HC1 transgene, such as the HC1-26 transgenic mouse line described *supra*, were interbred with mice homozygous for a functionally disrupted endogenous kappa chain locus lacking a functional  $J_H$  region (designated here as  $JKD^{++}$  or  $J\Delta^{++}$ ; see Example 9) to produce mice homozygous for functionally disrupted heavy chain and kappa chain loci (heavy chain/kappa chain knockouts), designated as  $JHD^{++}/JKD^{++}$  and containing a HC1 transgene. Such mice were produced by interbreeding and selected on the basis of genotype as evaluated by Southern blot of genomic DNA. These mice, designated HC1-26+/JKD+/JHD+ mice, were interbred with mice harboring a human kappa chain transgene (lines KC2-1610, KC1e-1399, and KC1e-1527; see Example 25), and Southern blot analysis of genomic DNA was used to identify offspring mice homozygous for functionally disrupted heavy and light chain loci and also hemizygous for the HC1 transgene and the KC2 or KC1e transgene. Such mice are designated by numbers and were identified as to their genotype, with the following abbreviations: HC1-26+ indicates hemizyosity

for the HC1-26 line human heavy chain minilocus transgene integration; JHD++ indicates homozygosity for J<sub>H</sub> knockout; JKD++ indicates homozygosity for J<sub>κ</sub> knockout; KC2-1610+ indicates hemizyosity for a KC2 human κ transgene integrated as in line KC2-1610; KC1e-1527+ indicates hemizyosity for a KC1e human κ transgene integrated as in line KC1e-1527; KC1e-1399+ indicates hemizyosity for a KC1e human κ transgene integrated as in line KC1e-1399.

The resultant individual offspring were each given a numerical designation (e.g., 6295, 6907, etc.) and each was evaluated for the presence of J<sub>H</sub> knockout alleles, J<sub>κ</sub> knockout alleles, HC1-26 transgene, and κ transgene (KC2 or KC1e) and determined to be either hemizygous (+) or homozygous (++) at each locus. Table 10 shows the number designation, sex, and genotypes of several of the offspring mice.

TABLE 10

ID NO.	Sex	Ig Code	Genotype
6295	M	0011	HC1-26+; JHD++; JKD++; KC2-1610+
6907	M	0011	HC1-26+; JHD++; JKD++; KC1e-1527+
7086	F	0011	HC1-26+; JHD++; JKD++; KC1e-1399+
7088	F	0011	HC1-26+; JHD++; JKD++; KC1e-1399+
7397	F	0011	HC1-26+; JHD++; JKD++; KC1e-1527+
7494	F	0012	HC1-26+; JHD++; JKD++; KC2-1610++
7497	M	0011	HC1-26+; JHD++; JKD++; KC1e-1399+
7648	F	0011	HC1-26+; JHD++; JKD++; KC2-1610+
7649	F	0012	HC1-26+; JHD++; JKD++; KC2-1610++
7654	F	0011	HC1-26+; JHD++; JKD++; KC2-1610+
7655	F	0011	HC1-26+; JHD++; JKD++; KC2-1610+
7839	F	0011	HC1-26+; JHD++; JKD++; KC1e-1399+
7656	F	0001	HC1-26+; JHD++; JKD++; KC2- KC2-1610+
7777	F	1100	Col-2141-; JHD+; JKD+

We removed spleens from three 6 week old female mice. Mouse # 7655 was determined by Southern blot hybridization to be hemizygous for the HC1 (line 26j and KC2 (line 1610) transgene integrations, and homozygous for the JHΔ and JκΔ targeted deletions of the mouse μ and κJ regions. Mouse X7656 was determined by Southern blot hybridization to be hemizygous for the KC2 (line 1610) transgene integration and homozygous for the JHΔ and JκΔ targeted deletions of the mouse μ and κJ regions. Mouse # 7777 was determined by Souther blot hybridization to be hemizygous for the JHΔ and JκΔ targeted deletions of the mouse μ and κJ regions. Because of the recessive nature of these deletions, this mouse should be phenotypically wild-type.

### Expression of Endogenous Ig Chains in 0011 Mice

FACS analysis using a panel of antibodies reactive with either human  $\mu$ , mouse  $\mu$ , human  $\kappa$ , mouse  $\kappa$ , or mouse  $\lambda$  was used to sort lymphocytes explanted from (1) a wildtype mouse (7777), (2) a 0001 mouse homozygous for heavy chain and kappa knockout alleles and harboring a human light chain transgene (7656), and (3) a 0011 mouse homozygous for heavy chain and kappa knockout alleles and harboring a human light chain transgene and a human heavy chain transgene (7655).

We prepared single cell suspensions from spleen and lysed the red cells with  $\text{NH}_4\text{Cl}$ , as described by Mishell and Shiigi (Mishell, B.B. & Shiigi, S.M. (eds) Selected Methods in Cellular Immunology. W.H. Freeman & Co., New York, 1980). The lymphocytes are stained with the following reagents: propidium iodide (Molecular Probes, Eugene, OR), FITC conjugated anti-human IgM (clone G20-127; Pharmingen, San Diego, CA), FITC conjugated anti-mouse IgM (clone R6-60.2; Pharmingen, San Diego, CA), phycoerythrin conjugated anti-human Ig $\kappa$  (clone HP6062; CalTag, South San Francisco, CA), FITC conjugated anti-mouse Ig $\lambda$  (clone R26-46; Pharmingen, San Diego, CA) FITC conjugated anti-mouse B220 (clone RA3-6B2; Pharmingen, San Diego, CA), and Cy-Chrome conjugated anti-mouse B220 (clone RA3-6B2; Pharmingen, San Diego, CA). We analyzed the stained cells using a FACScan flow cytometer and LYSIS II software (Becton Dickinson, San Jose, CA). Macrophages and residual red cells are excluded by gating on forward and side scatter. Dead cells are excluded by gating out propidium iodide positive cells. The flow cytometric data in Figs. 49 and 50 confirms the Southern blot hybridization data and demonstrates that mouse #7655 expresses both human  $\mu$  and human  $\kappa$  and relatively little if any mouse  $\mu$  or mouse  $\kappa$ . Nevertheless a significant fraction of the B cells (about 70-80%) appear to express hybrid Ig receptors consisting of human heavy and mouse  $\lambda$  light chains.

Fig. 49 shows the relative distribution of B cells expressing human  $\mu$  or mouse  $\mu$  on the cell surface; 0011 mouse (7655) lymphocytes are positive for human  $\mu$  but relatively lack mouse  $\mu$ ; 0001 mouse (7656) lymphocytes do not express much human  $\mu$  or mouse  $\mu$ ; wildtype mouse (7777) lymphocytes express mouse  $\mu$  but lack human  $\mu$ .

Fig. 50 shows the relative distribution of B cells expressing human  $\kappa$  or mouse  $\kappa$  on the cell surface; 0011 mouse (7655) lymphocytes are positive for human  $\kappa$  but relatively lack mouse  $\kappa$ ;

0001 mouse (7656) lymphocytes do not express much human  $\kappa$  or mouse  $\kappa$ ; wildtype mouse (7777) lymphocytes express mouse  $\kappa$  but lack human  $\kappa$ .

Fig. 51 shows the relative distribution of B cells expressing mouse  $\lambda$  on the cell surface; 0011 mouse (7655) lymphocytes are positive for mouse  $\lambda$ ; 0001 mouse (7656) lymphocytes do not express significant mouse  $\lambda$ ; wildtype mouse (7777) lymphocytes express mouse  $\lambda$  but at a relatively lower level than the 0011 mouse (7655).

Fig. 52 shows the relative distribution of B cells positive for endogenous mouse  $\lambda$  as compared to human  $\kappa$  (transgene-encoded). The upper left panel shows the results of cells from a wildtype mouse possessing functional endogenous heavy and light chain alleles and lacking human transgene(s); the cells are positive for mouse lambda. The upper right panel shows cells from a mouse #5822) having a  $\kappa$  knockout background (JKD++) and harboring the human  $\kappa$  transgene intergration of the KC1e-1399 line; the cells are positive for human  $\kappa$  or mouse  $\lambda$  in roughly proportional amounts. The lower left panel shows cells from a mouse (#7132) having a  $\kappa$  knockout background (JKD++) and harboring the human  $\kappa$  transgene intergration of the KC2-1610 line; more cells are positive for mouse  $\lambda$  than for human  $\kappa$ , possibly indicating that the KC2-1610 transgene integration is less efficient than the KC1e-1399 transgene integration. The lower right panel shows cells from a mouse harboring a human  $\kappa$  minilocus transgene (KCo4) and lacking a functional endogenous murine  $\kappa$  allele. The data presented in Fig. 52 also demonstrates the variability of phenotypic expression between transgenes. Such variability indicates the desirability of selecting for individual transgenes and/or transgenic lines which express one or more desired phenotypic features resulting from the integrated transgene (e.g., isotype switching, high level expression, low murine Ig background). Generally, single or multiple transgene species (e.g., pKC1e, pKC2, KCo4) are employed separately to form multiple individual transgenic lines differing by: (1) transgene, (2) site s) of transgene integration, and/or (3) genetic background. Individual transgenic lines are examined for desired parameters, such as: (1) capability to mount an immune response to a predetermined antigen, (2) frequency of isotype switching within transgene-encoded constant regions and/or frequency of trans-switching to endogenous (e.g., murine) Ig constant region genes, (3) expression level of transgene-encoded immunoglobulin chains and antibodies, (4) expression level of endogenous (e.g., murine) immunoglobulin sequences, and (5) frequency of productive VDJ and VJ rearrangement. Typically, the transgenic lines which produce the largest concentrations of transgene-encoded (e.g., human) immunoglobulin chains are selected;

preferably, the selected lines produce about at least 40 µg/ml of transgene-encoded heavy chain (e.g., human µ or human γ) in the serum of the transgenic animal and/or about at least 100 µg/ml of transgene-encoded light chain (e.g., human κ).

5 Mice were examined for their expression of human and murine immunoglobulin chains in their unimmunized serum and in their serum following immunization with a specific antigen, human CD4. Fig. 53 shows the relative expression of human µ, human γ, murine µ, murine γ, human κ, murine κ, and murine λ chains present in the serum of four separate unimmunized 0011 mice of various genotypes (nt = not tested); human κ predominates as the most abundant light chain, and human µ and murine γ (putatively a product of trans-switching) are the most abundant  
10 heavy chains, with variability between lines present, indicating the utility of a selection step to identify advantageous genotypic combinations that minimize expression of murine chains while allowing expression of human chains. Mice #6907 and 7088 show isotype switching (cis-switching within the transgene) from human µ to human γ.

Fig. 54 shows serum immunoglobulin chain levels for human µ (huµ), human γ (huγ),  
15 human κ (huκ), murine µ (msµ), murine γ (msγ), murine κ (msκ), and murine λ a (msλ) in mice of the various 0011 genotypes.

#### Specific Antibody Response in 0011 Mice

An 0011 mouse (#6295) was immunized with an immunogenic dose of human CD4 according to the following immunization schedule: Day 0, intraperitoneal injection of 100 µl of  
20 CD4 mouse immune serum; Day 1, inject 20 µg of human CD4 (American Bio-Tech) on latex beads with DDA in 100 µl; Day 15 inject 20 µg of human CD4 (American Bio-Tech) on latex beads with DDA in 100 µl; Day 29 inject 20 µg of human CD4 (American Bio-Tech) on latex beads with DDA in 100 µl; Day 43 inject 20 µg of human CD4 (American Bio-Tech) on latex beads with DDA in 100 µl.

25 Fig. 55 shows the relative antibody response to CD4 immunization at 3 weeks and 7 weeks demonstrating the presence of human µ human κ, and human γ chains in the anti-CD4 response. Human γ chains are present at significantly increased abundance in the 7 week serum, indicating that cis-switching within the heavy chain transgene (isotype switching) is occurring in a temporal relationship similar to that of isotype switching in a wildtype animal.

Fig. 56 shows a schematic compilation of various human heavy chain and light chain transgenes.

## EXAMPLE 28

This example provides for the targeted knockout of the murine  $\lambda$  light chain locus.

### 5 Targeted Inactivation of the Murine Lambda Light Chain Locus

Unlike the Ig heavy and kappa light chain loci, the murine  $V\lambda J\lambda$  and  $C\lambda$  gene segments are not grouped into 3 families arranged in a 5' to 3' array, but instead are interspersed. The most 5' portion consists of two V segments ( $V\lambda 2$  and  $V\lambda X$ ) which are followed, proceeding in a 3' direction, by two constant region exons, each associated with its own J segment ( $J\lambda 2C\lambda 2$  and the  
10 pseudogene  $J\lambda 4C\lambda 4$ ). Next is the most extensively used V segment ( $V\lambda 1$ ) which is followed by the second cluster of constant region exons ( $J\lambda 3C\lambda 3$  and  $J\lambda 1C\lambda 1$ ). Overall the locus spans approximate 200 kb, with 5 intervals of ~20-90 kb between the two clusters.

Expression of the lambda locus involves rearrangement of  $V\lambda 2$  or  $V\lambda X$  predominantly to  $J\lambda 2$  and only rarely further 3' to  $J\lambda 3$  or  $J\lambda 1$ .  $V\lambda 1$  can recombine with both  $J\lambda 3$  and  $J\lambda 1$ . Thus the  
15 lambda locus can be mutated in order to fully eliminate recombination and expression of the locus.

The distance between the two lambda gene clusters makes it difficult to inactivate expression of the locus via the generation of a single compact targeted deletion, as was used in inactivating the murine Ig heavy and kappa light chain loci. Instead, a small single deletion which would eliminate expression lambda light chains spans approximately 120 kb, extending from  
20  $J\lambda 2C\lambda 2$  to  $J\lambda C\lambda 1$  (Fig. 57). This removes all of the lambda constant region exons as well as the  $V\lambda 1$  gene segment, ensuring inactivation of the locus.

Replacement type targeting vectors (Thomas and Capecchi (1987) op. cit.) are constructed in which the deleted 120 kb is replaced with the selectable marker gene, *neo*, in a PGK expression cassette. The marker is embedded within genomic lambda sequences flanking the deletion to  
25 provide homology to the lambda locus and can also contain the HSV-*tk* gene, at the end of one of the regions of homology, to allow for enrichment for cells which have homologously integrated the vectors. Lambda locus genomic clone sequences are obtained by screening of a strain 129/sv genomic phage library isogenic to the ES line being targeted, since the use of targeting vectors isogenic to the chromosomal DNA being targeted has been reported to enhance the efficiency of

homologous recombination. Targeting vectors are constructed which differ in their lengths of homology to the lambda locus. The first vector (vector 1 in Fig. 58) contains the marker gene flanked by total of approximately 8-12 kb of lambda locus sequences. For targeting events in which replacement vectors mediate addition or deletion of a few kb of DNA this has been demonstrated to be a more than sufficient extent of homology (Hasty et al. (1991) op. cit.; Thomas et al.(1992) op. cit.). Vectors with an additional approximately 40-60 kb of flanking lambda sequence are also constructed (vector 2 in Fig. 58). Human Ig miniloci of at least 80 kb are routinely cloned and propagated in the plasmid vector pGP1 (Taylor et al. (1993) op. cit.) .

An alternative approach for inactivation of the lambda locus employs two independent mutations, for example mutations of the two constant region clusters or of the two V region loci, in the same ES cell. Since both constant regions are each contained within ~6 kb of DNA, whereas one of the V loci spans ~19 kb, targeting vectors are constructed to independently delete the J $\lambda$ 2C $\lambda$ 2/J $\lambda$ 4C $\lambda$ 4 and the J $\lambda$ 3C $\lambda$ 3/J $\lambda$ 1C $\lambda$ 1 loci. As shown in Fig. 58, each vector consists of a selectable marker (e.g., neo or pac) in a PGK expression cassette, surrounded by a total of ~8-12 kb of lambda locus genomic DNA flanking each deletion. The HSV-tk gene can be added to the targeting vectors to enrich for homologous recombination events by positive-negative selection. ES cells are targeted sequentially with the two vectors, such that clones are generated which carry a deletion of one of the constant region loci; these clones are then targeted sequentially with the two vectors, such that clones will be generated which carry a deletion of one of the constant region loci, and these clones are then targeted to generate a deletion of the remaining functional constant region cluster. Since both targeting events are thus being directed to the same cell, it is preferable to use a different selectable marker for the two targetings. In the schematic example shown in Fig. 58, one of the vectors contains the neo gene and the other the pac (puromycin N-acetyl transferase) gene. A third potential dominant selectable marker is the hyg (hygromycin phosphotransferase) gene. Both the pac and hyg genes can be inserted into the PGK expression construct successfully used for targeting the neo gene into the Ig heavy and kappa light chain loci. Since the two lambda constant region clusters are tightly linked, it is important that the two mutations reside on the same chromosome. There preferably is a 50% probability of mutating the same allele by two independent targeting events, and linkage of the mutations is established by their co-segregation during breeding of chimeras derived from the doubly targeted ES cells.

## EXAMPLE 29

This example provides for the targeted knockout of the murine heavy chain locus.

### Targeted Inactivation of the Murine Heavy Chain Locus

A homologous recombination gene targeting transgene having the structure shown in Fig. 59 is used to delete at least one and preferably substantially all of the murine heavy chain locus constant region genes by gene targeting in ES cells. Fig. 59 shows a general schematic diagram of a targeting transgene. Segment (a) is a cloned genomic DNA sequence located upstream of the constant region gene(s) to be deleted (i.e., proximal to the JH genes); segment (b) comprises a positive selection marker, such as pgk-neo; segment (c) is a cloned genomic DNA sequence located downstream of the constant region gene(s) to be deleted (i.e., distal to the constant region gene(s) and JH gene(s); and segment (d), which is optional, comprises a negative selection marker gene (e.g., HSV-tk). Fig. 60 shows a map of the murine heavy chain locus as taken from Immunoglobulin Genes, Honjo, T, Alt, FW, and Rabbits TH (eds.) Academic Press, NY (1989) p. 129.

A targeting transgene having a structure according to Fig. 59, wherein: (1) the (a) segment is the 11.5 kb insert of clone JH8.1 (Chen et al. (1993) Int. Immunol. 5: 647) or an equivalent portion comprising about at least 1-4 kb of sequence located upstream of the murine Cμ gene, (2) the (b) segment is pgk-neo as described *supra*, (3) the (c) segment comprises the 1674 bp sequence shown in Fig. 61 or a 4-6 kb insert isolated from a phage clone of the mouse Cα gene isolated by screening a mouse genomic clone library with the end-labeled oligonucleotide having the sequence: 5'-gtg ttg cgt gta tca gct gaa acc tgg aaa cag ggt gac cag-3' and (4) the (d) segment comprises the HSV-tk expression cassette described *supra*.

Alternatively, a stepwise deletion of one or more heavy chain constant region genes is performed wherein a first targeting transgene comprises homology regions, i.e., segments (a) and (c), homologous to sequences flanking a constant region gene or genes, a first species of positive selection marker gene (pgk-neo), and an HSV-tk negative selection marker. Thus, the (a) segment can comprise a sequence of at least about 1-4 kb and homologous to a region located upstream of Cγ3 and the (c) segment can comprise a sequence of at least about 1-4 kb and homologous to a region located upstream of Cγ2a. This targeting transgene deletes the Cγ3, Cγ1, Cγ2b, and Cγ2a genes. This first targeting transgene is introduced into ES cells and correctly targeted recombinants are selected (e.g., with G418), producing a correctly targeted C region deletion. Negative selection for loss of the HSV-tk cassette is then performed (e.g., with ganciclovir or FIAU). The resultant correctly targeted first round C deletion recombinants have a heavy chain locus lacking the Cγ3, Cγ1, Cγ2b, and Cγ2a genes.



A second targeting transgene comprises homology regions, i.e., segments (a) and (c), homologous to sequences flanking a constant region gene or genes, a second species of positive selection marker gene different than the first species (e.g., gpt or pac), and an HSV-tk negative selection marker. Thus, the (a) segment can comprise a sequence of at least about 1-4 kb and homologous to a region located upstream of C $\epsilon$  and the (c) segment can comprise a sequence of at least about 1-4 kb and homologous to a region located upstream of C $\alpha$ . This targeting transgene deletes the C $\epsilon$  and C $\alpha$  genes.

This second targeting transgene is introduced into the correctly targeted C-region recombinant ES cells obtained from the first targeting event. Cells which are correctly targeted for the second knockout event (i.e., by homologous recombination with the second targeting transgene) are selected for with a selection drug that is specific for the second species of positive selection marker gene (e.g., mycophenolic acid to select for gpt; puromycin to select for pac). Negative selection for loss of the HSV-tk cassette is then performed (e.g., with ganciclovir or FSAU). These resultant correctly targeted second round C region recombinants have a heavy chain locus lacking the C $\gamma$ 3, C $\gamma$ 1, C $\gamma$ 2b, C $\gamma$ 2a, C $\epsilon$ , and C $\alpha$  genes.

Correctly targeted first-round or second-round recombinant ES cells lacking one or more C region genes are used for blastocyst injections as described (*supra*) and chimeric mice are produced. Germline transmission of the targeted heavy chain alleles is established, and breeding of the resultant founder mice is performed to generate mice homozygous for C-region knockouts. Such C-region knockout mice have several advantages as compared to J<sub>H</sub> knockout mice; for one example, C-region knockout mice have diminished ability (or completely lack the ability) to undergo trans-switching between a human heavy chain transgene and an endogenous heavy chain locus constant region, thus reducing the frequency of chimeric human/mouse heavy chains in the transgenic mouse. Knockout of the murine gamma genes is preferred, although  $\mu$  and delta are frequently also deleted by homologous targeting. C-region knockout can be done in conjunction with other targeted lesions in the endogenous murine heavy chain locus; a C-region deletion can be combined with a J<sub>H</sub> knockout to preclude productive VDJ rearrangement of the murine heavy chain locus and to preclude or reduce trans-switching between a human heavy chain transgene and the murine heavy chain locus, among others. For some embodiments, it may be desirable to produce mice which specifically lack one or more C-region genes of the endogenous heavy chain locus, but which retain certain other C-region genes; for example, it may be preferable to retain the murine C $\alpha$  gene to allow to production of chimeric human/mouse IgA by trans-switching, if such IgA

confers an advantageous phenotype and does not substantially interfere with the desired utility of the mice.

### EXAMPLE 30

This example demonstrates *ex vivo* depletion of lymphocytes expressing an endogenous (murine) immunoglobulin from a lymphocyte sample obtained from a transgenic mouse harboring a human transgene. The lymphocytes expressing murine Ig are selectively depleted by specific binding to an anti-murine immunoglobulin antibody that lacks substantial binding to human immunoglobulins encoded by the transgene(s).

#### Ex vivo Depletion of Murine Ig-Expressing B-cells

A mouse homozygous for a human heavy chain minilocus transgene (HC2) and a human light chain minilocus transgene (KCo4) is bred with a C57BL/6 (B6) inbred mouse to obtain 2211 mice (i.e., mice which: are homozygous for a functional endogenous murine heavy chain locus, are homozygous for a functional endogenous murine light chain locus, and which possess one copy of a human heavy chain transgene and one copy of a human light chain transgene). Such 2211 mice also express B6 major and minor histocompatibility antigens. These mice are primed with an immunogenic dose of an antigen, and after approximately one week spleen cells are isolated. B cells positive for murine Ig are removed by solid phase-coupled antibody-dependent cell separation according to standard methods (Wysocki et al. (1978) Proc. Natl. Acad. Sci. (U.S.A.) 75: 2844; MACS magnetic cell sorting, Miltenyi Biotec Inc., Sunnyvale, CA), followed by antibody-dependent complement-mediated cell lysis (Selected Methods in Cellular Immunology, Mishell BB and Shiigi SM (eds.), W.H. Freeman and Company, New York, 1980, pp.211-212) to substantially remove residual cells positive for murine Ig. The remaining cells in the depleted sample (e.g., T cells, B cells positive for human Ig) are injected i.v., preferably together with additional anti-murine Ig antibody to deplete arising B cells, into a SLID/B6 or RAG/B6 mouse. The reconstituted mouse is then further immunized for the antigen to obtain antibody and affinity matured cells for producing hybridoma clones.

### EXAMPLE 31

#### Production of Fully Human Antibodies in Somatic Chimeras

A method as described for producing fully human antibodies in somatic chimeric mice. These mice are generated by introduction of embryonic stem (ES) cells, carrying human immunoglobulin (Ig) heavy and light chain transgenes and lacking functional murine Ig heavy and kappa light chain genes, into blastocysts from RAG-1 or RAG-2 deficient mice.

RAG-1 and RAG-2 deficient mice (Mombaerts et al. (1992) Cell **68**: 869; Shinkai et al. (1992) Cell **68**: 855) lack murine B and T cells due to an inability to initiate VDJ rearrangement and to assemble the gene segments encoding Igs and T cell receptors (TCR). This defect in B and T cell production can be complemented by injection of wild-type ES cells into blastocysts derived from RAG-2 deficient animals. The resulting chimeric mice produce mature B and T cells derived entirely from the injected ES cells (Chen et al. (1993) Proc. Natl. Acad. Sci. USA **90**: 4528).

Genetic manipulation of the injected ES cells is used for introducing defined mutations and/or exogenous DNA constructs into all of the B and/or T cells of the chimeras. Chen et al. (1993), Proc. Natl. Acad. Sci. USA **90**:4528-4532) generated ES cells carrying a homozygous inactivation of the Ig heavy chain locus, which, when injected into RAG blastocysts, produced chimeras which made T cells in the absence of B cells. Transfection of a rearranged murine heavy chain into the mutant ES cells results in the rescue of B cell development and the production of both B and T cells in the chimeras.

Chimeric mice which express fully human antibodies in the absence of murine Ig heavy chain or kappa light chain synthesis can be generated. Human Ig heavy and light chain constructs are introduced into ES cells homozygous for inactivation of both the murine Ig heavy and kappa light chain genes. The ES cells are then injected into blastocysts derived from RAG2 deficient mice. The resulting chimeras contain B cells derived exclusively from the injected ES cells which are incapable of expressing murine Ig heavy and kappa light chain genes but do express human Ig genes.

#### Generation of ES cells Homozygous for Inactivation of the Immunoglobulin Heavy and kappa Light Chain Genes

Mice bearing inactivated Ig heavy and kappa light chain loci were generated by targeted deletion, in ES cells, of Ig J<sub>H</sub> and J<sub>κ</sub>/C<sub>κ</sub> sequences, respectively according to known procedures (Chen et al. (1993) EMBO J. **12**: 821; and Chen et al. (1993) Int. Immunol. op. cit.). The two mutant strains of mice were bred together to generate a strain homozygous for inactivation of both Ig loci. This double mutant strain was used for derivation of ES cells. The protocol used was essentially that described by Robertson (1987, in Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, p. 71-112, edited by E.J. Robertson, IRL Press). Briefly, blastocysts were generated by natural matings of homozygous double mutant mice. Pregnant females were ovariectomized on day 2.5 of gestation and the “delayed” blastocysts were flushed from the uterus on day 7 of gestation and cultured on feeder cells, to help maintain their undifferentiated state. Stem cells from the inner cell mass of the blastocysts, identifiable by their morphology, were

picked, dissociated, and passaged on feeder cells. Cells with a normal karyotype were identified, and male cell lines will be tested for their ability to generate chimeras and contribute to the germ cells of the mouse. Male ES cells are preferable to female lines since a male chimera can produce significantly more offspring.

## 5 Introduction of Human Ig Genes into Mouse Ig Heavy and kappa Light Chain Deficient ES cells

Human immunoglobulin heavy and light chain genes are introduced into the mutant ES cells as either minilocus constructs, such as HC2 and KC-C04, or as YAC clones, such as J1.3P. Transfection of ES cells with human Ig DNAs is carried out by techniques such as electroporation or lipofection with a cationic lipid. In order to allow for selection of ES cells which have incorporated the human DNA, a selectable marker either is ligated to the constructs or is co-transferred with the constructs into ES cells. Since the mutant ES cells contain the neomycin phosphotransferase (neo) gene as a result of the gene targeting events which generated the Ig gene inactivations, different selectable markers, such as hygromycin phosphotransferase (hyg) or puromycin N-acetyl transferase (pac), are used to introduce the human Ig genes into the ES cells.

15 The human Ig heavy and light chain genes can be introduced simultaneously or sequentially, using different selectable markers, into the mutant ES cells. Following transfection, cells are selected with the appropriate selectable marker and drug-resistant colonies are expanded for freezing and for DNA analysis to verify and analyze the integration of the human gene sequences.

## 20 Generation of Chimeras

ES clones containing human Ig heavy and light chain genes are injected into RAG-2 blastocysts as described (Bradley, A. (1987), in Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, p. 113-151, edited by E.J. Robertson, IRL Press) and transferred into the uteri of pseudopregnant females. Offspring are screened for the presence of human antibodies by ELISA assay of serum samples. Positive animals are used for immunization and the production of human monoclonal antibodies.

### EXAMPLE 32

This example describes the introduction, via homologous recombination in ES cells, of a targeted frameshift mutation into the murine heavy chain locus leading to a deletion of B cells which undergo switch recombination. The frameshifted mice are suitable hosts for harboring non-murine (e.g., human) transgenes encoding human sequence immunoglobulins.



For making hybridomas secreting human sequence monoclonal antibodies, transgenic mutant mice are immunized; their spleens fused with a myeloma cell line; and the resulting hybridomas screened for expression of the transgene encoded human non- $\mu$  isotype. Further, the frameshifted mouse may be advantageous over a JH deleted mouse because it will contain a functional  $\mu$  switch sequence adjacent to a transcribed VDJ which serves as an active substrate for cis-switching (Gu et al. (1993) Cell 73: 1155); thus reducing the level of trans-switched B cells that express chimeric human/mouse antibodies.

### Construction of Frameshift Vectors

Two separate frameshift vectors are built. One of the vectors is used to introduce 2 nucleotides at the 3' end of the mouse J4 gene segment, and one of the vectors is used to delete those same two nucleotides from the 5' end of exon 1 of the mouse  $\mu$  gene.

#### 1. JH vector.

A 3.4 kb XhoI/EcoRI fragment covering the mouse heavy chain J region and the  $\mu$  intronic enhancer is subcloned into a plasmid vector that contains a neomycin resistance gene as well as a herpes thymidine kinase gene under the control of a phosphoglycerate kinase promoter (tk/neo cassette; Hasty et al., (1991) Nature 350: 243). This clone is then used as a substrate for generating 2 different PCR fragments using the following oligonucleotide primers:

o-A1            5'    -    cca cac tct gca tgc tgc aga agc ttt tct gta -3' (SEQ ID NO:161)

o-A2            5'    -    ggt gac tga ggt acc ttg acc cca gta gtc cag -3' (SEQ ID NO:162)

o-A3            5'    -    ggt tac ctc agt cac cgt ctc ctc aga ggt aag aat ggc ctc -3' (SEQ ID NO:163)

o-A4            5'    -    agg ctc cac cag acc tct cta gac agc aac tac -3' (SEQ ID NO:164)

Oligonucleotides o-A1 and o-A2 are used to amplify a 1.2 kb fragment which is digested with SphI and KpnI. Oligonucleotides o-A3 and o-A4 are used to amplify a 0.6 kb fragment which is digested with KpnI and XbaI. These two digested fragments are then cloned into SphI/XbaI digested plasmid A to produce plasmid B.

Plasmid B contains the 2 nucleotide insertion at the end of the J4 and, in addition, contains a new KpnI site upstream of the insertion. The KpnI site is used as a diagnostic marker for the insertion.

Additional flanking sequences may be cloned into the 5' XhoI site and the 3' EcoRI site of plasmid B to increase its homologous recombination efficiency. The resulting plasmid is then digested with SphI, or another restriction enzyme with a single site within the insert, and electroporated into embryonic stem cells which are then selected with G418 as described by Hasty et al. (1991) *op. cit.* Homologous recombinants are identified by Southern blot hybridization and then selected with FIAU as described by Hasty et al. to obtain deleted subclones which contain only the 2 base pair insertion and the new KpnI site in JH4. These are identified by Southern blot hybridization of KpnI digested DNA and confirmed by DNA sequence analysis of PCR amplified JH4 DNA.

The resulting mouse contains a JH4 segment that has been converted from the unmutated sequence:

...TGGGGTCAAGGAACCTCAGTCACCGTCTCCTCAG\_\_gtaagaatggcctctcc... (SEQ ID NO:165)

TrpGlyGlnGlyThrSerValThrValSerSerGlu (SEQ ID NO:166)

to the mutant sequence:

...TGGGGTCAAGGTACCTCAGTCACCGTCTCCTCAGAGgtaagaatggcctctcc... (SEQ ID NO:167)

TrpGlyGlnGlyThrSerValThrValSerSerGlu (SEQ ID NO:168)

#### μ Exon 1 Vector

Using similar in vitro mutagenesis methodology described above to engineer a two base pair insertion into the JH4 gene segment, PCR products and genomic subclones are assembled to create a vector containing a two base pair deletion at the 5' end of the first μ exon. In addition, to mark the mutation, a new XmnI site is also introduced downstream by changing an A to a G.

The sequence of the unmutated μ gene is:

...ctggtcctcagAGAGTCAGTCCTTCCCAATGTCTTCCCCCTCGTC... (SEQ ID NO:169)

GluSerGlnSerPheProAsnValPheProLeuVal (SEQ ID NO:170)

The sequence of the mutated μ gene is:

XmnI

...ctggtcctcagAGTCAGTCCTTCCCGAATGTCTTCCCCCTCGTC... (SEQ ID NO:171)

SerGlnSerPheProAsnValPheProLeuVal (SEQ ID NO:172)

5 The homologous recombination vector containing the mutant sequence is linearized and electroporated into an ES cell line containing the JH4 insertion. Homologous recombinants are identified from neomycin-resistant clones. Those homologous recombinants that contain the frameshift insertion on the same chromosome as the JH4 insertion are identified by Southern blot hybridization of KpnI/BamHI digested DNA. The JH4 insertion is associated with a new KpnI site that reduces the size of the J- $\mu$  intron containing KpnI/BamHI fragment from the wild type 11.3 kb to a mutant 9 kb. The resulting clones are then selected for deletion of the inserted tk/neo cassette using FIAU. Clones containing the mutant  $\mu$  exon are identified by Southern blot hybridization of XmnI digested DNA. The mutation is confirmed by DNA sequence analysis of PCR amplified  $\mu$  exon1 DNA.

#### Generation of Frameshifted Mice

15 The ES cell line containing both the two base pair insertion in JH4, and the two base pair deletion in  $\mu$  exon 1, is then introduced into blastocyst stage embryos which are inserted into pseudopregnant females to generate chimeras. Chimeric animals are bred to obtain germline transmission, and the resulting animals are bred to homozygosity to obtain mutant animals homozygous for compensated frameshifted heavy chain loci and having impaired secondary humoral immune responses in B cells expressing murine heavy chains.

20 A human heavy chain transgene, such as for example pHc1 or pHc2 and the like, may be bred into the murine heavy chain frameshift background by crossbreeding mice harboring such a human transgene into mice having the frameshifted murine IgH locus. Via interbreeding and backcrossing, mice homozygous at the murine IgH locus for  $\mu$ -compensated frameshifted murine IgH alleles (i.e., capable of compensated in-frame expression of only murine  $\mu$  and not murine non- $\mu$  chains) and harboring at least one integrated copy of a functional human heavy chain transgene (e.g., pHc1 or pHc2) are produced. Such mice may optionally contain knockout of endogenous murine  $\kappa$  and/or  $\lambda$  loci as described supra, and may optionally comprise a human or other non-murine light chain transgene (e.g., pKc1e, pKc2, and the like).

30 Alternatively, the human transgene(s) (heavy and/or light) may comprise compensating frameshifts, so that the transgene J gene(s) contain a frameshift that is compensated by a frameshift in the transgene constant region gene(s). Trans-switching to the endogenous constant region genes



is uncompensated and produces a truncated or nonsense product; B cells expressing such uncompensated trans-switched immunoglobulins are selected against and depleted.

### EXAMPLE 33

### Endogenous Heavy Chain Inactivation by D Region Ablation

This example describes a positive-negative selection homologous recombination vector for replacing the mouse germline immunoglobulin heavy chain D region with a nonfunctional rearranged VDJ segment. The resulting allele functions within a B cell as a normal non-productive allele, with the allele undergoing intra-allele heavy chain class switching, thereby reducing the level of trans-switching to an active transgene locus.

### D Region Targeting Construct

An 8-15 kb DNA fragment located upstream of the murine D region is isolated and subcloned from a mouse strain 129 phage library using an oligonucleotide probe comprising approximately 50 consecutive nucleotides of the published sequence for the DFL16.1 segment listed in GenBank. DFL16.1 is the upstream D segment (i.e., proximal to the V region gene cluster and distal to the constant region gene cluster).

Similarly, a 9.5 kb BamHI fragment containing JH3, JH4, E $\mu$ , S $\mu$ , and the first two coding exons of the  $\mu$  constant region is isolated and subcloned from a mouse strain 129 genomic phage library.

A 5-10 kb rearranged VDJ is then isolated from a mouse hybridoma (any strain) and a synthetic linker containing a stop codon is inserted into the J segment. The stop linker within the J is preferable to an out-of-frame VDJ junction because of the possibility of V replacement rearrangements.

These three fragments are assembled together with a PGKneo positive selection cassette and a PGKHSVtk negative selection cassette to form a positive-negative selection vector for eliminating the mouse D region in 129-derived ES cells (e.g., AB1) by homologous recombination. The targeting vector is formed by ligating the 8-15 kb DNA fragment to the positive selection cassette (e.g., PGKneo), which is itself ligated to the rearranged 5-10 kb rearranged VDJ, which is itself ligated to the 9.5 kb BamHI fragment; the negative selection cassette (e.g., PGKHSVtk) is then ligated at either end of the targeting construct. The construction of such a D region targeting vector is shown schematically in Fig. 63.



chamber immersed in standard gel buffer. The Y-shaped gel former rises above the surface of the buffer so that current can only flow to the narrow high Salt gel portion. A plexiglas block was placed over the high Salt gel slice to prevent diffusion of the NaOAc into the buffer. The YAC DNA was then electrophoresed out of the original excised gel slice (embedded) and into the narrow high Salt gel portion. At the point of transition from the low Salt gel to the high Salt gel, there is a resistance drop that effectively halts the migration of the DNA at the apex of the triangular gel.

Following electrophoresis and staining with ethidium bromide, the concentrated YAC DNA was cut away from the rest of the gel and the agarose was digested with GELase (Epicentre Technologies, Madison, Wisconsin). Cesium chloride was then added to the resultant YAC-containing liquid to obtain a density of 1.68 g/ml. This solution was centrifuged at 37,000 rpm for 36 hours to separate the YAC DNA from any contaminating material. 0.5 ml fractions of the resulting density gradient were isolated and the peak DNA fraction was dialyzed against 5 mM Tris (pH 7.4), 5 mM NaCl, 0.1 M EDTA. Following dialysis, the concentration of the resulting 0.65 ml solution of YAC DNA was found to contain 2 µg/ml of DNA. This YAC DNA was mixed with purified DNA insert from plasmids pKC1B and pKV4 at a ratio of 20:1:1 (micrograms YAC4x17E1:KC1B:KV4). The resulting 2 µg/ml solution was injected into the pronuclei of half-day B6CBF2 embryos, and 95 surviving microinjected embryos were transferred into the oviducts of pseudopregnant females. Twelve mice which developed from the microinjected embryos were born.

### EXAMPLE 35

This example describes class-switching, somatic mutation, and B cell development in immunized transgenic mice homozygous for an inactivated endogenous immunoglobulin locus and containing the HC1 or HC2 heavy chain transgene(s).

To demonstrate that a human sequence germline configuration minilocus can functionally replace the authentic locus, we bred a mouse strain lacking endogenous IgH with strains containing human germline-configuration IgH transgenes. The two transgene miniloci, HC1 and HC2, include one and four functional variable (V) segments respectively 10 and 16 diversity (D) segments respectively, all six joining (JH) segments, and both the µ and γ1 constant region segments. The miniloci include human cis-acting regulatory sequences - - such as the JH-µ intronic enhancer and the µ and γ1 switch sequences - - that are closely linked to the coding segments. They also include an additional enhancer element derived from the 3' end of the rat IgH

locus. We crossed HC1 and HC2 transgenic mice with stem-cell derived mutant mice that lack JH segments (JHD mice) as described (supra) and cannot therefore undergo functional heavy chain rearrangements. The resulting transgenic-JHD mice contain B cells that are dependent on the introduced heavy chain sequences.

## 5 Immunizations and hybridomas.

We immunized mice by intraperitoneal injections of 50-100 µg of antigen. Antigens included human carcinoembryonic antigen (CEA; Crystal Chem, Chicago, IL), hen eggwhite lysozyme (HEL; Pierce, Rockford, IL), and keyhole limpet hemocyanin (KLH; Pierce, Rockford, IL). For primary injections we mixed the antigen with complete Freund's adjuvant, for subsequent  
10 injections we used incomplete Freund's adjuvant (Gibco BRL, Gaithersburg, MD). We fused spleen cells with the non-secreting mouse myeloma P3X63-Ag8.653 (ATCC, CRL1580). We assayed serum samples and hybridoma supernatants for the presence of specific and non-specific antibody comprising human heavy chain sequences by ELISA. For detection of non-specific  
15 antibodies we coated microtiter wells with human heavy chain isotype specific antibody (mouse MAb c human IgG1, clone HP6069, Calbiochem, La Jolla, CA; mouse MAb a human IgM, clone CH6, The Binding Site, Birmingham, UK) and developed with peroxidase conjugated antisera (horseradish peroxidase conjugated affinity purified fab fragment from polyclonal goat α human IgG(fc), cat #109-036-098; affinity purified horseradish peroxidase conjugated polyclonal rabbit α human IgM(fc), cat # 309-035-095. Jackson Immuno Research, West Grove, PA). For detection  
20 of antigen-specific antibodies we coated microtiter wells with antigen and developed with peroxidase-conjugated human heavy chain isotype specific antisera. We detected bound peroxidase by incubation with hydrogen peroxide and 2,2'-Azino-bis-(3-Ethylbenzthiazoline-6-Sulfonic Acid, Sigma Chem. Co., St. Louis, MO). The reaction product is measured by absorption at 415 nm, and corrected for absorption at 490 nm.

## 25 Flow Cytometry.

We prepared single cell suspensions from spleen, bone marrow, and peritoneal cavity, and lysed red cells with NH<sub>4</sub>Cl, as described by Mishell and Shiigi. The lymphocytes are stained with the following reagents: Phycoerythrin conjugated anti-mouse Igκ (clone X36; Becton Dickinson, San Jose, CA), FITC conjugated anti-mouse IgD (clone SBA 1, Southern Biotech, AL), FITC  
30 conjugated anti-mouse CD5 (clone 53-7.3; Becton Dickinson, San Jose, CA), FITC conjugated anti-mouse Igλ (clone R26-46; Pharmingen, San Diego, CA), and Cy-Chrome conjugated anti-mouse B220 (clone RA3-6B2; Pharmingen, San Diego, CA). We analyzed the stained cells using

a FACScan flow cytometer and LYSIS II software (Becton Dickinson, San Jose, CA). Most macrophages, neutrophils, and residual red cells are excluded by gating on forward and side scatter.

#### Rescue of B cell compartment

5           In the peritoneal cavity of HC1 transgenic-JHD animals we find normal levels of CD5<sup>+</sup> B cells and approximately one-quarter the normal level of conventional CD5<sup>+</sup> B cells. The transgenic peritoneal CD5<sup>+</sup> B cells are similar to the so-called B-1 cells described in normal animals: they are larger than conventional B and T lymphocytes, they express lower levels of B220 than the conventional B cells found in the spleen, and they include a higher proportion of  $\lambda$  light chain  
10   expressing cells. Over 90% of the splenic B cells express  $\kappa$ , while up to 50% of the peritoneal B cells express  $\lambda$ . Thus, while the level of conventional B cells is uniformly reduced in all tissues, the level of B-1, which are reported to have a much greater capacity for self-renewal, appears to be normal in the HC1 transgenic-JHD animals.

#### Class switching.

15           In transgenic-JHD mice, repeated exposure to antigen results in the production of human  $\gamma$ 1 antibodies as well as  $\mu$  antibodies. We injected human CEA into transgenic-JHD mice at weekly intervals and monitored the serum levels of antigen-specific IgM and IgG1 over a period of four weeks (Fig. 63). At one week there is a detectable IgM response but no IgG1 response. However, the IgG1 response is greater than the IgM response after two weeks, and it continues to  
20   increase while the IgM response remains relatively constant. This pattern - - an initial IgM reaction followed by an IgG reaction - - is typical of a secondary immune response; and it suggests that cis-acting sequences included in the transgene may be responding to cytokines that direct class switching. We have considered three possible mechanisms for expression of non- $\mu$  isotypes, each of which have been discussed in the literature. These mechanisms are: alternative splicing, which  
25   does not involve deletion of the  $\mu$  gene; “ $\delta$ -type” switching, which involved deletion of the  $\mu$  gene via homologous recombination between flanking repeat sequences; and non-homologous recombination between switch regions. The results of our experiments, described below, are indicative of a switch region recombination model.

30           Two types of non-deletional alternative splicing mechanisms can be invoked to explain an isotype shift. First, it is possible that a single transcript covering both  $\mu$  and  $\gamma$ 1 is expressed from the transgene; this transcript could be alternatively spliced in response to cytokines induced by

exposure to antigen. Alternative, a cytokine induced sterile transcript initiating upstream of  $\gamma 1$  could be traps-spliced to the  $\mu$  transcript. If either of these mechanisms were responsible for the expression of human  $\gamma 1$  sequences, then we would expect to be able to isolate hybridomas that express both  $\mu$  and  $\gamma 1$ . However, although we have screened several hundred hybridomas expressing either human  $\mu$  or human  $\gamma 1$ , we have not found any such double producer ( $\mu^+$ ,  $\gamma 1^+$  hybridomas. This indicates that expression of  $\gamma 1$  is accompanied by deletion of the  $\mu$  gene.

Deletion of the  $\mu$  gene can be mediated by non-homologous recombination between the  $\mu$  and  $\gamma 1$  switch regions, or by homologous recombination between the two flanking 400 bp direct repeats ( $\sigma\mu$  and  $\Sigma\mu$ ) that are included in the HC1 and HC2 transgenes. Deletional recombination between  $\sigma\mu$  and  $\Sigma\mu$  has been reported to be responsible for the IgD<sup>+</sup>, IgM<sup>-</sup> phenotype of some human B cells. While the first mechanism, non-homologous switch recombination, should generate switch products of varying lengths, the second mechanism,  $\sigma\mu/\Sigma\mu$  recombination, should always generate the same product. We performed a Southern blot analysis of genomic DNA isolated from three hybridomas (Fig. 64A), one expressing  $\mu$  and two expressing  $\gamma 1$ . We find genomic rearrangements upstream of the transgene  $\gamma 1$  only in the two the  $\gamma 1$  switch regions (Fig. 64B). Furthermore, neither of the observed structures is compatible with homologous recombination between  $\sigma\mu$  and  $\Sigma\mu$ . Our results are therefore consistent with a model for  $\gamma 1$  isotype expression mediated by deletional non-homologous recombination between the transgene encoded  $\mu$  and  $\gamma 1$  switch regions.

## Trans-switching.

In addition to human  $\gamma 1$ , we find mouse  $\gamma$  in the serum of HC1 and HC2 transgenic-JHD mice. We have also obtained mouse  $\gamma$  expressing hybridomas from these animals. Because the non-transgenic homozygous JHD animals do not express detectable levels of mouse immunoglobulins, we attribute the expression of mouse  $\gamma$  in the HC1 and HC2 transgenic-JHD animals to the phenomenon of trans-switching. All of the transgenic hybridomas that we have analyzed express either mouse or human constant region sequences, but not both. It is therefore unlikely that a trans-splicing mechanism is involved. We used PCR amplification to isolate cDNA clones of trans-switch products, and determined the nucleotide sequence of 10 of the resulting clones (Fig. 65). The 5' oligonucleotide in the PCR amplification is specific for the transgene

encoded VH251, and the 3' oligonucleotide is specific for mouse  $\gamma 1$ ,  $\gamma 2b$ , and  $\gamma 3$  sequences. We find examples of trans-switch products incorporating all three of these mouse constant regions.

#### Somatic mutation.

5 Approximately 1% of the nucleotides within the variable regions of the trans-switch products shown in Fig. 7 are not germline encoded. This is presumably due to somatic mutation. Because the mutated sequence has been translocated to the endogenous locus, the cis-acting sequences directing these mutations could be located anywhere 3' of the mouse  $\gamma$  switch. However, as we discuss below, we also observe somatic mutation in VDJ segments that have not undergone such translocations; and this result indicates that sequences required by heavy chain  
10 somatic mutation are included in the transgene.

To determine if the HC1 and HC2 constructs include sufficient cis-acting sequences for somatic mutation to occur in the transgenic-JHD mice, we isolated and partially sequenced cDNA clones derived from two independent HC1 transgenic lines and one HC2 line. We find that some of the  $\gamma 1$  transcripts from transgenic-JHD mice contain V regions with extensive somatic  
15 mutations. The frequency of these mutated transcripts appears to increase with repeated immunizations. Figs. 66A and 66B show two sets of cDNA sequences: one set is derived from an HC1 (line 26) transgenic-JHD mouse that we immunized with a single injection of antigen 5 days before we isolated RNA; the second set is derived from an HC1 (line 26) transgenic-JHD mouse that we hyperimmunized by injecting antigen on three different days beginning 5 months before we  
20 isolated RNA; the second set is derived from an HC1 (line 26) transgenic-JHD mouse that we hyperimmunized by injecting antigen on three different days beginning 5 months before we isolated RNA. Only 2 of the 13 V regions from the 5 day post-exposure mouse contain any non-germline encoded nucleotides. Each of these V's contains only a single nucleotide change, giving an overall somatic mutation frequency of less than 0.1% for this sample. In contrast, none of the  
25 13 V sequences from the hyperimmunized animal are completely germline, and the overall somatic mutation frequency is 1.6%.

Comparison of  $\mu$  and  $\gamma 1$  transcripts isolated from a single tissue sample shows that the frequency of somatic mutations is higher in transgene copies that have undergone a class switch. We isolated and partially sequenced 47 independent  $\mu$  and  $\gamma 1$  cDNA clones from a  
30 hyperimmunized CH1 line 57 transgenic-JHD mouse (Fig. 67A and 67B). Most of the  $\mu$  cDNA clones are unmodified relative to the germline sequence, while over half of the  $\gamma 1$  clones contain multiple non-germline encoded nucleotides. The  $\gamma 1$  expressing cells are distinct from the  $\mu$

expressing cells and, while the two processes are not necessarily linked, class switching and somatic mutation are taking place in the same sub-population of B cells.

Although we do not find extensive somatic mutation of the VH251 gene in non-hyperimmunized CH1 transgenic mice, we have found considerable somatic mutation in VH56p1 and VH51p1 genes in a naive HC2 transgenic mouse. We isolated spleen and lymph node RNA from an unimmunized 9 week old female HC2 transgenic animal. We individually amplified  $\gamma$ 1 transcripts that incorporate each of the four V regions in the HC2 transgene using V and  $\gamma$ 1 specific primers. The relative yields of each of the specific PCR products were VH56p1 > > VH51p1 > VH4.21 > VH251. Although this technique is not strictly quantitative, it may indicate a bias in V segment usage in the HC2 mouse. Fig. 68 shows 23 randomly picked  $\gamma$ 1 cDNA sequences derived from PCR amplifications using an equimolar mix of all four V specific primers. Again we observe a bias toward VH56p1 (19/23 clones). In addition, the VH56p1 sequences show considerable somatic mutation, with an overall frequency of 2.1% within the V gene segment. Inspection of the CDR3 sequences reveals that although 17 of the 19 individual VH56p1 clones are unique, they are derived from only 7 different VDJ recombination events. It thus appears that the VH56p1 expressing B cells are selected, perhaps by an endogenous pathogen or self antigen, in the naive animal. It may be relevant that this same gene is over-represented in the human fetal repertoire.

### Summary

Upstream cis-acting sequences define the functionality of the individual switch regions, and are necessary for class switching. Our observation - - that class switching within the HC1 transgene is largely confined to cells involved in secondary response, and does not occur randomly across the entire B cell population - - suggests that the minimal sequences contained within the transgene are sufficient. Because the  $\gamma$  sequences included in this construct begin only 116 nucleotides upstream of the start site of the  $\gamma$ 1 sterile transcript, the switch regulatory region is compact.

Our results demonstrate that these important cis-acting regulatory elements are either closely linked to individual  $\gamma$  genes, or associated with the 3' heavy chain enhancer included in the HC1 and HC2 transgenes. Because the HC1 and HC2 inserts undergo transgene-autonomous class switching-which can serve as a marker for sequences that are likely to have been somatically mutated - - we were able to easily find hypermutated transcripts that did not originate from translocations to the endogenous locus. We found somatically mutated  $\gamma$  transcripts in three





(American Bio-Technologies, Inc. (ABT), Cambridge, MA) and/or CD4 obtained from NEN-DuPont. The ABT sample contained a purified 55 kD human CD4 molecule comprised the V<sub>1</sub> through V<sub>3</sub> domains of human CD4. The recombinant human sequence CD4 (produced in CHO-K1 cells) was adsorbed to the assay plate and used to capture antibody from hybridoma supernatants, the captured antibodies were then evaluated for binding to a panel of antibodies which bind either human  $\mu$ , human  $\kappa$ , human  $\gamma$ , murine  $\mu$ , or murine  $\kappa$ .

One hybridoma was subcloned from its culture plate well, designated 1F2. The 1F2 antibody bound to the ABT CD4 preparation, was positive for human  $\mu$ , and human  $\kappa$ , and was negative for human  $\gamma$ , mouse  $\gamma$ , and mouse  $\kappa$ .

10     B.     Generation of Human Ig Monoclonal Antibodies Derived from Hc2 Transgenic Mice  
            Immunized with Human CD4 and Human IgE.

The heavy chain transgene, HC2, is shown in Fig. 56 and has been described supra (see, Example 34).

15     The human light chain transgene, KCo4, depicted in Fig. 56 is generated by the  
cointegration of two individually cloned DNA fragments at a single site in the mouse genome. The  
fragments comprise 4 functional V $\kappa$  segments, 5J segments, the C $\kappa$  exon, and both the intronic  
and downstream enhancer elements (see Example 21) (Meyer and Neuberger (1989), EMBO J.,  
8:1959-1964; Judde and Max (1992), Mol. Cell Biol. 12:5206-5216). Because the two fragments  
share a common 3 kb sequence (see Fig. 56), they can potentially integrate into genomic DNA as a  
20     contiguous 43 kb transgene, following homologous recombination between the overlapping  
sequences. It has been demonstrated that such recombination events frequently occur upon  
microinjection of overlapping DNA fragments (Pieper et al. (1992), Nucleic Acids Res. 20:1259-  
1264). Co-injected DNAs also tend to co-integrate in the zygote, and the sequences contained  
within the individually cloned fragments would subsequently be joined by DNA rearrangement  
25     during B cell development. Table 11 shows that transgene inserts from at least 2 of the transgenic  
lines are functional. Examples of VJ junctions incorporating each of the 4 transgene encoded V  
segments, and each of the 5J segments, are represented in this set of 36 clones.

TABLE 11

Line	Vk65.5	Vk65.8	Vk65.1 5	Vk65.3	Jk1	Jk2	Jk3	Jk4	Jk5
#4436	0	11	4	3	14	1	0	2	1
#4437	1	3	7	7	5	2	1	7	3

Human light chain V and J segment usage in KCo4 transgenic mice. The table shows the number of PCR clones, amplified from cDNA derived from two transgenic lines, which contain the indicated human kappa sequences. cDNA was synthesized using spleen RNA isolated from individual KCo4 transgenic mice (mouse #8490, 3 mo., male, KCo4 line 4437; mouse #8867, 2.5 mo., female. KCo4 line 4436). The cDNA was amplified by PCR using C $\kappa$  specific oligonucleotide. 5' TAG AAG GAA TTC AGC AGG CAC ACA ACA GAG GCA GTT CA 3' (SEQ ID NO:173) and a 1:3 mixture of the following 2 V $\kappa$  specific oligonucleotides: 5' AGC TTC TCG AGC TCC TGC TGC TCT GTT TCC CAG GTG CC 3' (SEQ ID NO:174) and 5' CAG CTT CTC GAG CTC CTG CTA CTC TGG CTC (C,A)CA GAT ACC 3' (SEQ ID NO:175). The PCR product was digested with XhoI and EcoRI and cloned into a plasmid vector. Partial nucleotide sequences were determined by the dideoxy chain termination method for 18 randomly picked clones from each animal. The sequences of each clone were compared to the germline sequence of the unrearranged transgene.

Twenty-three light chain minilocus positive and 18 heavy chain positive mice developed from the injected embryos. These mice, and their progeny, were bred with mice containing targeted mutations in the endogenous mouse heavy (strain JHD) and  $\kappa$  light chain loci (strain JCKD) to obtain mice containing human heavy and  $\kappa$  light chain in the absence of functional mouse heavy and  $\kappa$  light chain loci. In these mice, the only mouse light chain contribution, if any, is from the mouse locus.

Table 12 shows that somatic mutation occurs in the variable regions of the transgene-encoded human heavy chain transcripts of the transgenic mice. Twenty-three cDNA clones from a HC2 transgenic mouse were partially sequenced to determine the frequency of non-germline encoded nucleotides within the variable region. The data include only the sequence of V segment codons 17-94 from each clone, and does not include N regions. RNA was isolated from the spleen

and lymph node of mouse 5250 (HC2 line 255A hemizygous, JHD homozygous). Single-stranded cDNA was synthesized and  $\gamma$  transcripts amplified by PCR as described [references]. The amplified cDNA was cloned into plasmid vectors, and 23 randomly picked clones were partially sequenced by the dideoxy chain-termination method. The frequency of PCR-introduced nucleotide changes is estimated from constant region sequence as  $<0.2\%$ .

**TABLE 12: The Variable Regions of Human  $\gamma$  Transcripts in HC2 Transgenic Mice Contain Non-Germline-Encoded Nucleotides**

VH Segment	Number of Clones	Number of Non-germline encoded nucleotides	Frequently of non-germline-encoded nucleotides (%)
VH251	0		--
VH56P1	10	100	2.1
VH51P1	1	5	2.0
VH4.21	3	0	0.0

#### Flow cytometry

We analyzed the stained cells using a FACScan flow cytometer and LYSIS II software (Becton Dickinson, San Jose, CA). Spleen cells were stained with the following reagents: propidium iodide (Molecular Probes, Eugene, OR), phycoerythrin conjugated  $\alpha$ -human Ig $\kappa$  (clone HP6062; Caltag, S. San Francisco, CA), phycoerythrin conjugated  $\alpha$ -mouse Ig $\kappa$  (clone X36; Becton Dickinson, San Jose, CA), FITC conjugated  $\alpha$ -mouse Ig $\lambda$  (clone R26-46; Pharmingen, San Diego, CA), FITC conjugated  $\alpha$ -mouse Ig $\mu$  (clone R6-60.2; Pharmingen, San Diego, CA), FITC conjugated  $\alpha$ -human Ig $\mu$  (clone G20-X27; Pharmingen, San Diego, CA), and Cy-Chrome conjugated  $\alpha$ -mouse B220 (clone RA3-6B2; Pharmingen, San Diego, CA).

#### Expression of human Ig transgenes

Figure 69 shows a flow cytometric analysis of spleen cells from KCo4 and HC2 mice that are homozygous for both the JHD and JCKD mutations. The human sequence HC2 transgene rescued B cell development in the JHD mutant background, restoring the relative number of B220<sup>+</sup> cells in the spleen to approximately half that of a wild type animal. These B cells expressed cell surface immunoglobulin receptors that used transgene encoded heavy chain. The human KCo4 transgene was also functional, and competed successfully with the intact endogenous  $\lambda$  light chain locus. Nearly 95% of the splenic B cells in JHD/JCKD homozygous mutant mice that contain both heavy and light chain human transgenes (double transgenic) expressed completely human cell surface IgM $\kappa$ .

Serum Ig levels were determined by ELISA done as follows: human  $\mu$ : microtiter wells coated with mouse Mab  $\alpha$  human IgM (clone CH6, The Binding site, Birmingham, UK) and developed with peroxidase conjugated rabbit  $\alpha$  human IgM(fc) (cat # 309-035-095, Jackson Immuno Research, West Grove, PA). Human  $\gamma$ : microtiter wells coated with mouse MAb  $\alpha$  human IgG1 (clone HP6069, Calbiochem, La Jolla, CA) and developed with peroxidase conjugated goat  $\alpha$  human IgG(fc) (cat # 109-036-098, Jackson Immuno Research, West Grove, PA). Human  $\kappa$ : microtiter wells coated with mouse Mab  $\alpha$  human Ig $\kappa$  (cat # 0173, AMAC, Inc. IgK (cat #A7164, Sigma Chem. Co., St. Louis, MO). Mouse  $\gamma$ : microtiter wells coated with goat  $\alpha$  mouse IgG (cat #115-006-071, Jackson Immuno Research, West Grove, PA). Mouse  $\lambda$ : microtiter wells coated with rat MAb  $\alpha$  mouse Ig $\lambda$  (cat # 02171D, Pharmingen, San Diego, CA) and developed with peroxidase conjugated rabbit  $\alpha$  mouse IgM(fc) (cat #309-035-095, Jackson Immuno Research, West Grove, PA). Bound peroxidase is detected by incubation with hydrogen peroxide and 2,2'-Azino-bis-(3-Ethylbenzthiazoline-6-Sulfonic Acid, Sigma Chem. Co., St. Louis, MO). The reaction product is measured by absorption at 415 nm.

The double transgenic mice also express fully human antibodies in the serum. Figure 70 shows measured serum levels of immunoglobulin proteins for 18 individual double transgenic mice, homozygous for endogenous heavy and kappa light chain inactivations, derived from several different transgenic founder animals. We found detectable levels of human  $\mu$ ,  $\gamma$ 1, and  $\kappa$ . We have shown supra that the expressed human  $\gamma$ 1 results from authentic class switching by genomic recombination between the transgene  $\mu$  and  $\gamma$ 1 switch regions. Furthermore, we have found that intra-transgene class switching was accompanied by somatic mutation of the heavy chain variable regions. In addition to human immunoglobulins, we also found mouse  $\gamma$  and  $\lambda$  in the serum. The presence of mouse  $\lambda$  protein is expected because the endogenous locus is completely intact. We have shown elsewhere that the mouse  $\gamma$  expression is a consequence of trans-switch recombination of transgene VDJ segments into the endogenous heavy chain locus. This trans-switching phenomenon, which was originally demonstrated for wild-type heavy chain alleles and rearranged VDJ transgenes (Durdik et al. (1989), Proc. Natl. Acad. Sci. USA 86:2346-2354; Gerstein et al. (1990), Cell 63:537-548), occurs in the mutant JHD background because the downstream heavy chain constant regions and their respective switch elements are still intact.

The serum concentration of human IgM $\kappa$  in the double transgenic mice was approximately 0.1 mg/ml, with very little deviation between animals or between lines. However, human  $\gamma$ 1,

mouse  $\gamma$ , and mouse  $\lambda$  levels range from 0.1 to 10 micrograms/ml. The observed variation in  $\gamma$  levels between individual animals may be a consequence of the fact that  $\gamma$  is an inducible constant region. Expression presumably depends on factors such as the health of the animal, exposure to antigens, and possibly MHC type. The mouse  $\lambda$  serum levels are the only parameter that appears to correlate with individual transgenic lines. KCo4 line 4436 mice which have the fewest number of copies of the transgene per integration (approximately 1-2 copies) have the highest endogenous  $\lambda$  levels, while KCo4 line 4437 mice (~10 copies per integration) have the lowest  $\lambda$  levels. This is consistent with a model in which endogenous rearranges subsequent to the  $\kappa$  transgene, and in which the serum  $\lambda$  level is not selected for, but is instead a reflection of the relative size of the precursor B cell pool. Transgene loci containing multiple light chain inserts may have the opportunity to undergo more than one V to J recombination event, with an increased probability that one of them will be functional. Thus high copy lines will have a smaller pool of potential  $\lambda$  cells.

#### Immunizations with human CD4 and IgE

To test the ability of the transgenic B cells to participate in an immune response, we immunized double transgenic mice with human protein antigens, and measured serum levels of antigen specific immunoglobulins by ELISA. Mice were immunized with 50  $\mu$ g recombinant sCD4 (cat. #013101, American Bio-Technologies Inc., Cambridge, MA) covalently linked to polystyrene beads (cat #08226, Polysciences Inc., Warrington, PA) in complete Freund's adjuvant by intraperitoneal injection. Each of the mice are homozygous for disruptions of the endogenous  $\mu$  and  $\kappa$  loci, and homozygous for the human heavy chain transgene HC2 line 2500 and human  $\kappa$  light chain transgene KCo4 line 4437.

#### Methods

Serum samples were diluted into microtiter wells coated with recombinant sCD4. Human antibodies were detected with peroxidase conjugated rabbit  $\alpha$  human IgM(fc) (Jackson Immuno Research, West Grove, PA) or peroxidase conjugated goat anti-human Ig $\kappa$  (Sigma, St. Louis, MO).

Figure 71A shows the primary response of transgenic mice immunized with recombinant human soluble CD4. All four of the immunized animals show an antigen-specific human IgM

response at one week. The CD4-specific serum antibodies comprise both human  $\mu$  heavy chain and human  $\kappa$  light chain.

To evaluate the ability of the HC2 transgene to participate in a secondary response, we hyperimmunized the transgenic mice by repeated injection with antigen, and monitored the heavy chain isotype of the induced antibodies. Mice homozygous for the human heavy chain transgene HC2 and human  $\kappa$  light chain transgene KCo4 were immunized with 25  $\mu$ g of human IgE $\kappa$  (The Binding Site, Birmingham, UK) in complete Freund's adjuvant on day = 0. Thereafter, animals were injected with IgE $\kappa$  in incomplete Freund's adjuvant at approximately weekly intervals. Serum samples were diluted 1:10, and antigen-specific ELISAs were performed on human IgE,  $\lambda$  coated plates.

Figure 71B shows a typical time course of the immune response from these animals: we injected double transgenic mice with human IgE in complete Freund's adjuvant, followed by weekly boosts of IgE in incomplete Freund's adjuvant. The initial human antibody response was IgM $\kappa$ , followed by the appearance of antigen specific human IgE $\kappa$ . The induced serum antibodies in these mice showed no cross-reactivity to human IgM or BSA. The development, over time, of a human IgG

We have also tested the ability of the heavy chain transgene to undergo class switching *in vitro*: splenic B cells purified from animals homozygous for the same heavy chain construct (HC2, line 2550) switch from human IgM to human IgG1 in the presence of LPS and recombinant mouse IL-4. However, *in vitro* switching did not take place in the presence of LPS and recombinant mouse IL-2, or LPS alone.

We find human IgM-expressing cells in the spleen, lymph nodes, peritoneum, and bone marrow of the double-transgenic/double-knockout (0011) mice: Although the peritoneal cavity contains the normal number of B cells, the absolute number of transgenic B cells in the bone marrow and spleen is approximately 10-50% of normal. The reduction may result from a retardation in transgene-dependent B cell development. The double-transgenic/double-knockout (0011) mice also express fully human antibodies in the serum, with significant levels of human  $\mu$ ,  $\gamma$ 1, and  $\kappa$  in these mice. The expressed human  $\gamma$ 1 results from authentic class switching by genomic recombination between the transgene  $\mu$  and  $\gamma$ 1 switch regions. Furthermore, the intratransgene class switching is accompanied by somatic mutation of the heavy chain variable regions encoded by the transgene. In addition to human immunoglobulins, we find mouse  $\mu$  and

mouse  $\lambda$  in these mice. The mouse  $\mu$  expression appears to be a result of trans-switching recombination, wherein transgene VDJ gene is recombined into the endogenous mouse heavy chain locus. Trans-switching, which was originally observed in the literature for wild-type heavy chain alleles and rearranged VDJ transgenes, occurs in our JH<sup>-/-</sup> background because the mouse downstream heavy chain constant regions and their respective switch elements are still intact.

To demonstrate the ability of the transgenic B cells to participate in an immune response, we immunized the 0011 mice with human protein antigens, and monitored serum levels of antigen-specific immunoglobulins. The initial human antibody response is IgM, followed by the expression of antigen-specific human IgG (Fig. 71B and Fig. 73). The lag before appearance of human IgG antibodies is consistent with an association between class-switching and a secondary response to antigen.

In a transgenic mouse immunized with human CD4, human IgG reactivity to the CD4 antigen was detectable at serum concentrations ranging from  $2 \times 10^{-2}$  to  $1.6 \times 10^{-4}$ .

#### Identification of Anti-Human CD4 Hybridomas

A transgenic mouse homozygous for the human heavy chain transgene HC2 and human  $\kappa$  light chain transgene KCo4 were immunized with 20  $\mu$ g of recombinant human CD4 in complete Freund's adjuvant on day 0. Thereafter, animals were injected with CD4 in incomplete Freund's adjuvant at approximately weekly intervals. Fig. 73 shows human antibody response to human CD4 in serum of the transgenic mouse. Serum samples were diluted 1:50, and antigen-specific ELISAs were performed on human CD4 coated plates. Each line represents individual sample determinations. Solid circles represent IgM, open squares represent IgG.

We also isolated hybridoma cell lines from one of the mice that responded to human CD4 immunization. Five of the cloned hybridomas secrete human IgG $\kappa$  (human  $\gamma$ 1/human  $\kappa$ ) antibodies that bind to recombinant human CD4 and do not crossreact (as measured by ELISA) with a panel of other glycoprotein antigens. The association and dissociation rates of the immunizing human CD4 antigen for the monoclonal antibodies secreted by two of the IgG $\kappa$  hybridomas, 4E4.2 and 2C5.1, were determined. The experimentally-derived binding constants ( $K_a$ ) were approximately  $9 \times 10^7 \text{ M}^{-1}$  and  $8 \times 10^7 \text{ M}^{-1}$  for antibodies 4E4.2 and 205.1, respectively. These  $K_a$  values fall within the range of murine IgG anti-human CD4 antibodies that have been used in clinical trials by others (Chen et al. (1993) *Int. Immunol.* 6: 647).



A mouse of line #7494 (0012;HC1-26+;JHD++;JKD++;KC2-1610++) was immunized on days 0, 13, 20, 28, 33, and 47 with human CD4, and produced anti-human CD4 antibodies comprised of human  $\kappa$  and human  $\mu$  or  $\gamma$ .

By day 28, human  $\mu$  and human  $\kappa$  were found present in the serum. By day 47, the serum  
5 response against human CD4 comprised both human  $\mu$  and human  $\gamma$ , as well as human  $\kappa$ . On day  
50, splenocytes were fused with P3X63-Ag8.653 mouse myeloma cells and cultured. Forty-four  
out of 700 wells (6.3%) contained human  $\gamma$  and/or  $\kappa$  anti-human CD4 monoclonal antibodies.  
Three of these wells were confirmed to contain human  $\gamma$  anti-CD4 monoclonal antibodies, but  
lacked human  $\kappa$  chains (presumably expressing mouse A). Nine of the primary wells contained  
10 fully human IgM $\kappa$  anti-CD4 monoclonal antibodies, and were selected for further characterization.  
One such hybridoma expressing fully human IgM $\kappa$  anti-CD4 monoclonal antibodies was designated  
2C11-8.

Primary hybridomas were cloned by limiting dilution and assessed for secretion of human  $\mu$   
and  $\kappa$  monoclonal antibodies reactive against CD4. Five of the nine hybridomas remained positive  
15 in the CD4 ELISA. The specificity of these human IgM $\kappa$  monoclonal antibodies for human CD4  
was demonstrated by their lack of reactivity with other antigens including ovalbumin, bovine  
serum albumin, human serum albumin, keyhole limpet hemacyanin, and carcinoembryonic  
antigen. To determine whether these monoclonal antibodies could recognize CD4 on the surface  
of cells (i.e., native CD4), supernatants from these five clones were also tested for reactivity with  
20 a CD4+ T cell line, Sup T1. Four of the five human IgM $\kappa$  monoclonal antibodies reacted with  
these CD4+ cells. To further confirm the specificity of these IgM $\kappa$  monoclonal antibodies,  
freshly isolated human peripheral blood lymphocytes (PBL) were stained with these antibodies.  
Supernatants from clones derived from four of the five primary hybrids bound only to CD4+  
lymphocytes and not to CD8+ lymphocytes (Figure 72).

25 Fig. 72 shows reactivity of IgM $\kappa$  anti-CD4 monoclonal antibody with human PBL. Human  
PBL were incubated with supernatant from each clone or with an isotype matched negative control  
monoclonal antibody, followed by either a mouse anti-human CD4 monoclonal antibody  
conjugated to PE (top row) or a mouse anti-human CD8 Ab conjugated to FITC (bottom row).  
Any bound human IgM $\kappa$  was detected with a mouse anti-human  $\mu$  conjugated to FITC or to PE,  
30 respectively. Representative results for one of the clones, 2C11-8 (right side) and for the control

IgM $\kappa$  (left side) are shown. As expected, the negative control IgM $\kappa$  did not react with T cells and the goat anti-human  $\mu$  reacted with approximately 10% of PBL, which were presumably human B cells.

5 Good growth and high levels of IgM $\kappa$  anti-CD4 monoclonal antibody production are important factors in choosing a clonal hybridoma cell line for development. Data from one of the hybridomas, 2C11-8, shows that up to 5 pg/cell/d can be produced (Figure 74). Similar results were seen with a second clone. As is commonly observed, production increases dramatically as cells enter stationary phase growth. Fig. 74 shows cell growth and human IgM $\kappa$  anti-CD4 monoclonal antibody secretion in small scale cultures. Replicate cultures were seeded at  $2 \times 10^5$  cells/ml in a total volume of 2 ml. Every twenty-four hours thereafter for four days, cultures were harvested. Cell growth was determined by counting viable cells and IgM $\kappa$  production was quantitated by an ELISA for total human (top panel). The production per cell per day was calculated by dividing the amount of IgM $\kappa$  by the cell number (bottom panel).

15 Fig. 75 shows epitope mapping of a human IgM $\kappa$  anti-CD4 monoclonal antibody. Competition binding flow cytometric experiments were used to localize the epitope recognized by the IgM $\kappa$  anti-CD4 monoclonal antibody, 2C11-8. For these studies, the mouse anti-CD4 monoclonal antibodies, Leu3a and RPA-T4, which bind to unique, nonoverlapping epitopes on CD4 were used. PE fluorescence of CD4 $^{+}$  cells preincubated with decreasing concentrations of either RPA-TA or Leu-3a followed by staining with 2C11-8 detected with PE-conjugated goat anti-human IgM. There was concentration-dependent competition for the binding of the human IgM $\kappa$  anti-CD4 monoclonal antibody 2C11-8 by Leu3a but not by RPA-T4 (Figure 75). Thus, the epitope recognized by 2C11-8 was similar to or identical with that recognized by monoclonal antibody Leu3a, but distinct from that recognized by RPA-T4.

25 In summary, we have produced several hybridoma clones that secrete human IgM $\kappa$  monoclonal antibodies that specifically react with native human CD4 and can be used to discriminate human PBLs into CD4 $^{+}$  and CD4 $^{-}$  subpopulations. At least one of these antibodies binds at or near the epitope defined by monoclonal antibody Leu3a. Monoclonal antibodies directed to this epitope have been shown to inhibit a mixed leukocyte response (Engleman et al., J. Exp. Med. (1981) 153:193). A chimeric version of monoclonal antibody Leu3a has shown some clinical efficacy in patients with mycosis fungoides (Knox et al. (1991) Blood 77:20).

We have isolated cDNA clones from 3 different hybridoma cell lines (2C11.8, 2C5.1, and 4E4.2), and have determined the partial nucleotide sequence of some of the expressed immunoglobulin genes in each of these cell lines. For sequence analysis, total RNA was isolated from approximately  $5 \times 10^6$  hybridoma cells. sscDNA was synthesized by priming reverse transcription with oligo dT. A portion of this sscDNA was used in duplicate PCR reactions primed by a pool of oligos with specificities for either (i) heavy chain variable framework regions contained within the HC1 or HC2 transgenes and a single downstream oligo specific for constant human gamma sequence, or (ii) light chain variable framework regions contained within the KC2 or KCo4 transgene and a single downstream oligo specific for constant human kappa sequence. Products from these PCR reactions were digested with appropriate restriction enzymes, gel purified, and independently cloned into pNN03 vector. DNA was isolated and manual dideoxy and/or automated fluorescent sequencing reactions performed on dsDNA.

The characteristics of the three hybridomas, 2C11.8, 2C5.1, and 4E4.2, are given below in Table 13.

Table 13 Human variable region usage in hybridomas

Subclone	Specificity	Isotype	Vh	Dh	Jh	Vκ	Jκ
2C11.8	nCD4	IgMκ	251	nd.*	nd.	nd.	nd.
2C5.1	rCD4	IgGκ	251	HQ52	JHS	65.15	JK4
4E4.2	rCD4	IgGκ	251	HQ52	JHS	65.15	JK4

\*n.d., not determined

5 Nucleotide sequence analysis of expressed heavy and light chain sequences from the two IgGκ hybridomas 2C5.1 and 4E4.2 reveal that they are sibling clones derived from the same progenitor B cell. The heavy and light chain V(D)J junctions from the two clones are identical, although the precise nucleotide sequences differ by presumptive somatic mutations. The heavy chain VDJ junction sequence is:

VH251	N	DHQ52	JH5
TAT TAC TGT GCG AG	(g gct cc)	A ACT GGG GA	C TGG TTC GAC (SEQ ID NO:176)
Y Y C A R	A P	T G D	W F D (SEQ ID NO:177)

The light chain VJ Junction is:

vk65.15	N	Jk4
TAT AT AGT TAC CCT CC	(t)	ACT TTC GGC (SEQ ID NO:178)
Y N S Y P P		T F G (SEQ ID NO:179)

The following non-germline encoded codons were identified (presumptive somatic mutations):

2CS.1	heavy chain	AGC->AGG	s28r (replacement)
	light chain	CCG->ACG	P119T (replacement)
4E4.2	heavy chain	AGC->AGG	S28R (replacement)
		CTG->CTA	L80L (silent)
	light chain	GAG->GAC	E41D (replacement)
		AGG->AAG	R61K (replacement)
		CCG->ACG	P119T (replacement)

We conclude that these two gamma hybridomas are derived from B cells that have undergone a limited amount of somatic mutation. This data shows that the HC2 transgenic animals use the VH5-51 (aka VH251) V segment. We have previously shown that VH4-34, VH1-69, and VH3-30.3 are expressed by these mice. The combination of these results demonstrates that the HC2 transgenic mice express all four of the transgene encoded human VH genes.

We conclude that human immunoglobulin-expressing B cells undergo development and respond to antigen in the context of a mouse immune system. Antigen responsivity leads to immunoglobulin heavy chain isotype switching and variable region somatic mutation. We have also demonstrated that conventional hybridoma technology can be used to obtain monoclonal human sequence antibodies from these mice. Therefore, these transgenic mice represent a source of human antibodies against human target antigens.

### EXAMPLE 37

This example describes the generation of transgenic mice homozygous for an inactivated endogenous heavy chain and  $\kappa$  chain locus and harboring a transgene capable of isotype switching to multiple downstream human  $C_H$  genes. The example also demonstrates a cloning strategy for assembling large transgenic (e.g., 160 kb) by co-microinjection of multiple DNA fragments comprising overlapping homologous sequence joints (see Fig. 76), permitting construction of a large transgene from more than two overlapping fragments by homologous recombination of a plurality of homology regions at distal ends of the set of fragments to be assembled *in vivo*, such

as in a microinjected ES cell or its clonal progeny. The example also shows, among other things, that isolated lymphocytes from the transgenic animals can be induced to undergo isotype switching in vitro, such as with IL-4 and LPS.

A set of five different plasmid clones was constructed such that the plasmid inserts could be isolated, substantially free of vector sequences; and such that the inserts together form a single imbricate set of overlapping sequence spanning approximately 150 kb in length. This set includes human V, D, J,  $\mu$ ,  $\gamma 3$ , and  $\gamma 1$  coding sequences, as well as a mouse heavy chain 3' enhancer sequence. The five clones are, in 5' to 3' order: pH3V4D, pCOR1xa, p11-14, pP1-570, and pHP-3a (Fig. 76). Several different cloning vectors were used to generate this set of clones. Some of the vectors were designed specifically for the purpose of building large transgenes. These vectors (pGP1a, pGP1b, pGP1c, pGP1d, pGP1f, pGP2a, and pGP2b) are pBR322-based plasmids that are maintained at a lower copy number per cell than the pUC vectors (Yanisch-Perron et al. (1985) Gene 33: 103-119). The vectors also include trpA transcription termination signals between the polylinker and the 3' end of the plasmid  $\beta$ -lactamase gene. The polylinkers are flanked by restriction sites for the rare-cutting enzyme NotI; thus allowing for the isolation of the insert away from vector sequences prior to embryo microinjection. Inside of the NotI sites, the polylinkers include unique XhoI and SalI sites at either end. The pGP1 vectors are described in Taylor et al. (1992) Nucleic Acids Res. 23: 6287. To generate the pGP2 vectors, pGP1f was first digested with AlwNI and ligated with the synthetic oligonucleotides o-236 and o-237 (o-236, 5'- ggc gcg cct tgg cct aag agg cca -3' (SEQ ID NO:180); o-237, 5'- cct ctt agg cca agg cgc gcc tgg -3' (SEQ ID NO:181)). The resulting plasmid is called pGP2a. Plasmid pGP2a was then digested with KpnI and EcoRI, and ligated with the oligonucleotides o-288 and o-289 (o-288, 5'- aat tca gta tcg atg tgg tac -3' (SEQ ID NO:182); o-289, 5'- cac atc gat act g -3' (SEQ ID NO:183)) to create pGP2b (Figs. 77A and Fig. 77B).

The general scheme for transgene construction with the pGP plasmids is outlined in Fig. 78 (paths A and B). All of the component DNA fragments are first cloned individually in the same 5' to 3' orientation in pGP vectors. Insert NotI, XhoI and SalI sites are destroyed by oligonucleotide mutagenesis or if possible by partial digestion, polymerise fill-in, and blunt end ligation. This leaves only the polylinker derived XhoI and SalI sites at the 5' and 3' ends of each insert. Individual inserts can then be combined stepwise by the process of isolating XhoI/ SalI fragments from one clone and inserting the isolated fragment into either the 5' XhoI or 3' SalI site of another clone (Fig. 78, path A). Transformants are then screened by filter hybridization with one or more insert fragments to obtain the assembled clone. Because XhoI/ SalI joints cannot be cleaved with either enzyme, the resulting product maintains unique 5' XhoI and 3' SalI sites, and can be used in

the step of the construction. A variation of this scheme is carried out using the vectors pGP2a and pGP2b (Fig. 78, path B). These plasmids includes an SfiI site between the ampicillin resistance gene and the plasmid origin of replication. By cutting with SfiI and XhoI or SalI, inserts can be isolated together with either the drug resistance sequence or the origin of replication. One SfiI/XhoI fragment is ligated to one SfiI/SalI fragment in each step of the synthesis. There are three advantages to this scheme: (i) background transformants are reduced because sequences from both fragments are required for plasmid replication in the presence of ampicillin; (ii) the ligation can only occur in a single 5' to 3' orientation; and (iii) the SfiI ends are not self-compatible, and are not compatible with SalI or XhoI, thus reducing the level of non-productive ligation. The disadvantage of this scheme is that insert SfiI sites must be removed as well as NotI, XhoI, and SalI sites. These medium copy vectors are an improvement over the commonly used pUC derived cloning vectors. To compare the ability of these vectors to maintain large DNA inserts, a 43 kb XhoI fragment comprising the human JH/C $\mu$  region was ligated into the SalI site of pSP72 (Promega, Madison, WI), pUC19 (BRL, Grand Island, NY), and pGP1f. Transformant colonies were transferred to nitrocellulose and insert containing clones were selected by hybridization with radiolabeled probe. Positive clones were grown overnight in 3 ml media and DNA isolated: EcoRI digestion of the resulting DNA reveals that all the pSP72 and pUC19 derived clones deleted the insert (Fig. 79); however, 12 of the 18 pGP1f derived clones contained intact inserts. Both orientations are represented in these 12 clones.

The construction and isolation of the five clones (pH3V4D, pCOR1xa, p11-14, pPi-570, and pHP-3a) used to generate the HCo7 transgene is outlined below.

#### pH3V4D.

Germline configuration heavy chain variable gene segments were isolated from phage 1 genomic DNA libraries using synthetic oligonucleotide probes for VH1 and VH3 classes. The VH1 class probe was o-49:

5'- gtt aaa gag gat ttt att cac ccc tgt gtc ctc tcc aca ggt gtc -3' (SEQ ID NO:78)

The VH3 class probe was o-184:

5'- gtt tgc agg tgt cca gtg t(c,g)a ggt gca gct g(g,t)t gga gtc (t,c) (g,c) g -3' (SEQ ID NO:184)

Positively hybridizing clones were isolated, partially restriction mapped, subcloned and partially sequenced. From the nucleotide sequence it was determined that one of the VH1 clones

isolated with the o-49 probe encoded a VH gene segment, 49.8, comprising an amino acid sequence identical to that contained in the published sequence of the hv1263 gene (Chen et al. (1989) Arthritis Rheum. 32; 72). Three of the VH3 genes, 184.3, 184.14, and 184.17, that were isolated with the 0-184 probe contained sequences encoding identical amino acid sequences to those contained in the published for the VH genes DP-50, DP-54, and DP-45 (Tomlinson et al. (1992) J. Mol. Biol., 227; 776). These four VH genes were used to build the pH3V4D plasmid.

The 184.3 gene was found to be contained within a 3 kb BamHI fragment. This fragment was subcloned into the plasmid vector pGP1f such that the XhoI site of the polylinker is 5' of the gene, and the SalI site is 3'. The resulting plasmid is called p184.3.36f. The 184.14 gene was found to be contained within a 4.8 kb HindIII fragment. This fragment was subcloned into the plasmid vector pUC19 in an orientation such that the gene could be further isolated as a 3.5 kb fragment by XhoI/SalI digestion at a genomic XhoI site 0.7 kb upstream of the gene and a polylinker derived SalI site 3' of the gene. The resulting plasmid is called p184.14.1. The 184.17 gene was found to be contained within a 5.7 kb HindIII fragment. This fragment was subcloned into the plasmid vector pSP72 (Promega, Madison, WI) in an orientation such that the polylinker derived XhoI and SalI sites are, respectively, 5' and 3' of the gene. The insert of this plasmid includes an XhoI site at the-3' end of the gene which was eliminated by partial digestion with XhoI, Klenow fragment filling-in, and religation. The resulting plasmid is called p184.17SK. The 49.8 gene was found to be contained within 6.3 kb XbaI fragment. This fragment was subcloned into the plasmid vector pNN03, such that the polylinker derived XhoI and ClaI sites are, respectively, 5' and 3' of the gene, to create the plasmid pVFi49.8 (Taylor et al. (1994) International Immunol. 6: 579). The XhoI/ClaI insert of pVH49.8 was then subcloned into pGP1f to create the plasmid p49.8f, which includes unique XhoI and SalI sites respectively at the 5' and 3' end of the 49.8 gene.

The 3.5 kb XhoI/SalI fragment of p184.14.1 was cloned into the XhoI site of p184.3.36f to generate the plasmid pRMVH1, which includes both the 184.14 and the 184.3 genes in the same orientation. This plasmid was digested with XhoI and the 5.7 kb XhoI/SalI fragment of p184.17SK was inserted to create the plasmid pRMVH2, which contains, from 5' to 3', the three VH genes 184.17, 184.14, and 184.3, all in the same orientation. The plasmid pRMVH2 was then cut with XhoI, and the 6.3 kb XhoI/SalI insert of p49.8f inserted to create the plasmid pH3VH4, which contains, from 5' to 3', the four VH genes 49.8, 184.17, 184.14, and 184.3, all in the same orientation.



The 10.6 kb XhoI/EcoRV insert of the human D region clone pDH1 (described *supra*; e.g., in Example 12) was cloned into XhoI/EcoRV digested pGPe plasmid vector to create the new plasmid pDH1e. This plasmid was then digested with EcoRV and ligated with a synthetic linker fragment containing a SalI site (5'- ccg gtc gac ccg -3') (SEQ ID NO:185). The resulting plasmid, pDH1es, includes most of the human D1 cluster within an insert that can be excised with XhoI and SalI, such that the XhoI site is on the 5' end, and the SalI site is on the 3' end. This insert was isolated and cloned into the SalI site of pH3VH4 to create the plasmid pH3VH4D, which includes four germline configuration human VH gene segments and 8 germline configuration human D segments, all in the same 5' to 3' orientation. The insert of this clone can be isolated, substantially free of vector sequences, by digestion with NotI.

#### pCOR1xa

The plasmid pCORi (described *supra*) which contains a 32 kb XhoI insert that includes 9 human D segments, 6 human J segments, the J $\mu$  intronic heavy chain enhancer, the  $\mu$  switch region, and the C $\mu$  coding exons--was partially digested with XhoI, Klenow treated, and a synthetic SalI linker ligated in to produce the new plasmid pCOR1xa, which has a unique XhoI site at the 5' end and a unique SalI site at the 3' end. Both pCORi and pCOR1xa contain a 0.6 kb rat heavy chain 3' enhancer fragment at the 3' end, which is included in the insert if the plasmid is digested with NotI instead of XhoI or XhoI/SalI.

#### pP 1-570

A phage P1 library (Genome Systems Inc., St. Louis, Missouri) was screened by PCR using the oligonucleotide primer pair:

5'- tca caa gcc cagcaa cac caa g -3' (SEQ ID NO:186)

5'- aaa agc cag aag acc ctc tcc ctg -3' (SEQ ID NO:187)

This primer pair was designed to generate a 216 bpPCR product with a human  $\gamma$  gene template. One of the P1 clones identified was found to contain both the human  $\gamma$ 3 and  $\gamma$ 1 genes within an 80 kb insert. The insert of this clone, which is depicted in Fig. 80, can be isolated, substantially free of vector sequences, by digestion with NotI and SalI.

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determined by Southern blot analysis to contain only a low number of copies of the transgene, and it is possible that the entire transgene was not incorporated, or that this mouse was a genetic mosaic. Two of the founder HCo7 mice, #11952 and #11959, were bred with human  $\kappa$  minilocus (KCo4 line 4436) transgenic mice that were also homozygous for disruptions of the endogenous heavy, and  $\kappa$  light chain loci (Lonberg et al. op. cit), to generate mice that were homozygous for the two endogenous locus disruptions and hemizygous for the two introduced human miniloci, KCo4 and HCo7. Five of these so-called double-transgenic/double-deletion mice were analyzed for expression of human IgM, human IgG1, and human IgG3. As a control, three HC2/KCo4 double-transgenic/double-deletion mice were included in the analysis. This experiment is presented in Fig. 82. The ELISA data in this figure was collected as in Lonberg et al. (op.cit), except that for detection of human IgG3, the coating antibody was a specific mAb directed against human IgG3 (cat. # 08041, Pharmingen, La Jolla, CA); the other details of the IgG3 assay were identical to those published for IgG1. While the HC2/KCo4 mice express only human IgM and human IgG1, the HCo7/KCo4 mice also express human IgG3 in addition to these two isotypes. Expression of human  $\gamma$ 3 and  $\gamma$ 1 in the HCo7 mice has also been detected by PCR amplification of cDNA synthesized from RNA isolated from the spleen of a transgenic mouse. Fig. 83 depicts PCR amplification products synthesized using spleen cDNA from three different lines of transgenic mice: line 2550 is an HC2 transgenic line, while lines 11959 and 11952 are HCo7 transgenic lines. Single stranded cDNA was synthesized from spleen RNA as described by Taylor et al. (1992) Nucleic Acid Res. 20: 6287. The cDNA was then PCR amplified using the following two oligonucleotides:

o-382: 5' - gtc cag aat tcg gt(c,g,t) cag ctg gtg (c,g)ag tct gg -3' (SEQ ID NO:190)

o-383: 5' - ggt ttc tcg agg aag agg aag act gac ggt cc -3' (SEQ ID NO:191)

This primer pair directs the synthesis of PCR products that spans the hinge region of human  $\gamma$  transcripts. Because of differences in the structures of the human  $\gamma$ 1 and  $\gamma$ 3 hinge regions, PCR amplification distinguishes between these two transcripts. A human  $\gamma$ 1 template will direct the synthesis of a 752 bp PCR product, while human  $\gamma$ 3 directs the synthesis of a 893 bp product. While only human  $\gamma$ 1 template is detectable in the HC2 line 2550 and HCo7 line 11959 spleens, both  $\gamma$ 1 and  $\gamma$ 3 transcripts are detectable in the HCo7 line 11952 spleen. Because of the non-quantitative nature of this assay, and because of differences in  $\gamma$ 3 expression between individual animals (shown by ELISA in Fig. 82), the inability to observe  $\gamma$ 3 in the HCo7 line 11959 spleen in Fig. 83 does not indicate that  $\gamma$ 3 is not expressed in this line. Isolated spleen cells from the



acg cag tct cc-3') (SEQ ID NO:194), and o-273 (5'-gaa acg cag ctg acg cag tct cc-3') (SEQ ID NO:195). These primers are used by Marks et al. (Eur. J. Immunol. 1991. 21, 985) as V $\kappa$  family specific primers. The 3' primer, o-274 (5'-gca agc ttc tgt ccc aga ccc act gcc act gaa cc-3') (SEQ ID NO:196), is based on a consensus sequence for FR3. Each of the four sets of primers directed  
5 the amplification of the expected 0.2 kb fragment from yeast genomic DNA containing the YAC clone 4x17E1. The 4 different sets of amplification products were then gel purified and cloned into the PvuII/HindIII site of the plasmid vector pSP72 (Promega). Nucleotide sequence analysis of 11 resulting clones identified seven distinct V genes. These results are presented below in Table 14.

Table 14. Identification of human V<sub>κ</sub> segments on the YAC 4x17E1.

PCR primers	clone #	Identified gene	V <sub>κ</sub> family
o-270/o-274	1	L22*	I
- " -	4	L22*	I
- " -	7	02* or 012	I
o-271/o-274	11	A10*	VI
- " -	15	A10*	VI
o-272/o-274	20	A4* or A20	I
- " -	21	A11*	III
- " -	22	A11*	III
- " -	23	A11*	III
- " -	25	04* or 014	I
o-273/o-274	36	L16* or L2	III

\* Gene segments mapped within the distal V<sub>κ</sub> cluster (Cox et al. Eur. J. Immunol. 1994. 24, 827; Pargent et al. Eur. J. Immunol. 1991. 21, 1829; Schable and Zachau Biol. Chem. Hoppe-Seyler 1993. 374, 1001)

5 All of the sequences amplified from the YAC clone are either unambiguously assigned to V<sub>κ</sub> genes that are mapped to the distal cluster, or they are compatible with distal gene sequences. As none of the sequences could be unambiguously assigned to proximal V genes, it appears that the YAC 4x17E1 includes sequences from the distal V<sub>κ</sub> region. Furthermore, one of the identified sequences, clone #7 (Vk02), maps near the J proximal end of the distal cluster, while  
 10 another sequence, clones # 1 and 4 (VkL22), maps over 300 kb upstream, near the J distal end of the distal cluster. Thus, if the 450 kb YAC clone 4x17E1 represents a non-deleted copy of the corresponding human genome fragment, it comprises at least 32 different V<sub>κ</sub> segments. However, some of these are non-functional pseudogenes.

## 2. Generation of transgenic mice containing YAC derived V<sub>κ</sub> gene segments.

15 To obtain purified YAC DNA for microinjection into embryo pronuclei, total genomic DNA was size fractionated on agarose gels. The yeast cells containing YAC 4x17E1 were imbedded in agarose prior to lysis, and YAC DNA was separated from yeast chromosomal DNA by standard pulse field gel electrophoresis (per manufacturers specifications: CHEF DR-II electrophoresis cell, BIO-RAD Laboratories, Richmond CA). Six individual pulse field gels were

stained with ethidium bromide and the YAC clone containing gel material was cut away from the rest of the gel. The YAC containing gel slices were then imbedded in a new (low melting temperature) agarose gel cast in a triangular gel tray. The resulting triangular gel was extended at the apex with a narrow gel containing two moles/liter sodium acetate in addition to the standard gel buffer (Fig. 85).

The gel was then placed in an electrophoresis chamber immersed in standard gel buffer. The "Y"-shaped gel former rises above the surface of the buffer so that current can only flow to the narrow high Salt gel slice. A Plexiglas block was placed over the high Salt gel slice to prevent diffusion of the NaOAc into the gel buffer. The YAC DNA was then electrophoresed out of the original gel slices and into the narrow high Salt block. At the point of transition from the low Salt gel to the high Salt gel, there is a resistance drop that effectively halts the migration of the YAC DNA through the gel. This leads to a concentration of the YAC DNA at the apex of the triangular gel. Following electrophoresis and staining, the concentrated YAC DNA was cut away from the rest of the DNA and the agarose digested with GELase (EPICENTRE Technologies). Cesium chloride was then added to the YAC DNA containing liquid to obtain a density of 1.68 g/ml. This solution was centrifuged at 37,000 rpm for 36 hrs to separate the DNA from contaminating material. 0.5 ml fractions of the resulting density gradient were isolated and the peak DNA containing fraction dialyzed against 5 mM tris (pH 7.4)/5 mM NaCl/0.1 M EDTA. Following dialysis, the concentration of the resulting 0.65 ml solution of YAC DNA was found to be 2 micrograms/ml. This DNA was mixed with purified DNA insert from plasmids pKC1B and pKV4 (Lonberg et al. 1994. *Nature* 368, 856) at a ratio of 20: 1: 1 (micrograms YAC4x17E1: KC1B: KV4). The resulting 2 microgram/ml solution was injected into the pronuclei of half-day mouse embryos, and 95 surviving microinjected embryos transferred into the oviducts of pseudo-pregnant females. Thirty nine mice were born that developed from the microinjected embryos. Two of these mice, #9269 and #9272, were used to establish transgenic lines. The lines are designated KCo5-9269 and KCo5-9272.

A Southern blot analysis of genomic DNA from mice of lines KCo5-9269 and KCo5-9272 was carried out to determine if YAC 4x17E1 derived  $V_{\kappa}$  segments had been incorporated in their genomes. A  $V_{\kappa}$  gene segment, VkA10 (accession # x12683; Straubinger et al. 1988. *Biol. Chem. Hoppe-Seyler* 369, 601-607), from the middle of the distal  $V_{\kappa}$  cluster was chosen as a probe for the southern blot analysis. To obtain the cloned probe, the VkA10 gene was first amplified by PCR. The two oligo nucleotides, o-337 (5'- cgg tta aca tag ccc tgg gac gag ac -3') (SEQ ID NO:197) and o-338 (5'- ggg tta act cat tgc ctc caa agc ac -3') (SEQ ID NO:198), were





Table 15. identification of human V $\kappa$  genes expressed in mouse line KCo5-9272.

PCR primers	clone #	Identified gene	V $\kappa$ family
o-270/o-186	1	L15*	I
- " -	3	L18**	I
- " -	7	L24**	I
- " -	9	L15*	I
- " -	10	L15*	I
o-271/o-186	15	A10**	VI
- " -	17	A10**	VI
- " -	18	A10**	VI
- " -	19	A10**	VI
- " -	21	A10**	VI
o-272/o-186	101	A27*	III
- " -	102	L15*	I
- " -	103	A27*	III
- " -	104	A27*	III
0-273/o-186	35	A27*	III
- " -	38	A27*	III
- " -	44	A27*	III
- " -	45	A27*	III
- " -	48	A27*	III

\* v $\kappa$  genes encoded by transgene plasmid sequences.

\*\* V $\kappa$  genes encoded uniquely by YAC derived transgene sequences.

5 These results show that at least 3 of the YAC derived V $\kappa$  gene segments, A10, L18, and L24, contribute to the expressed human repertoire of the line KCoS-9272 mice.

10 To determine the effect of this increased repertoire on the size of the various B220<sup>+</sup> cell populations in the bone marrow and spleen, a flow cytometric analysis was carried out on line KCoS-9272 mice. Part of this analysis is shown in Figs. 86 and 87. Two double transgenic/double deletion mice, one containing the KCo5 transgene, and one containing the KCo4 transgene, are compared in this experiment. These two transgenes share the same joining and constant region sequences, as well as the same intronic and 3' enhancer sequences. They also share four different cloned V gene segments; however, the KCo5 transgene includes the additional

V segments derived from YAC 4x17E1 that are not included in the KCo4 transgene. Cells were isolated from mouse #13534 (HC2-2550/KCo5-9272/JHD/JKD) and mouse #13449 (HC2-2550/KCo4-4436/JHD/JKD). Bone marrow cells were stained with anti-mouse 8220 (Caltag, south San Francisco, CA), anti-mouse CD43 (Pharminogen, La Jolla, CA), and anti-human IgM (Jackson Immunologic, West Grove, PA). Spleen cells were stained with anti-mouse 8220 and anti-human IgM.

Fig. 86 shows a comparison of the B cell, and B cell progenitor populations in the bone marrow of KCo5 and KCo4 mice. The fraction of B cells in the bone marrow ( $8220^+$ ,  $IgM^+$ ) is approximately three times higher in the KCo5 mice (6%) than it is in the KCo4 mice (2%). The pre-B cell population ( $8220^+$ ,  $CD43^-$ ,  $IgM^-$ ) is also higher in the KCo5 mice (95, compared to 5% for KCo4). Furthermore, the pro-B compartment ( $B220^+$ ,  $CD43^+$ ) is elevated in these mice (11% for KCo5 and 5% for KCo4). Although each of these three compartments is larger in the KCo5 mice than it is in the KCo4 mice, the levels are still approximately half that found in wild type mice. The increase in the number of bone marrow B cells is presumably a direct consequence of the increased repertoire size. The larger primary repertoire of these mice may provide for membrane Ig with some minimal threshold affinity for endogenous antigens. Receptor ligation could then allow for proliferation of those B cells expressing the reactive Ig. However, because the pre-B and pro-B cells do not express light chain genes, the explanation for the increased sizes of these two compartments in the KCo5 mice is not immediately apparent. The B cell progenitor compartments may be larger in KCo5 mice because the increased number of B cells creates a bone marrow environment that is more conducive to the expansion of these populations. This effect could be mediated directly by secreted factors or by cell-cell contact between B cells and progenitor cells, or it could be mediated indirectly, by titration of factors or cells that would otherwise inhibit the survival or proliferation of the progenitor cells.

Fig. 87 shows a comparison of the splenic B cell ( $B220^+$ ,  $IgM^+$ ) populations in KCo5 and KCo4 mice. The major difference between these two mice is the relative sizes of  $B220^{dull}$  B cell populations (6% in the KCo5 mice and 13% in the KCo4 mice). The  $B220^{dull}$  cells are larger than the  $B220^{bright}$  B cells, and a higher fraction of them express the  $\lambda$  light chain. These are characteristics of the so-called B1 population that normally dominates the peritoneal B cell population in wild type mice. The spleens of the KCo4 mice comprise an anomalously high fraction of  $B220^{dull}$  cells, while the KCo5 mice have a more normal distribution these cells. However, both strains contain approximately one-half to one-third the normal number of B cells in the spleen.

### EXAMPLE 39

This example demonstrates the successful use of KCo5 transgenic mice of Example 38 to isolate hybridoma clones that secrete high affinity, antigen specific human IgG monoclonal antibodies.

5        Immunization.        A double deletion/double transgenic mouse (KCo5-9272/HC2-2550/JHD/JKD, #12657) was immunized intraperitoneally every other week for eight weeks with 4 to  $10 \times 10^6$  irradiated T4D3 cells, a murine T cell line expressing human CD4 (Dr. Jane Parnes, Stanford University) followed by one injection intraperitoneally two weeks later of 20 mg soluble recombinant human CD4 (sCD4; Intracell) in incomplete Freund's adjuvant (Sigma). The mouse  
10        was boosted once 3 days prior to fusion with 20 mg sCD4 intravenously.

Hybridoma fusion.        Single cell suspensions of splenic lymphocytes from the immunized mouse were fused to one-sixth the number of P3X63-Ag8.653 nonsecreting mouse myeloma cells (ATCC CRL 1580) with 50% PEG (Sigma). Cells were plated at approximately  $2 \times 10^5$  in flat bottom microtiter plates, followed by a two week incubation in selective medium containing 20%  
15        Fetal Clone Serum (HyClone), 18% "653" conditioned medium, 5% Origen (IGEN), 4 mM L-glutamine, 1 mM sodium pyruvate, 5 mM HEPES, 0.055 mM 2-mercaptoethanol, 50 units/ml mM penicillin, 50 mg/ml streptomycin, 50 mg/ml mM gentamycin and 1X HAT (Sigma; the HAT was added 24 hrs after the fusion). After two weeks, cells were cultured in medium in which the HAT was replaced with Ht. Wells were screened by ELISA and flow cytometry once extensive  
20        hybridoma growth or spent medium was observed.

Hybridoma screening by ELISA.        To detect anti-CD4 mAbs, microtiter plates (Falcon) were coated overnight at 4°C with 50 ml of 2.5 mg/ml of sCD4 in PBS, blocked at RT for 1 hr with 100 ml of 5% chicken serum in PBS, and then sequentially incubated at RT for 1 hr each with 1:4 dilutions of supernatant from hybridomas, 1:1000 dilution of F(ab')<sub>2</sub> fragments of horseradish  
25        peroxidase (HRPO)-conjugated goat anti-human IgG (Jackson) or 1:250 dilution of HRPO-conjugated goat anti-human Igk antibodies (Sigma) plus 10% normal mouse serum, and finally with 0.22 mg/ml ABTS in 0.1 M citrate phosphate buffer, pH 4 with 0.0024% H<sub>2</sub>O<sub>2</sub>. Plates were washed 3-6 times with wash buffer (0.5% Tween-20 in PBS) between all incubations, except the first. Diluent (wash buffer with 5% chicken serum) was used to dilute the supernatants and the  
30        HRPO conjugates. Absorbance was measured using dual wavelengths (OD at the reference wavelength of 490 nm was subtracted from the OD at 415 nm). To detect mouse  $\lambda$ -containing mAbs, the above ELISA protocol was used, with the following exceptions. Wells of microtiter plates were coated with 100 ml of 1) 1.25 mg/ml goat anti-mouse  $\lambda$  (Pierce), 2) 1.25 mg/ml goat

anti-human Fc $\gamma$  (Jackson), or 3) 2.5 mg/ml sCD4 (ABT). For the detection step, 100 ml of 1:5000 goat anti-mouse 1 (SBA) conjugated to biotin was used followed by 100 ml of 1:1000 streptavidin conjugated to HRPO (Jackson). Murine and human mAb standards were used at the indicated concentrations. To look for cross-reactivity to unrelated antigens, wells were coated with CEA (Crystal Chem), KLH (CalBiochem), HSA (Sigma), BSA (Sigma) or OVA (Sigma; all at 2 mg/ml, except CEA which was at 2.5). Appropriate antibodies were titrated and used as positive controls (human IgM anti-CEA (GenPharm), rabbit anti-KLH (Sigma), sheep anti-HSA (The Binding Site), sheep anti-BSA (The Binding Site), and sheep anti-OVA (The Binding Site)). Any bound antibody was detected with HRPO conjugates of goat anti-human IgM, donkey anti-rabbit IgG or donkey anti-sheep IgG (all diluted 1:1000 and obtained from Jackson). Otherwise, the standard ELISA protocol was followed.

Hybridoma screening by flow cytometric assay. To further screen for mAbs reactive with native cell-surface CD4, 5 x 10<sup>5</sup> SupT1 cells (ATCC CRT, 1942) were incubated on ice with a 1:2 dilution of spent supernatant from the fusion plates for 30 min, washed twice with cold stain buffer (0.1% BSA, 0.02% NaN<sub>3</sub> in PBS), incubated with 1.5 mg/ml of an F(ab')<sub>2</sub> fragment of FITC-conjugated goat anti-human Fc $\gamma$  (FITC-GaHuIgG; Jackson) for 15 min, washed once and analyzed immediately on a FACScan (Becton-Dickinson).

CD4 reactive hybridomas. Using the ELISA and flow cytometric techniques described above, 12 hybridoma clones were identified that secreted human IgG specifically reactive with native human CD4. Ten of these twelve clones were further subcloned. Eight of these subclones were identified as human IgG1 $\kappa$  secreting hybridomas. The other two expressed a mouse  $\lambda$  light chain. The parent wells for the 8 fully human clones were: 1E11, 2E4, 4D1, 6C1, 6G5, 7G2, 10C5, and 1G1. Flow cytometric assays of the binding of 3 of the fully human IgG $\kappa$  subclones (4D1.4, 6G5.1, and 10C5.6) are shown in Fig. 88.

Fig. 88 shows binding of IgG $\kappa$  anti-nCD4 monoclonal antibodies to CD4<sup>+</sup> SupT1 cells. Cells from log phase growth cultures were washed and stained with no monoclonal antibody, 4E4.2 (as a negative control), chimeric Leu3a (as a positive control), or with one of the 10 human IgG anti-nCD4 monoclonal antibodies. Any bound monoclonal antibody was detected with FITC-conjugated goat anti-human Fc $\gamma$ . All ten monoclonal antibodies bound to SupT1 cells, although data is shown here for only three of them.

Analysis of human antibody secretion by cloned hybridomas. To compare the growth and secretion levels of mAbs, the subclones were put into replicate cultures in HT medium in 24 well

plates at an initial density of  $2 \times 10^5$  cells/ml. Each day for 7 days, one of the replicate cultures for each subclone was harvested and cell numbers, cell viability (by Trypan blue exclusion) and the amount of mAb in the supernatant (by a quantitative ELISA for total human  $\gamma$ ) were determined. Table 16 shows data for antibody secretion by 7 of the hybridoma subclones.

5                    Table 16. Secretion Levels For Human IgG $\kappa$  Anti-nCD4 Monoclonal Antibodies

Subclone	pg/cell	pg/cell/d
1E11.15	3.9	0.56
1G1.9	11	1.5
4D1.4	1.4	0.91
6C1.10	3.3	0.48
6G5.1	7.8	1.1
7G2.2	4.4	0.63
10C5.6	8.0	1.1

\* pg/cell = (maximum amount of mAb)/(maximum number of viable cells) pg/cell/d = (pg/cell)/7 days

10                    Purification of human mAbs. The individual hybridoma clones were grown in medium without HT and Origen and the FCS was gradually decreased to approximately 2-3% in the final  
11 cultures. Supernatants were harvested once the viability of the hybridomas fell below approximately 30%. To purify the IgG $\kappa$  mAbs, the spent supernatants were centrifuged to remove cells, concentrated via ultrafiltration to approximately 50 to 100 mls, diluted 1:5 with PBS, pH 7.4 and loaded onto a 5 ml Protein A (Pharmacia) column. After washing with 3-5 column volumes of PBS, the human IgG $\kappa$  mAbs were eluted with 0.1 HC1, 150 mM NaCl, pH 2.8 and immediately  
15 neutralized with 1M Tris base, Column fractions containing material with an  $ODD_{280} > 0.2$  were pooled and dialyzed into PBS. The  $ODD_{280}$  was then determined and an absorbtivity coefficient of 1.4 was used to calculate the protein concentration of the human IgG. No mAb was detected in the flow through and the % recoveries ranged from 93 to 100%. Three to six mgs of each purified mAb were obtained, with >90% purity.

20                    Analysis of monoclonal antibodies from cloned hybridomas. To investigate the specificity of binding of mAbs, human PBMC were isolated over Ficoll and stained as follows. Human PBMC ( $10^6$ ) in stain buffer were incubated for 30 min on ice, in separate reactions, with equal volumes of supernatant from each of three of the subcloned hybridomas (4D1.4, 6G5.1, and 10C5.6), or with an isotype matched negative control mAb, washed twice, and incubated 20 min on  
25 ice with 1 mg/ml of FITC-GaHuIgG along with either 10 ml of mouse anti-human CD4 mAb (Leu3a; Becton-Dickinson) conjugated to phycoerythrin (PE), 10 ml of mouse anti-human CDS mAb (Leu2a; Becton-Dickinson) conjugated to PE, or 5 ml of mouse anti-human CD19 mAb (SJ25-C1; Caltag) conjugated to PE. Gated lymphocytes were then analyzed on a FACScan flow

cytometer (Becton Dickinson, San Jose, CA). All three of the antibodies were found to bind specifically to the CD4 fraction of the human PBMC.

To approximate the location of the epitope recognized by these three mAbs,  $5 \times 10^5$  SupT1 cells were pre-incubated for 20 min on ice with buffer, 2.5 mg/ml RPA-T4, or 2.5 mg/ml Leu3a in stain buffer, then for 30 min with one of the 10 human IgG mAbs (in supernatant diluted 1:2) and finally with 0.5 mg/ml FITC-conjugated goat anti-human Fc $\gamma$  to detect any bound human IgG. Cells were washed twice with stain buffer prior to and once after the last step. The results of this blocking assay are shown in Fig. 89. None of the three antibodies share an epitope with RPA-T4, while 6G5.1 and 10C5.6 appear to recognize the same (or an adjacent) epitope as that recognized by Leu3a.

#### Rate and equilibrium constant determinations

Human sCD4 (2500 to 4200 RU) was immobilized by covalent coupling through amine groups to the sensor chip surface according to manufacturer's instructions. Antibody dilutions were flowed over the antigen-coupled sensor chips until equilibrium was reached, and then buffer only was allowed to flow. For each phase of the reaction, binding and dissociation, the fraction of bound antibody was plotted over time. The derivative of the binding curve (dR/dt) was calculated and plotted against the response for each concentration. To calculate the association rate constant ( $k_{\text{assoc}}$ ), the slopes of those resulting lines were then plotted against the concentration of the monoclonal antibody. The slope of the line from this graph corresponded to the  $k_{\text{assoc}}$ . The dissociation rate constant ( $k_{\text{dissoc}}$ ) was calculated from the log of the drop in response (during the buffer flow phase) against the time interval. The  $k_a$  was derived by dividing the  $k_{\text{assoc}}$  by the  $k_{\text{dissoc}}$ . The measured rate and affinity constant data for 5 different purified monoclonal antibodies derived from the KCoS/HC2 double transgenic/double deletion mice, and one purified antibody obtained from a commercial source (Becton Dickinson, San, Jose, CA), is presented in Table 17.

Table 17. Rate and affinity constants for monoclonal antibodies that bind to human CD4.

Hybridoma	Antibody	Source	$K_{\text{ass}} (\text{M}^{-1} \text{S}^{-1})$	$K_{\text{diss}} (\text{S}^{-1})$	$K_a (\text{M}^{-1})$
1E11.15	Human IgG1k	HC2/KCo5 transgenic	$2.7 \times 10^5$	$4.6 \times 10^{-5}$	$5.8 \times 10^9$
1G1.69	Human IgG1k	HC2/KCo5 transgenic	$9.1 \times 10^4$	$2.2 \times 10^{-5}$	$4.2 \times 10^9$
4D1.4	Human IgG1k	HC2/KCo5 transgenic	$9.8 \times 10^4$	$4.2 \times 10^{-5}$	$2.3 \times 10^9$
6G5.1	Human IgG1k	HC2/KCo5 transgenic	$1.1 \times 10^5$	$1.0 \times 10^{-5}$	$1.1 \times 10^{10}$

10C5.6	Human IgG1k	HC2/KCo5 transgenic	$7.4 \times 10^4$	$1.6 \times 10^{-5}$	$4.5 \times 10^9$
Leu3a	Human IgG1k	Becton Dickinson	$1.5 \times 10^5$	$4.2 \times 10^{-6}$	$3.7 \times 10^{10}$

Mixed Lymphocyte Reaction (MLR). To compare the *in vitro* efficacy of the human monoclonal antibody 10C5.6, derived from the KCo5 transgenic mouse, to that of the mouse antibody Leu3a, an MLR assay was performed. Human PBMC from 2 unrelated donors were isolated over Ficoll and CD4+ PBL from each donor were purified using a CD4 column (Human CD4 Collect, Biotex Laboratories, Inc., Canada) according to manufacturer's directions. Inactivated stimulator cells were obtained by treating PBMC from both donors with 100 mg/ml mitomycin C (Aldrich) in culture medium (RPMI 1640 with 10% heat-inactivated human AB serum (from NABI), Hepes, sodium pyruvate, glutamine, pen/strep and b-mercaptoethanol (all used at manufacturer's recommended concentrations)) for 30 min at 37°C followed by 3 washes with culture medium. Varying concentrations of mAbs diluted in culture medium or culture medium only were sterile filtered and added at 100 µl per well in triplicate in a 96 well round bottom plate. Fifty µl of  $10^5$  CD4+ PBL from one donor in culture medium and  $10^5$  mitomycin C-treated PBMC from the other donor in 50 µl of culture medium were then added to each well. Control plates with CD4+ PBL responders alone plus mAbs were set up to control for any toxic or mitogenic effects of the mAbs. A stimulator only control and a media background control were also included. After seven days in a 37°C, 5% CO<sub>2</sub> humidified incubator, 100 µl of supernatant from each well was removed and 20 µl of colorimetric reagent (Cell Titer 96AQ kit, Promega Corporation, Madison, WI) was added. Color was allowed to develop for 4 to 6 hrs and plates were read at 490 nm. The results of this experiment, depicted in Fig. 90, show that the human IgG1k antibody 10C5.6 is at least as effective as Leu3a at blocking the function of human PBMC CD4 cells in this assay.

#### Example 40.

##### Binding Characteristics of Human IgGkappa Anti-CD4 monoclonal Antibodies.

This example provides the binding characteristics of human IgGκ monoclonal antibodies derived from hybridoma clones obtained from HC2/KCo5/JHD/JCKD transgenic mice immunized with human CD4. The monoclonal antibodies are shown to have high avidity and affinity for recombinant and natural human CD4.





1G1.9	$9.1 (\pm 0.95) \times 10^4$	$2.2 (\pm 0.71) 10^{-5}$	$4.2 \times 10^9$
6G5.1	$1.1 (\pm 0.41) \times 10^5$	$1.0 (\pm 0.34) 10^{-5}$	$1.1 \times 10^{10}$
10C5.6	$7.4 (\pm 1.5) \times 10^4$	$1.6 (\pm 0.57) 10^{-5}$	$4.5 \times 10^9$
2E4.2	$1.4 (\pm 0.15) \times 10^5$	$2.2 (\pm 0.25) 10^{-5}$	$6.3 \times 10^9$
4D1.4	$9.8 (\pm 0.69) \times 10^4$	$4.2 (\pm 1.3) 10^{-5}$	$2.3 \times 10^9$
7G2.2	$1.7 (\pm 0.20) \times 10^5$	$5.0 (\pm 0.42) 10^{-5}$	$3.4 \times 10^9$
1F8.3	$1.7 (\pm 0.13) \times 10^5$	$9.7 (\pm 1.2) 10^{-5}$	$1.7 \times 10^9$
1G2.10	$1.7 (\pm 0.04) \times 10^5$	$6.3 (\pm 0.49) 10^{-5}$	$2.7 \times 10^9$
chi Leu3a	$4.0 (\pm 0.35) \times 10^5$	$1.2 (\pm 0.12) \times 10^{-5}$	$3.4 \times 10^{10}$
Leu3a	$1.5 (\pm 0.30) \times 10^5$	$4.2 (\pm 0.49) \times 10^{-6}$	$3.7 \times 10^{10}$

Table 20 provides equilibrium constants for anti-CD4 mABs presented in the scientific literature.

Table 20. Avidity and Rate Constants Reported for Anti-CD4 monoclonal, antibodies.

Human mAB	$K_{\text{assoc}} (\text{M}^{-1} \text{S}^{-1})$	$K_{\text{dissoc}} (\text{S}^{-1})$	$K_a (\text{M}^{-1})$
CE9.1 <sup>(4)</sup>	NR*	NR	$3.1 \times 10^{10}$
cMT412 <sup>(1)</sup>	NR	NR	$5.0 \times 10^9$
chi Leu3a <sup>(2)</sup>	NR	NR	$1.0 \times 10^{11}$
BL4 <sup>(3)</sup>	NR	NR	$5.5 \times 10^7$
BB14 <sup>(3)</sup>	NR	NR	$3.3 \times 10^8$
CA2 <sup>(5)</sup>	NR	NR	$1.8 \times 10^9$
CDP571 <sup>(6)</sup>	NR	NR	$7.1 \times 10^9$

\* NR = not reported

(1) J. Cell. Biol. 15E:A179.

(2) J. Immunol. 145:2839.

(3) Clin. Immunol. Immunopath. 64:248.

(4) Biotechnology. 10:1455.

(5) Mol. Immunol. 30:1443.

(6) European Patent Appl. #0626389A1.

The avidity and affinity determinations described above were performed with recombinant CD4 (rCD4). To determine the avidity of the human monoclonal antibodies for native CD4 (nCD4). An additional binding assay was used that does not require the antibody to be modified. Specifically, serial dilutions of antibody were incubated with SupT1 cells for 6 hr on ice, washed and detected any bound antibody with FITC-goat antihuman Fcγ. The Ka is determined from the concentration of antibody that gives one-half of the maximum fluorescence (a four parameter fit was used). The results demonstrate that all ten human monoclonal antibodies bind very well to nCD4, with Ka values  $> 10^9 \text{ M}^{-1}$  (Table 21). Most antibodies, including chimeric Leu3a, bound less well to nCD4 than to rCD4. This could be due to differences in antigen density as well as to differences between the two antigens.

Table 21. Avidity Constants Determined by Flow Cytometry.

Human mAB	Ka values (M-1) rCD4	nCD4*	Ration of Ka (rCD4/nCD4)
1E11.15	$6.2 \times 10^9$	$3.3 \times 10^9$	1.9
6C1.10	$5.1 \times 10^9$	$3.1 \times 10^9$	1.6
1G1.9	$4.2 \times 10^9$	$2.3 \times 10^9$	1.9
6G5.1	$1.1 \times 10^{10}$	$1.9 \times 10^9$	5.9
10C5.6	$4.5 \times 10^9$	$1.8 \times 10^9$	2.5
2E4.2	$6.3 \times 10^9$	$1.1 \times 10^9$	5.8
4D1.4	$2.3 \times 10^9$	$2.0 \times 10^9$	1.2
7G2.2	$3.4 \times 10^9$	$3.3 \times 10^9$	1.0
1F8.2	$1.7 \times 10^9$	$3.2 \times 10^9$	0.5
1G2.10	$2.7 \times 10^9$	$1.9 \times 10^9$	1.4
chi Leu3a	$3.4 \times 10^{10}$	$5.6 \times 10^9$	6.1

\* Human monoclonal antibodies were incubated in serial dilutions with SupT1 cells for 6 hrs, washed twice and incubated with FITC-conjugated goat anti-human Fc $\gamma$  antisera, washed and fixed. The Ka was calculated from the concentration of antibody yielding one-half of the maximum fluorescence as determined from a four-parameter fit.

### Example 41.

#### Identification of Nucleotide Sequences Encoding Human IgGkappa Anti-CD4 Antibodies.

This example demonstrates that a each of the hybridomas tested produces only one functional heavy or light chain RNA transcript, consistent with proper functioning allelic exclusion. In addition, sequence analysis of heavy and light chain CDR segments indicates that somatic mutation of the immunoglobulin transgenes has taken place.

Cells from five individual hybridoma cell lines (1E11, 1G2, 6G5, 10CS, and 4D1) that secrete human IgG kappa monoclonal antibodies reactive with human CD4, and derived from JHD/JCKD/HC2/KCoS transgenic mice, were used to isolate RNA encoding each of the individual antibodies (Fishwild et al. 1996, *Nature Biotechnology* 14, 845 -851). The RNA was used as a substrate to synthesize cDNA, which was then used to amplify human Ig gamma and kappa transcript sequences by PCR using primers specific for human VH, Vkappa, Cgamma, and Ckappa (Taylor et al. 1992, *Nucleic Acids Res.* 20, 6287-6295; Larrick, J.W., et al. (1989), *Bio/Technology.* 7. 934-938; Marks, J.D., et al. (1991). *Eur. J. Immunol.* 21. 985-991; Taylor, et al.; 1994, *Int. Immunol.* 6, 579-591). The amplified Ig heavy and kappa light chain sequences were cloned into bacterial plasmids and nucleotide sequences determined. Analysis of the sequences spanning the heavy chain VDJ and light chain VJ junctions revealed in-frame heavy and light chain transcripts for each of the 5 clones, and in some cases additional out-of-frame sterile transcripts representing non-functional alleles. Consistent with proper functioning allelic



1G2 kappa (SEQ ID NO:202)

5 GACAGATTTCACTCTCACCATCAGCAGCCTGCAGCCTGAAGATTTTGCAACTTATTA  
CTGTCAACAGTTTATTAGTTACCCTCAGCTCACTTTTCGGCGGAGGGACCAGGGTGGA  
GATCAAACGAACTGTGGCTGCACCATCTGTCTTCATCTTCCC

6G5 gamma (SEQ ID NO:203)

10 TGCACAAGAACATGAAACACCTGTGGTTCTTCCTCCTCCTGGTGGCAGCTCCCAGAT  
GGGTCCTGTCCCAGGTGCAGCTACAGCAGTGGGGCGCAGGACTGTTGAAGCCTTCGG  
AGACCCTGTCCCTCACCTGCGCTGTCTATGGTGGGTCCTTCAGTGGTTACTACTGGA  
GCTGGATCCGCCAGCCCCAGGTAAGGGGCTGGAGTGGATTGGGGAAATCAATCATA  
GTGGAAGCACCAACTACAACCCGTCCCTCAAGAGTCGAGTCACCATATCAGTCGACA  
CGTCCAAGAACCAGTTCTCCCTGAAACTGAGCTCTGTGACCGCCGCGGACACGGCTG  
15 TGTATTACTGTGCGAGAGTAATTAATTGGTTCGACCCCTGGGGCCAGGGAACCCTGG  
TCACC

6G5 kappa (SEQ ID NO:204)

GACAGATTTCACTCTCACCATCAGCAGCCTGCAGCCTGAAGATTTTGCAACTTACTA  
TTGTCAACAGGCTAATAGTTTCCCGTACACTTTTGGCCAGGGGACCAAGCTGGAGAT  
CAAACGAACTGTGGCTGCACCATCTGTCTTCATCTTCCC

20 10C5 gamma (SEQ ID NO:205)

ATGAAACACCTGTGGTTCTTCCTCCTCCTGGTGGCAGCTCCCAGATGGGTCCTGTCC  
CAGGTGCAGCTACAGCAGTGGGGCGCAGGACTGTTGAAGCCTTCGGAGACCCTGTCC  
CTCACCTGCGCTGTCTATGGTGGGTCCTTCAGTGGTTACTACTGGAGCTGGATCCGC  
CAGCCCCCAGGTAAGGGGCTGGAGTGGATTGGGGAAATCAATCATAGTGGAAGCACC  
25 AACTACAACCCGTCCCTCAAGAGTCGAGTCACCATATCAGTCGACACGTCCAAGAAC  
CAGTTCTCCCTGAAGCTGAGCTCTGTGACCGCCGCGGACACGGCTGTGTATTACTGT  
GCGAGAGTAATTAATTGGTTCGACCCCTGGGGCCAGGGAACCCTGGTCACCGTCTCC  
TCAG

10C5 kappa (SEQ ID NO:206)

30 ATGGACATGATGGTCCCCGCTCAGCTCCTGGGGCTCCTGCTGCTCTGGTTCCCAGGT  
TCCAGATGCGACATCCAGATGACCCAGTCTCCATCTTCCGTGTCTGCATCTGTAGGA  
GACAGAGTCACCATCACTTGTCTGGGCGAGTCAGGATATTAGCAGCTGGTTAGCCTGG  
TATCAGCATAAACCAGGGGAAAGCCCCTAAGCTCCTGATCTATGCTGCATCCAGTTTG  
CAAAGTGGGGTCCCATCAAGGTTTCAGCGGCAGTGGATCTGGGACAGATTTCACTCTC  
35 ACCATCAGCAGCCTGCAGCCTGAAGATTTTGCAACTTACTATTGTCAACAGGCTAAT  
AGTTTCCCGTACACTTTTGGCCAGGGGACCAAGCTGGAGATCAAAC

4D1 gamma (SEQ ID NO:207)

5 ATGGGGTCAACCGCCATCCTCGCCCTCCTCCTGGCTGTTCTCCAAGGAGTCTGTGCC  
GAGGTGCAGCTGGTGCAGTCTGGAGCAGAGGTGAAAAAGCCCGGGGAGTCTCTGAAG  
ATCTCCTGTAAGGGTTCTGGATACAGCTTTACCGGCTACTGGATCGGCTGGGTGCGC  
CAGATGCCCGGGAAAGGCCTGGAGTGGATGGGGATCATCTATCCTGGTGA CTCTGAT  
ACACATACAGCCCGTCCTTCCAAGGCCAGGTCACCATCTCAGCCGACAAGTCCATC  
AGCACCGCCTACCTGCAGTGGAGCAGCCTGAAGGCCTCGGACACCGCCATGTATTAC  
10 TGTGCGAGAGACCAACTGGGCCTCTTTGACTACTGGGGCCAGGGAACCCTGGTCACC  
GTCTCCTCAGCCTCCACCAAGGGCCCATCGGTCTTCCCCCTGGCACCCCTCCTCCAAG  
AAGCTT

4D1 kappa (SEQ ID NO:208)

15 ATGGACATGGAGTTCCCCGTTTCAGCTCCTGGGGCTCCTGCTGCTCTGTTTCCCAGGT  
GCCAGATGTGACATCCAGATGACCCAGTCTCCATCCTCACTGTCTGCATCTGTAGGA  
GACAGAGTCAACATCACTTGTCTGGGCGAGTCAGGGTATTAGCAGCTGGTTAGCCTGG  
TATCAGCAGAAACCAGAGAAAGCCCCTAAGTCCCTGATCTATTCTGCATCCAGTTTG  
CAAAGTGGGGTCCCATCAAGGTTTCAGCGGCAGTGGATCTGGGACAGATTTCACTCTC  
ACCATCAGCAGCCTGCAGCCTGAAGATTTTGCAACTTATTACTGCCAACAGTATGAT  
20 AGTTACCCGTACACTTTTGGCCAGGGGACCAAGCTGGAGATCAAACGAACTGTGGCT  
GCACCATCTGTCTTCATCTTCCCGCCATCTGATGAAGCTT

Analysis of these DNA sequences demonstrates that the 5 hybridoma clones represent descendants of 4 individual primary B cells. Table 23 shows the amino acid sequences derived for each of the ten CDR3 regions, and the assignments for germline gene segments incorporated into each of the genes encoding these transcripts. The germline assignments are based on published gene sequences available from the National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, Bethesda, Md. Also see: Cook et al. 1994, Nature Genet. 7, 162-168; Tomlinson et al. 1992, J. Mol. Biol. 227, 776-798; Matsuda et al. 1993, Nature Genet. 3, 88-94; Schable and Zachau, 1993, Biol. Chem. Hoppe-Seyler 374, 1001-1422; Cox et al. 1994, Eur. J. Immunol. 24, 827-836; Ravetch et al. 1981, Cell 27, 583-591; Ichihara et al. 1988, EMBO J. 7, 4141-4150; Xamada et al. 1991, J. Exp. Med. 173; 395-407; Sanz, 1991.. J. Immunol. 147, 1720-1729.

**Table 23. Germline V(D)J Segment Usage in Hybridoma Transcripts.**

clone	h.c. CDR3	VH	DH	JH	l.c. CDR	Vk	Jk
1E11	DITMVRGVPH (Seq. I.D. No.209)	VH4-34	DXP'1	JH4	QQYGSSPLT (Seq. I.D. No.210)	VkA27/A11	Jk4

1G2	PANWNWYFVL (Seq. I.D. No.211)	VH5-51	DHQ52	JH2	QQFISYPQLT (Seq. I.D. No.212)	VkL18	Jk4
6G5	VINWFDP (Seq. I.D. No.213)	VH4-34	n.d.	JH5	QQANSFPYT (Seq. I.D. No.214)	VkL19	Jk2
10C5	VINWFDP (Seq. I.D. No. 213)	VH4-34	n.d.	JH5	QQANSFPYT (Seq. I.D. No. 214)	VkL19	Jk2
4D1	DQLGLFDY (Seq. I.D. No.215)	VH5-51	DHQ52	JH4	QQYDSYPYT (Seq. I.D. No.216)	VkL15	Jk2

n.d. could not be determined from nucleotide sequence.

#### Example 42.

#### Construction of Minigenes for Expression of Human IgGkappa AntiCD4 Antibodies in Transfected Cell lines.

5 This example demonstrates the process of making a wholly artificial gene that encodes an immunoglobulin polypeptide (i.e., an immunoglobulin heavy chain or light chain). Plasmids were constructed so that PCR amplified V heavy and V light chain cDNA sequences could be used to reconstruct complete heavy and light chain minigenes.

10 The kappa light chain plasmid, pCK7-96, includes the kappa constant region and polyadenylation site (SEQ ID NO:217), such that kappa sequences amplified with 5' primers that include HindIII sites upstream of the initiator methionine can be digested with HindIII and BbsI, and cloned into pCK7-96 digested with HindIII and BbsI to reconstruct a complete light chain coding sequence together with a polyadenylation site. This cassette can be isolated as a HindIII/NotI fragment and ligated to transcription promoter sequences to create a functional  
15 minigene for transfection into cells.

The gamma1 heavy chain plasmid, pCG7-96, includes the human gamma1 constant region and polyadenylation site (SEQ ID NO:218), such that gamma sequences amplified with 5' primers that include HindIII sites upstream of the initiator methionine can be digested with HindIII and AgeI, and cloned into pCG7-96 digested with HindIII and AgeI to reconstruct a complete gamma1  
20 heavy chain coding sequence together with a polyadenylation site. This cassette can be isolated as a HindIII/SalI fragment and ligated to transcription promoter sequences to create a functional minigene for transfection into cells.

The following example demonstrates how nucleotide sequence data from hybridomas can be used to reconstruct functional Ig heavy and light chain minigenes. The nucleotide sequences of  
25 heavy and light chain transcripts from hybridomas 6G5 and 10C5 were used to design an

overlapping set of synthetic oligonucleotides to create synthetic V sequences with identical amino acid coding capacities as the natural sequences. The synthetic heavy and kappa light chain sequences (designated HC6G5 (SEQ ID NO:219) and LC6G5 (SEQ ID NO:220) differed from the natural sequences in three ways: strings of repeated nucleotide bases were interrupted to facilitate oligonucleotide synthesis and PCR amplification; optimal translation initiation sites were incorporated according to Kozak's rules (Kozak, 1991, J. Biol. Chem. 266, 19867-19870); and, HindIII sites were engineered upstream of the translation initiation sites.

**A. Synthetic kappa light chain.**

**Light Chain PCR reaction 1.**

The following oligonucleotides were pooled: o-548 (SEQ ID NO:221), o-549 (SEQ ID NO:222), o-550 (SEQ ID NO:223), o-551 (SEQ ID NO:224), o-552 (SEQ ID NO:225), o-563 (SEQ ID NO:226), o-564 (SEQ ID NO:227), o-565 (SEQ ID NO:228), o-566 (SEQ ID NO:229), o-567 (SEQ ID NO:230), and amplified with the following 2 primers: o-527 (SEQ ID NO:231) and o-562 (SEQ ID NO:232).

### Light chain PCR reaction 2.

The following oligonucleotides were pooled: o-553 (SEQ ID NO:233), o-554 (SEQ ID NO:234), o-555 (SEQ ID NO:235), o-556 (SEQ ID NO:236), o-557 (SEQ ID NO:237), o-558 (SEQ ID NO:238), o-559 (SEQ ID NO:239), o-560 (SEQ ID NO:240), o-561 (SEQ ID NO:241), o-562 (SEQ ID NO:242), and amplified with the following 2 primers: o-552 (SEQ ID NO:225) and o-493 (SEQ ID NO:242).

### Light chain PCR reaction 3.

The products of light chain PCR reactions 1 and 2 were then combined and amplified with the following two primers: o-493 (SEQ ID NO:242) and o-527 (SEQ ID NO:231)

The product of light chain PCR reaction 3 was then digested with HindIII and BbsI and cloned into HindIII/BbsI digested pCK7-96 (SEQ ID NO:217) to generate pLC6G5 (SEQ ID NO:243).

### B. Synthetic gamma heavy chain

The following oligonucleotides were pooled: o-528 (SEQ ID NO:244), o-529 (SEQ ID NO:245), o-530 (SEQ ID NO:246), o-531 (SEQ ID NO:247), o-532 (SEQ ID NO:248), o-543



(SEQ ID NO:249), o-544 (SEQ ID NO:250), o-545 (SEQ ID NO:251), o-546 (SEQ ID NO:252), o-547 (SEQ ID NO:253), and amplified with the following 2 primers: o-496 (SEQ ID NO:254) and o-542 (SEQ ID NO:255).

#### Heavy chain PCR reaction 2.

5 The following oligonucleotides were pooled: o-533 (SEQ ID NO:256), o-534 (SEQ ID NO:257), o-535 (SEQ ID NO:258), o-536 (SEQ ID NO:259), o-537 (SEQ ID NO:260), o-538 (SEQ ID NO:261), o-539 (SEQ ID NO:262), o-540 (SEQ ID NO:263), o-541 (SEQ ID NO:264), o-542 (SEQ ID NO:255), together with the isolated 439 by BbsI fragment of pCG7-96 (SEQ ID NO:218) and amplified with the following 2 primers: o-490 (SEQ ID NO:265) and o-520 (SEQ ID NO:266)

#### Heavy chain PCR reaction 3.

The products of heavy chain reactions 1 and 2 were then combined and amplified with the following two primers: o-520 (SEQ ID NO:266) and o-521 (SEQ ID NO:267).

15 The product of heavy chain reaction 3 was then digested with HindIII and AgeI and cloned into HindIII/AgeI digested pCG7-96 (SEQ ID NO:218) to generate pHc6G5 (SEQ ID NO:268).

Table 24. Primers, Vectors and Products  
Used in Minigene Construction

pCK7-96 (SEQ ID NO:217)

20 TCTTCCGCTTCCTCGCTCACTGACTCGCTGCGCTCGGTCGTTTCGGCTGCGGCGAGCG  
GTATCAGCTCACTCAAAGGCGGTAATACGGTTATCCACAGAATCAGGGGATAACGCA  
GGAAAGAACATGTGAGCAAAAGGCCAGCAAAAGGCCAGGAACCGTAAAAAGGCCGCG  
TTGCTGGCGTTTTTCCATAGGCTCCGCCCCCTGACGAGCATCACAAAAATCGACGC  
TCAAGTCAGAGGTGGCGAAACCCGACAGGACTATAAAGATACCAGGCGTTTCCCCCT  
GGAAGCTCCCTCGTGCGCTCTCCTGTTCCGACCCTGCCGCTTACCGGATACCTGTCC  
25 GCCTTTCTCCCTTCGGGAAGCGTGGCGCTTTCTCATAGCTCACGCTGTAGGTATCTC

AGTTCGGTGTAGGTCGTTTCGCTCCAAGCTGGGCTGTGTGCACGAACCCCCCGTTTCAG  
CCCGACCGCTGCGCCTTATCCGGTAACTATCGTCTTGAGTCCAACCCGGTAAGACAC  
GACTTATCGCCACTGGCAGCAGCCACTGGTAACAGGATTAGCAGAGCGAGGTATGTA  
GGCGGTGCTACAGAGTTCTTGAAGTGGTGGCCTAACTACGGCTACACTAGAAGGACA  
30 GTATTTGGTATCTGCGCTCTGCTGAAGCCAGTTACCTTCGGAAAAAGAGTTGGTAGC



TAGAACTTTATTAAGGAATAGGGGGAAGCTAGGAAGAAACTCAAAACATCAAGATT  
 TAAATACGCTTCTTGGTCTCCTTGCTATAATTATCTGGGATAAGCATGCTGTTTTCT  
 GTCTGTCCCTAACATGCCCTGTGATTATCCGCAAACAACACACCCAAGGGCAGAACT  
 TTGTTACTTAAACACCATCCTGTTTGCTTCTTTCCTCAGGAACTGTGGCTGCACCAT  
 5 CTGTCTTCATCTTCCCGCCATCTGATGAGCAGTTGAAATCTGGAAGTGCCTCTGTTG  
 TGTGCCTGCTGAATAACTTCTATCCCAGAGAGGCCAAAGTACAGTGGAAGGTGGATA  
 ACGCCCTCCAATCGGGTAACTCCCAGGAGAGTGTACAGAGCAGGACAGCAAGGACA  
 GCACCTACAGCCTCAGCAGCACCTTGACGCTGAGCAAAGCAGACTACGAGAAACACA  
 AAGTCTACGCCTGCGAAGTCACCCATCAGGGCCTGAGCTCGCCCGTCACAAAGAGCT  
 10 TCAACAGGGGAGAGTGTTAGAGGGAGAAGTGCCCCCACCTGCTCCTCAGTTCCAGCC  
 TGACCCCCCTCCATCCTTTGGCCTCTGACCCTTTTTCCACAGGGGACCTACCCCTAT  
 TGCGGTCTCCAGCTCATCTTTCACCTCACCCCCCTCCTCCTCCTGGCTTTAATTA  
 TGCTAATGTTGGAGGAGAATGAATAAATAAAGTGAATCTTTGCACCTGTGGTTTCTC  
 TCTTTCCTCAATTTAATAATTATTATCTGTTGTTTACCAACTACTCAATTTCTCTTA  
 15 TAAGGGACTAAATATGTAGTCATCCTAAGGCGCATAACCATTTATAAAAATCATCCT  
 TCATTCTATTTTACCCTATCATCCTCTGCAAGACAGTCCTCCCTCAAACCCACAAGC  
 CTTCTGTCCTCACAGTCCCCTGGGCCATGGATCCTCACATCCCAATCCGCGGGCCGCA  
 ATTCGTAATCATGGTCATAGCTGTTTCCTGTGTGAAATTGTTATCCGCTCACAATTC  
 CACACAACATACGAGCCGGAAGCATAAAGTGTAAGCCTGGGGTGCCTAATGAGTGA  
 20 GCTAACTCACATTAATTGCGTTGCGCTCACTGCCCGCTTTCAGTCGGGAAACCTGT  
 CGTGCCAGCTGCATTAATGAATCGGCCAACGCGCGGGGAGAGGCGGTTTGCGTATTG  
 GGCGC

pCG7-96 (SEQ ID

NO:218)GAACTCGAGCAGCTGAAGCTTTCTGGGGCAGGCCAGGCCTGACCTTGGCTTTGGG  
 25 GC AGGGAGGGGGCTAAGGTGAGGCAGGTGGCGCCAGCCAGGTGCACACCCAATGCCCAT  
 GAGCCCAGACACTGGACGCTGAACCTCGCGGACAGTTAAGAACCCAGGGGCTCTGC  
 GCCCTGGGCCCAGCTCTGTCCCACACCGCGGTACATGGCACCACTCTCTTGCAGC  
 CTCCACCAAGGGCCCATCGGTCTTCCCCCTGGCACCTCCTCCAAGAGCACCTCTGG  
 GGGCACAGCGGCCCTGGGCTGCCTGGTCAAGGACTACTTCCCCGAACCGGTGACGGT  
 30 GTCGTGGAACCTCAGGCGCCCTGACCAGCGGCGTGACACCTTCCCGGTGTCTTACA  
 GTCCTCAGGACTCTACTGCCTCAGCAGCGTGGTGACCGTGCCCTCCAGCAGCTT  
 GGGCACCCAGACCTACATCTGCAACGTGAATCACAAGCCCAGCAACACCAAGGTGGA  
 CAAGAAAGTTGGTGAGAGGCCAGCACAGGGAGGGAGGGTGTCTGCTGGAAGCCAGGC  
 TCAGCGCTCCTGCCTGGACGCATCCCGGCTATGCAGCCCCAGTCCAGGGCAGCAAGG  
 35 CAGGCCCCGTCTGCCTCTTACCCGGAGGCCTCTGCCCGCCCCACTCATGCTCAGGG

AGAGGGTCTTCTGGCTTTTTCCCCAGGCTCTGGGCAGGCACAGGCTAGGTGCCCCTA  
 ACCCAGGCCCTGCACACAAAGGGGCAGGTGCTGGGCTCAGACCTGCCAAGAGCCATA  
 TCCGGGAGGACCCTGCCCCTGACCTAAGCCCACCCCAAAGGCCAAACTCTCCACTCC  
 CTCAGCTCGGACACCTTCTCTCCTCCCAGATTCCAGTAACTCCCAATCTTCTCTCTG  
 5 CAGAGCCCAAATCTTGTGACAAAACCTCACACATGCCCACCGTGCCCAAGGTAAGCCAG  
 CCCAGGCCTCGCCCTCCAGCTCAAGGCGGGACAGGTGCCCTAGAGTAGCCTGCATCC  
 AGGGACAGGCCCCAGCCGGGTGCTGACACGTCCACCTCCATCTCTTCCCTCAGCACCT  
 GAACTCCTGGGGGGACCGTCAGTCTTCTCTTCCCCCAAACCCAAGGACACCCTC  
 ATGATCTCCCGGACCCCTGAGGTACATGCGTGGTGGTGGACGTGAGCCACGAAGAC  
 10 CCTGAGGTCAAGTTCAACTGGTACGTGGACGGCGTGGAGGTGCATAATGCCAAGACA  
 AAGCCGCGGGAGGAGCAGTACAACAGCACGTACCGTGTGGTCAGCGTCCTCACCGTC  
 CTGCACCAGGACTGGCTGAATGGCAAGGAGTACAAGTGCAAGGTCTCCAACAAAGCC  
 CTCCCAGCCCCCATCGAGAAAACCATCTCCAAAGCCAAAGGTGGGACCCGTGGGGTG  
 CGAGGGCCACATGGACAGAGGCCGGCTCGGCCACCCCTCTGCCCTGAGAGTGACCGC  
 15 TGTACCAACCTCTGTCCCTACAGGGCAGCCCCGAGAACCACAGGTGTACACCCTGCC  
 CCCATCCCGGGATGAGCTGACCAAGAACCAGGTCAGCCTGACCTGCCTGGTGAAAGG  
 CTTCTATCCCAGCGACATCGCCGTGGAGTGGGAGAGCAATGGGCAGCCGGAGAACAA  
 CTACAAGACCACGCCTCCCGTGCTGGACTCCGACGGCTCCTTCTTCCCTCTACAGCAA  
 GCTCACCGTGGACAAGAGCAGGTGGCAGCAGGGGAACGTCTTCTCATGCTCCCTGAT  
 20 GCATGAGGCTCTGCACAACCACTACACGCAGAAGAGCCTCTCCCTGTCTCCGGGTAA  
 ATGAGTGCGACGGCCGGCAAGCCCCCGCTCCCCGGGCTCTCGCGGTTCGCACGAGGAT  
 GCTTGGCACGTACCCCTGTACATACTTCCCGGGCGCCCAGCATGGAAATAAAGCAC  
 CCAGCGCTGCCCTGGGCCCCTGCGAGACTGTGATGGTTCTTTCCACGGGTCAGGCCG  
 AGTCTGAGGCCTGAGTGGCATGAGGGAGGCAGAGCGGGTCCCACTGTCCCCACACTG  
 25 GCCCAGGCTGTGCAGGTGTGCCTGGGCCCCCTAGGGTGGGGCTCAGCCAGGGGGCTGC  
 CCTCGGCAGGGTGGGGGATTTGCCAGCGTGGCCCTCCCTCCAGCAGCACCTGCCCTG  
 GGCTGGGCCACGGGAAGCCCTAGGAGCCCCTGGGGACAGACACAGCCCCTGCCTC  
 TGTAGGAGACTGTCCTGTTCTGTGAGCGCCCCTGTCCTCCCGACCTCCATGCCCACT  
 CGGGGGCATGCCTGCAGGTCGACTCTAGAGGATCCCCGGGTACCGAGCTCGAATTCA  
 30 TCGATGATATGAGATCTGCCGGTCTCCCTATAGTGAGTCGTATTAATTTTCGATAAGC  
 CAGGTAAACCTGCATTAATGAATCGGCCAACGCGCGGGGAGAGGCGGTTTGCGTATT  
 GGGCGCTCTTCCGCTTCCCTCGCTCACTGACTCGCTGCGCTCGGTTCGCTCGGCTGCGG  
 CGAGCGGTATCAGCTCACTCAAAGGCGGTAATACGGTTATCCACAGAATCAGGGGAT  
 AACGCAGGAAAGAACATGTGAGCAAAAGGCCAGCAAAAGGCCAGGAACCGTAAAAAG  
 35 GCCGCGTTGCTGGCGTTTTTCCATAGGCTCCGCCCCCCTGACGAGCATCACAAAAAT

CGACGCTCAAGTCAGAGGTGGCGAAACCCGACAGGACTATAAAGATACCAGGCGTTT  
CCCCCTGGAAGCTCCCTCGTGCGCTCTCCTGTTCCGACCCTGCCGCTTACCGGATAC  
CTGTCCGCCTTTCTCCCTTCGGGAAGCGTGGCGCTTTCTCAATGCTCACGCTGTAGG

TATCTCAGTTCGGTGTAGGTCGTTTCGCTCCAAGCTGGGCTGTGTGCACGAACCCCCC  
5 GTTCAGCCCGACCGCTGCGCCTTATCCGGTAACTATCGTCTTGAGTCCAACCCGGTA  
AGACACGACTTATCGCCACTGGCAGCAGCCACTGGTAACAGGATTAGCAGAGCGAGG  
TATGTAGGCGGTGCTACAGAGTTCTTGAAGTGGTGGCCTAACTACGGCTACACTAGA  
AGGACAGTATTTGGTATCTGCGCTCTGCTGAAGCCAGTTACCTTCGGAAAAAGAGTT  
GGTAGCTCTTGATCCGGCAAACAAACCACCGCTGGTAGCGGTGGTTTTTTTTGTTTGC  
10 AAGCAGCAGATTACGCGCAGAAAAAAAGGATCTCAAGAAGATCCTTTGATCTTTTCT  
ACGGGGTCTGACGCTCAGTGAACGAAAACCTCACGTTAAGGGATTTTGGTCATGAGA  
TTATCAAAAAGGATCTTCACCTAGATCCTTTTAAATTAATAAATGAAGTTTTAAATCA  
ATCTAAAGTATATATGAGTAAACTTGGTCTGACAGTTACCAATGCTTAATCAGTGAG  
GCACCTATCTCAGCGATCTGTCTATTTTCGTTTCATCCATAGTTGCCTGACTCCCCGTC  
15 GTGTAGATAACTACGATACGGGAGGGCTTACCATCTGGCCCCAGTGCTGCAATGATA  
CCGCGAGACCCACGCTCACC GGCTCCAGATTTATCAGCAATAAACCAGCCAGCCGGA  
AGGGCCGAGCGCAGAAGTGGTCCTGCAACTTTATCCGCCTCCATCCAGTCTATTAAT  
TGTTGCCGGGAAGCTAGAGTAAGTAGTTCGCCAGTTAATAGTTTGCGCAACGTTGTT  
GCCATTGCTACAGGCATCGTGGTGTACGCTCGTCGTTTGGTATGGCTTCATTCAGC  
20 TCCGGTTCCCAACGATCAAGGCGAGTTACATGATCCCCCATGTTGTGCAAAAAAGCG  
GTTAGCTCCTTCGGTCCTCCGATCGTTGTCAGAAGTAAGTTGGCCGCAGTGTTATCA  
CTCATGGTTATGGCAGCACTGCATAATTCTCTTACTGTCATGCCATCCGTAAGATGC  
TTTTCTGTGACTGGTGAGTACTCAACCAAGTCATTCTGAGAATAGTGTATGCGGCGA  
CCGAGTTGCTCTTGCCCGGCGTCAATACGGGATAATACCGCGCCACATAGCAGAACT  
25 TTAAAAGTGCTCATCATTGGAAAACGTTCTTCGGGGCGAAAACCTCTCAAGGATCTTA  
CCGCTGTTGAGATCCAGTTCGATGTAACCCACTCGTGCACCCAACCTGATCTTCAGCA  
TCTTTTACTTTACACGCGTTTCTGGGTGAGCAAAAACAGGAAGGCAAAATGCCGCA  
AAAAAGGGAATAAGGGCGACACGGAAATGTTGAATACTCATACTCTTCCTTTTTCAA  
TATTATTGAAGCATTTATCAGGGTTATTGTCTCATGAGCGGATACATATTTGAATGT  
30 ATTTAGAAAAATAAACAAATAGGGGTTCCGCGCACATTTCCCCGAAAAGTGCCACCT  
GACGTCTAAGAAACCATTATTATCATGACATTAACCTATAAAAATAGGCGTATCACG  
AGGCCCTTTCGTCTCGCGCGTTTTCGGTGATGACGGTGAAAACCTCTGACACATGCAG  
CTCCCGGAGACGGTCACAGCTTGTCTGTAAGCGGATGCCGGGAGCAGACAAGCCCGT  
CAGGGCGCGTCAGCGGGTGTGGCGGGTGTGCGGGCTGGCTTAACCTATGCGGCATCA

GAGCAGATTGTACTGAGAGTGCACCATATGGACATATTGTCGTTAGAACGCGGCTAC  
AATTAATACATAACCTTATGTATCATACACATACGATTTAGGTGACACTATA

O-548 (SEQ ID NO:221)

ATGGTCCCAGCTCAGCTCCTCGGTCTCCTGCTGCTCTGGTTCCC

5 O-549 (SEQ ID NO:222)

AGGTTCCAGATGCGACATCCAGATGACCCAGTCTCCATCTTCCG

O-550 (SEQ ID NO:223)

TGTCTGCATCTGTAGGAGACAGAGTCACCATCACTTGTCGGGCG

O-551 (SEQ ID NO:224)

10 AGTCAGGATATTAGCAGCTGGTTAGCCTGGTATCAGCATAAACC

O-552 (SEQ ID NO:225)

AGGTAAAGCACCTAAGCTCCTGATCTATGCTGCATCCAGTTTGC

O-563 (SEQ ID NO:226)

AGGAGCTTAGGTGCTTTACCTGGTTTATGCTGATACCAGGCTAA

15 O-564 (SEQ ID NO:227)

CCAGCTGCTAATATCCTGACTCGCCCGACAAGTGATGGTGACTC

O-565 (SEQ ID NO:228)

TGTCTCCTACAGATGCAGACACGGAAGATGGAGACTGGGTCATC

O-566 (SEQ ID NO:229)

20 TGGATGTCGCATCTGGAACGTGGGAACCAGAGCAGCAGGAGACC

O-567 (SEQ ID NO:230)

GAGGAGCTGAGCTGGGACCATCATGGTGGCAAGCTTAGAGTC

O-527 (SEQ ID NO:231)

GACTCTAAGCTTGCCACCATGATGGTCC

25 O-562 (SEQ ID NO:232)

ACCTTGATGGGACACCACTTTGCAAACCTGGATGCAGCATAGATC

O-553 (SEQ ID NO:233)

AAAGTGGTGTCCCATCAAGGTTTCAGCGGAAGTGGATCTGGGACA

O-554 (SEQ ID NO:234)

GATTTCACTCTCACCATCAGCAGCCTGCAGCCTGAAGATTTTGC

O-555 (SEQ ID NO:235)

5 AACTTACTATTGTCAACAGGCTAATAGTTTCCCGTACACTTTTG

O-556 (SEQ ID NO:236)

GTCAGGGAACCAAGCTGGAGATCAAACGAACTGTGGCTGCACCA

O-557 (SEQ ID NO:237)

TCTGTCTTCATCTTCCCGCCATCTGATGAGCAGTTGA

10 O-558 (SEQ ID NO:238)

GGGAAGATGAAGACAGATGGTGCAGCCACAGTTCGTTTGA

O-559 (SEQ ID NO:239)

TCTCCAGCTTGGTTCCTTGACCAAAAGTGTACGGGAAACTATTA

O-560 (SEQ ID NO:240)

15 GCCTGTTGACAATAGTAAGTTGCAAAATCTTCAGGCTGCAGGCT

O-561 (SEQ ID NO:241)

GCTGATGGTGAGAGTGAAATCTGTCCCAGATCCACTTCCGCTGA

O-493 (SEQ ID NO:242)

TCAACTGCTCATCAGATGGC

20 pLC6G5 (SEQ ID NO:243)

TCTTCCGCTTCCTCGCTCACTGACTCGCTGCGCTCGGTTCGTTTCGGCTGCGGCGAGCG  
GTATCAGCTCACTCAAAGGCGGTAATACGGTTATCCACAGAATCAGGGGATAACGCA  
GGAAAGAACATGTGAGCAAAAGGCCAGCAAAAGGCCAGGAACCGTAAAAAGGCCGCG  
TTGCTGGCGTTTTTCCATAGGCTCCGCCCCCTGACGAGCATCACAAAAATCGACGC  
25 TCAAGTCAGAGGTGGCGAAACCCGACAGGACTATAAAGATAACCAGGCGTTTCCCCCT  
GGAAGCTCCCTCGTGCGCTCTCCTGTTCCGACCCTGCCGCTTACCGGATACCTGTCC  
GCCTTTCTCCCTTCGGGAAGCGTGGCGCTTTCTCATAGCTCACGCTGTAGGTATCTC  
AGTTCGGTGTAGGTCGTTTCGCTCCAAGCTGGGCTGTGTGCACGAACCCCCCGTTTCAG  
CCCGACCGCTGCGCCTTATCCGGTAACTATCGTCTTGAGTCCAACCCGGTAAGACAC

GACTTATCGCCACTGGCAGCAGCCACTGGTAACAGGATTAGCAGAGCGAGGTATGTA  
 GGCGGTGCTACAGAGTTCTTGAAGTGGTGGCCTAACTACGGCTACACTAGAAGGACA  
 GTATTTGGTATCTGCGCTCTGCTGAAGCCAGTTACCTTCGGAAAAAGAGTTGGTAGC  
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 10 ATA ACTACGATACGGGAGGGCTTACCATCTGGCCCCAGTGCTGCAATGATACCGCGA  
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O-529 (SEO ID NO:245)

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30

O-530 (SEO ID NO:246)

GGAGACCCTGTCCCTCACCTGCGCTGTCTATGGTGGTTCCTTC

O-531 (SEO ID NO:247)

35 AGTGGTTACTACTGGAGCTGGATCCGCCAGCCACCAGGTAAGG

O-532 (SEQ ID NO:248)

GTCTGGAGTGGATTGGTGAAATCAATCATAGTGGAAGCACCAA

5 O-543 (SEQ ID NO:249)

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O-544 (SEQ ID NO:250)

CAGCTCCAGTAGTAACCACTGAAGGAACCACCATAGACAGCGC

10 O-545 (SEQ ID NO:251)

AGGTGAGGGACAGGGTCTCCGAAGGCTTCAACAGTCCTGCGCC

O-546 (SEQ ID NO:252)

CCACTGCTGTAGCTGCACCTGAGACAGGACCCATCTAGGAGCT

O-547 (SEQ ID NO:253)

15 GCCACCAGGAGGAGGAAGAACCACAGGTGTTTCATGGTGGCAAGCTTG

O-496 (SEQ ID NO:254)

CATGAAACACCTGTGGTTCTTCC

O-542 (SEQ ID NO:255)

20 TCTTGAGAGACGGGTTGTAGTTGGTGCTTCCACTATGATTGAT

O-533 (SEQ ID NO:256)

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O-534 (SEQ ID NO:257)

25 ACGTCCAAGAACCAGTTCTCTCTGAAACTGAGCTCTGTGACCG

O-535 (SEQ ID NO:258)

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O-536 (SEQ ID NO:259)

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O-537 (SEQ ID NO:260)

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O-539 (SEQ ID NO:262)

5 CCTGGCCCCAAGGGTCGAACCAATTAATTACTCTCGCACAGTA

O-540 (SEQ ID NO:263)

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10 O-541 (SEQ ID NO:264)

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O-538 (SEQ ID NO:261)

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pHC6G5 (SEQ ID NO:268)

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 15 ACGGCGTGGAGGTGCATAATGCCAAGACAAAGCCGCGGGAGGAGCAGTACAACAGCA  
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HC6G5 (SEQ ID NO:219)

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LC6G5 (SEQ ID NO:220)

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25 GCTAATAGTTTCCCGTACACTTTTGGTCAGGGAACCAAGCTGGAGATCAAACGAACT  
GTGGCTGCACCATCTGTCTTC

30

### Example 43.

#### Binding of Human Anti-CD4 Monoclonal Antibodies to Non-Human Primate Lymphocytes.

It is desirable to be able perform preclinical toxicology and pharmacokinetic studies of human anti-CD4 monoclonal antibodies in animal models. It is further desirable for some

purposes that the animal be a non-human primate that expresses CD4 comprising a cross-reactive epitope with human CD4 such that it is recognized by the monoclonal antibody. Three different non-human primate species, chimpanzee, rhesus, and cynomolgus monkeys, were tested for cross-reactive CD4 epitopes with the 5 different human anti-CD4 monoclonal antibodies from hybridomas 1E11, 1G2, 6G5, 10CS, and 4D1. Peripheral blood lymphocytes were isolated from whole blood of chimpanzee, rhesus, and cynomolgus monkeys. The isolated cells were double stained with human antibody from each of these 5 hybridomas (detected with FITC-anti-human IgG) and PE-anti-CD8 or PE-anti-CD4. The stained cells were then analyzed by flow cytometry to determine if each of the human monoclonal antibodies bound to endogenous CD4 on the surface of lymphocytes from each of these three non-human primates. Four of the five antibodies, 1E11, 6G5, 10C5, and 4D1, were found to bind to chimpanzee CD4 cells. Additionally, four of the five antibodies, 6G5, 1G2, 10C5, and 4D1, were found to bind to both rhesus and cynomolgus CD4 cells. Thus, three of five antibodies, GGS, 10C5, and 4D1, bind to CD4 cells in each of the three non-human primate species tested.

#### Example 44

##### Lack of Correlation between Modulation and Non-Depletion

There are no known *in vitro* assays that can reliably predict whether a monoclonal antibody (mAb) will be nondepleting or immunosuppressive in patients. However, a correlation has been observed between the ability of three different mAbs to deplete (or not deplete) in humans and nonhuman primates such as chimpanzees and cynomolgus monkeys (See, e.g., M. Jonker et al., *Clin. Exp. Immunol.*, 93:301307 (1993); and J.A. Powelson et al., *Transplantation*, -57:788-793 (1994)). Therefore a study was performed using human mAbs in nonhuman primates.

Chimpanzees were used in this study, because one of the anti-CD4 mAbs, 1E11, recognizes CD-4 only in chimpanzees and not in Rhesus or cynomolgus monkeys. A second mAb, 6G5, recognizes CD4 in chimpanzees, Rhesus and cynomolgus monkeys. A third mAb, 1G2, does not recognize CD4 in chimpanzees, but does in Rhesus and cynomolgus monkeys. That mAb has already been shown to be nondepleting *in vivo* in cynomolgus monkeys.

In addition to examining the effect of human mAbs on CD4+ T cell numbers in peripheral blood, the effect of the mAb administration on *in vivo* T cell function was also evaluated. The most accepted manner to do this is to use animals that have been presensitized to an antigen such as tuberculin or tetanus toxoid and who will mount a hypersensitivity reaction in the skin.

Three male chimpanzees were enrolled in this study. Baseline whole blood samples were obtained on days -7, -3 and 1. After the blood draw on day 1, one chimpanzee each was intravenously infused with one of the two human mAbs (1E11 or 6G5) at 2 mg/kg. The third chimpanzee received an equal volume/kg of buffer only. Blood was drawn at 30 mins, 2 hrs, 8 hrs, 24 hrs and 48 hrs post-infusion. On day 2, a skin reactivity test was performed.

Results shown in Table 25 below clearly demonstrate that 1E11 caused transient depletion of peripheral lymphocytes, with most CD4+ T cells being depleted. Even though 6G5 did not cause lymphocyte or CD4+ T cell depletion, both mAbs were able to inhibit a hypersensitivity response to tetanus toxoid, compared to the control chimpanzee. Thus, both human mAbs appear to be immunosuppressive *in vivo*, and this immunosuppression does not necessarily require T cell depletion.

Table 25. Effect of Human mAbs on Peripheral Chimpanzee Lymphocytes

Peripheral Lymphocytes (million/ml)

Study Day	1E11	6G5	Control
-7	4.2	6.4	4.2
-4	4.0	9.9	4.4
1, pre-infusion	4.8	5.7	5.8
1, 30 min post	1.6	6.0	4.0
1, 2 hr post	1.0	6.7	5.2
1, 6 hr post	1.5	8.0	4.2
2	3.5	9.6	5.7
3	3.9	9.7	5.9

Whole blood samples from the chimpanzees were collected on study days 5, 8, 15 and 29. PBMC from all blood samples were isolated and examined by flow cytometry to determine the percent of CD4 T, CD45RA/CD4 T (naive), CD45R0/CD4 T (memory), CD8 T and CD19 B cells present in PBMC; the density of CD4 molecules per cell; and whether the human mAbs had bound to those cells. The cells were prepared for flow cytometry as described (Fishwild et al ., 1996, *Nature Biotechnology* .4:845-851). Complete blood counts were performed on a sample of whole blood to quantitate lymphocytes. The total number of cells for any given subset was then derived by multiplying the percent of such cells by the total number of lymphocytes.

The CD4<sup>+</sup> cells were determined from positive staining of PBMC with PE-OKT4 (Fisher) and from negative staining with FITC-CD8 (Ca1Tag). The % CD3<sup>+</sup>,CD8<sup>-</sup>,CD45RA<sup>+</sup> (naive



CD4<sup>+</sup> T) cells and the % CD3<sup>+</sup>, CD8<sup>+</sup>, CD45R0<sup>+</sup> (memory CD4<sup>+</sup> T) cells were determined from PBMC stained with Tricolor-CD8 (CalTag), FITC-Leu4a (Becton-Dickinson) and PE-CD45RA (CalTag) or with Tricolor-CD8, FITC-Leu4a and PE-CD45R0 (CalTag), respectively. The total number of CD4<sup>+</sup> cells (top left) and the ratio of naive to memory CD4 cells (top right) were then  
5 calculated. The amount of CD4 (bottom left) was determined from the mean channel fluorescence of PE-OKT4<sup>+</sup> lymphocytes. The amount of human mAb bound to CD4<sup>+</sup> (PE-BF5<sup>+</sup>, SeroTec) cells (bottom right) was determined from the mean channel fluorescence (MCF) of FITC-goat anti-human Fcγ<sup>+</sup> cells. Results obtained for gated lymphocytes only are shown here.

As shown in Figure 91, only 1E11 and not 6G5 depleted CD4<sup>+</sup> T cells in circulation, even  
10 though both bound equally well to CD4<sup>+</sup> T cells. (Depletion refers to loss or decrease in numbers of specific immune cells from circulation, from lymphoid tissues, or from both.) As expected, 1E11 strongly and 6G5 weakly modulated CD4 antigen on T cells *in vivo* (Figure 91). The slightly lower binding of 1E11 to CD4<sup>+</sup> cells as compared to 6G5 seen from 30 min through 2 days is probably a consequence of the greater CD4 modulation observed with 1E11. (*Modulation*  
15 refers to loss of antigen from the surface of a cell, or a decrease in surface antigen density. The loss can occur as a result of shedding or internalization of the antigen, usually in the form of antigen-antibody complexes.) Memory and naive CD4<sup>+</sup> cells were equally affected by 1E11. Not unexpectedly, 1E11 depleted monocytes (which are CD4<sup>+</sup>) until day 5, whereas 6G5 did not.

There was transient and nonspecific depletion of CD8<sup>+</sup> cells only in the 1E11 animal  
20 (Figure 92), whereas CD19<sup>+</sup> B cells were not affected in any of the chimpanzees. The total number of CD8<sup>+</sup>, CD3<sup>+</sup> (T suppressor) cells (left) and the total number of CD19<sup>+</sup> cells (right) were determined from PBMC co-stained with PE-CD8 and FITC-Leu4a or stained with FITC-CD19 (CalTag), respectively.

In summary, 1E11 which induced extensive CD4 modulation both *in vitro* and *in vivo*,  
25 unexpectedly depleted CD4<sup>+</sup> T cells. 6G5 which induces moderate CD4 modulation both *in vitro* and *in vivo*, unexpectedly did not deplete CD4<sup>+</sup> T cells. Thus, the seeming correlation between modulation and nondepletion that had been observed for other anti-CD4 mAbs has been disproven.

### Example 45

#### Prevention of T-helper Dependent Immune Response *In vivo*

30 The animals described in Example 44 were immunized by injection of 1.5 ml of tetanus toxoid (TT, Fort Dodge Animal Health Care, Fort Dodge, IA) intramuscularly in the thigh on day -21. The animals received 0.1 ml of a 10% TT solution or saline alone intradermally on the back

on days 2 and 29. The site was examined 24 hrs later. Reactions were scored as 0 if there was no change at the site of injection, 1 if the injection site was reddened, 2 if the injection site was reddened and raised, and 3 if the injection site was reddened, raised and firm. On day 2, in chimpanzees receiving either of the two human mAbs, 1E11 or 6G5, there was no injection site reaction to TT while the chimpanzee receiving the PBS injection had a reddened injection site. On day 29, all chimpanzees had reddened injection site reactions for TT. There was no reaction at the site where saline had been injected on either day. Thus, while the human mAbs were present (on day 2), they apparently were able to prevent a T-helper dependent immune response *in vivo*.

### Example 46

#### Depletion Studies in the Cynomolgus Monkey

Depletion studies were also carried out in the cynomolgus monkey. A baseline whole blood sample was obtained on day 0 from four cynomolgus monkeys. After this blood draw, one cynomolgus monkey each was intravenously infused with one of the three mAbs (1E11, 6G5 or 1G2) at 2 mg/kg. The fourth cynomolgus monkey received the same volume/kg of PBS only. Blood was drawn at 2 hrs and 8 hrs post-infusion on day 0. Blood was drawn daily (approx. every 24 hrs after the infusion). on days 1, 2, 4, 7, 11, 18 and 32. PBMC from whole blood were isolated over Ficoll following standard procedures. Aliquots of the PBMC were then stained as in Example 44 with various fluorochrome monoclonal antibody conjugates to monitor CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells, and human monoclonal antibody bound to CD4<sup>+</sup> T cells.

As described in Example 43, in this species, 1E11 mAb does not recognize CD4, whereas both 6G5 and 1G2 mAbs do. Thus, 1E11 serves as a negative control human mAb in the cynomolgus study.

As shown in Figure 93, none of the antibodies depleted CD4<sup>+</sup> T cells including the poorly modulating 6G5 mAb. Nor was there any effect on CD8<sup>+</sup> T cells. Both 6G5 and 1G2 bound to CD4<sup>+</sup> T cells, but only 1G2 induced CD4 modulation *in vivo*. Moreover, although both 1G2 and 1E11 extensively modulated CD4 *in vivo*, one mAb was depleting and one was not. Therefore, there appears to be no correlation between modulation and depletion. In Figure 93, the percent of CD4<sup>+</sup> cells (top left) and the percent of CD8<sup>+</sup> cells (top right) are shown. The CD4<sup>+</sup> cells were determined from positive staining of PBMC with PE-OKT4 (ortho) and from negative staining with FITC-CD8 (Becton-Dickinson). The amount of CD4 (bottom left) was determined from the MCF of PE-OKT4<sup>+</sup> lymphocytes. The amount of human mAb bound to CD4<sup>+</sup> (PE-OKT4<sup>+</sup>) cells

(bottom right) was determined from the MCF of FITC-goat anti-human Fc $\gamma$ <sup>+</sup> cells. Result obtained for gated lymphocytes only are shown.

### Example 47

### Lymph Node Lymphocytes

5 To determine whether the human mAbs were able to exit the vasculature and appear in  
other peripheral organs, as part of the previously described chimpanzee study, inguinal lymph  
nodes were examined on days -7, 2 and 29. Biopsies were performed and single cell suspensions  
in medium were prepared. The percent of CD4, CD8 and CD3 cells present in the lymph node  
mononuclear cells was determined, and, using the methods described in Examples 43-45, it was  
10 determined whether the human mAbs had bound to the lymph node mononuclear cells.

As shown in Table 26 and Figure 94, both 1E11 and 6G5 slightly depleted CD4<sup>+</sup> T cells in lymph nodes on day 2. This effect was reversed on day 29 for 6G5 and became even more apparent for 1E11. There was a corresponding increase in CD8<sup>+</sup> T cells on all days when CD4<sup>+</sup> T cells were depleted. Part, but not all, of the explanation for decreased CD4<sup>+</sup> T cells on day 29 in chimpanzee A048 may be due to a lowered percent of CD3<sup>+</sup> cells (and concomitantly increased percent of B cells). Both 1E11 and 6G5 bound to CD4<sup>+</sup> T cells in lymph nodes. Thus, these two mAbs were able to exit the blood and enter lymph tissue. Whether they did so as soluble antibodies or attached to CD4 cells can not be determined from these data. 1E11 modulated CD4 antigen in lymph nodes, whereas 6G5 minimally modulated CD4, if at all. This effect was observed only on day 2.

In Figure 94, the % CD4<sup>+</sup> T cells (top left) and on the % CD8<sup>+</sup>, CD3<sup>+</sup> T cells (top right) were determined from positive staining of lymph node lymphocytes with PE-OKT4 and from negative staining with FITC-CD8 or from lymphocytes co-stained with PE-CD8 and FITC-Leu4a. The amount of CD4 (bottom left) as determined from the MCF of PE-OKT4<sup>+</sup> lymphocytes is shown. The amount of human monoclonal antibody bound to CD4<sup>+</sup> (PE-BF5+) cells (bottom right) was determined from the MCF of FITC-goat anti-human Fcγ<sup>+</sup> cells.

Table 26:  
Summary of Flow Cytometry Studies on  
Lymph Node Lymphocytes

	<u>Chimpanzee Number (Article Injected)</u>		
<u>Cell Type</u>	<u>A008 (1E11)</u>	<u>A010 (6G5)</u>	<u>A017 (PBS)</u>
%CD4 <sup>+</sup>	Decr on d2, d29	Decr on d2	
%CD8 <sup>+</sup>	Incr on d2, d29	Incr on d2	
%CD3 <sup>+</sup>	Decr on d29		
%CD19 <sup>+</sup>	Incr on d29		
MCF CD4	Decr on d2		
MCF HuMAb	Incr on d2	Incr on d2	

5    Blanks mean that there was no significant change in the parameter being examined.  
Decr = decrease    Incr = increase

10    In summary, it is apparent from these data that human mAbs could migrate into lymphoid tissue. These mAbs were cleared from the lymph nodes between days 2 and 29. When present in the lymph node, 1E11 induced CD4 modulation, although to a lesser extent than in peripheral blood on the same study day. One of these antibodies, 1E11, depleted CD4<sup>+</sup> T cells from lymph nodes on day 2 and 29. Thus, 1E11 appears to be able to deplete CD4<sup>+</sup> T cells from peripheral organs for a longer period of time than it does from peripheral blood.

### Example 48

#### Half life of Human Monoclonal Antibodies in Nonhuman Primates

15    As part of the cynomolgus monkey study (described in Example 46), plasma samples were obtained at various time points and assayed for the presence of human mAb. The standard quantitative rCD4 ELISA (Lonberg et al., 1994, *Nature* 368:856-9) was used, except that the cynomolgus plasma was diluted 1:1000 and 1:10000 prior to assay and the mAb standards were diluted in 1:1000 and 1:10000 normal cynomolgus plasma in diluent buffer instead of the diluent  
20    buffer alone.

25    As shown in Figure 95, the serum half-life of 1E11, the human mAb which does not recognize cynomolgus CD4, was 10 days. The serum half-life of the human mAbs which do recognize cynomolgus CD4, 6G5 and 1G2, were 39 and 14 hrs, respectively. Thus, it would appear that the presence of an antigen sink can significantly decrease the half-life of human mAbs and that antigen turnover (as occurs with CD4 modulation) can further decrease serum-half life.

Thus, the most desirable mAb for chronic clinical use would be a non-depleting, non-modulating mAb such as 6G5.

### Example 49

#### Effect on Response to Tetanus Toxoid

5 It has been shown above that all human IgGκ anti-CD4 mAbs that recognized the membrane distal domains of CD4 were able to inhibit responses to alloantigen (cell surface expressed MHC class II molecules) *in vitro*, as in a mixed lymphocyte reaction (MLR). The ability of these human anti-CD4 mAbs to inhibit human cell responses to a soluble foreign antigen, tetanus toxoid (TT), was then determined *in vitro*. PBMC from human donors were prescreened  
10 for reactivity to TT, and the reactive PBMC stored at -80°C and subsequently assayed for antibody inhibition using freshly thawed PBMC from those responsive donors. Serial dilutions of human monoclonal antibodies were added to  $1 \times 10^5$  PBMC per well in medium followed by 5 LF/ml of TT (Wyeth-Ayerst). Cells were cultured for a total of 7 days and 3H-thymidine was added 16 hr prior to harvest.

15 As shown in Figure 96, all three anti-CDR mAbs tested inhibited responses to TT. 1E11 antibody was much more potent than either 6G5 or 1G2. The negative control human mAb, 4E4, had no effect.

### Example 50

#### Production of human anti-IL8 mAbs

20 Recombinant human IL8 (rhIL8) was expressed in *E. coli* using the pET system (Novagen) and purified to N-terminal sequence homogeneity using heparin affinity chromatography (hi-trap, Pharmacia) followed by size exclusion chromatography (Superdex 75, Pharmacia) and C18 reverse phase HPLC (ultrasphere ODS, Beckman). HuMab transgenic mice were immunized with 20 ug recombinant human IL8 in complete Freund's adjuvant, then with 4-5 weekly injections of 5 ug  
25 rhIL8 in incomplete Freund's adjuvant. In the first and second round of immunizations, transgenic mice from three different strains were used (five HCo7/KCo4 mice, nine HC2/KCo5 mice, and three HC2/HCo7/KCo4/KCo5 mice; all were JhD/JkD). In the third round of immunizations, transgenic mice from two different strains were used (four HC2/KCo5 mice, and six HCo7/KCo5 mice; all were CmD/JkD). Serum was removed prior to each immunization and tested for the  
30 presence of human IgG and IgM antibodies by ELISA. Briefly, wells of microtiter plates were coated with 0.2 ug/ml rhIL8 in carbonate buffer overnight at 4°C, blocked with 5% chick serum in PBS for 2 hrs at 37°C, incubated with goat anti-human IgG or IgM for 1 hr at 37°C, and finally

incubated with ABTS substrate for 1 hr at 25°C. Plates were washed extensively between each step.

All transgenic mice from all strains responded readily to immunization with rhIL8, with human IgM antibodies detected prior to human IgG antibodies in serum. Human IgG responses peaked around week 4 and did not increase with further immunizations as shown in Figure 97. In general, mice containing the HCo7 transgene responded slightly better than those containing only the HC2 transgene. This may be related to the particular V regions present in these two transgenes (of the four Vh regions, only one is common to both transgenes) or to the site of intergration or to same other as yet undetermined factor. In any case, the difference in responsiveness was small.

Spleens from four mice (two were from HC2/HCo7/KCo4/KCoS/JhD/JkD mice and two were from HCo7/KCoS/CmD/JkD mice) were removed and processed for fusion by as described (Fishwild et al. 1996, *Nature Biotechnology* 14:845-851.). These mice were chosen because they had the highest titers of human IgG anti-IL8 antibodies in serum. A total of 29 hybridomas secreting human IgG $\kappa$  anti-rhIL8 mAbs were detected in parental wells. Of the 21 parental hybridomas attempted, 18 of these hybridomas were successfully subcloned. There was no significant difference between these two transgenic strains with respect to either the total number of parental hybridomas detected or to their subcloning efficiency.

### Example 51

#### Characterization of human IgG $\kappa$ anti-IL8 mAbs

The first 14 subcloned hybridomas obtained and their secreted mAbs were extensively characterized (all obtained from HC2/HCo7/KCo4/KCo5/JhD/JkD mice). The other 4 subcloned hybridomas have been partially characterized.

The amount of mAb secreted by each hybridoma was determined by a quantitative ELISA as previously described (Lonberg et al., 1994, *Nature* 368:856-9). The on- and off-rates for human mAbs were also determined as described (Lonberg et al., 1994, *Nature* 368:856-9), except that rhIL8 was coupled to the BIAcore sensor chip instead of rCD4.

As shown in Table 27, most of these hybridomas secreted very high amounts of human antibody ( $> 10 \mu\text{g/ml}$ ), ranging from 0.5 to 32  $\mu\text{g/ml}$ . Most of these mAbs had very high binding avidities, with  $K_a$  values  $> 10^9 \text{ M}^{-1}$ . There was quite a large range of on-rates ( $k_{\text{assoc}}$ ) and off-rates ( $k_{\text{dissoc}}$ ). Moreover, many of the on- and off-rates are unique, suggesting that among these 14



5 sites, respectively. The second initiation site in the IL-8RB sequence (which corresponds to amino acid sequences of MESDS... (SEQ ID NO:417)) was used. Forty-eight hours post-transfection, 0.8 my/ml G418 was added and serial dilutions of cells plated in 96-well plates. After 1-2 weeks, G418-resistant cells were stained by appropriate anti-IL-8R antibodies. High level of expression was enriched either by limiting dilution and re-screening or FACS sorting.

10 Ligand binding assays were carried out as follows. <sup>125</sup>I-labeled human IL8 was purchased from Amersham (Arlington Heights, IL) or DuPont NEN (Boston, MA). For each binding reaction, 60-μl cells, washed and resuspended in HBSS containing 5% BSA at 5X10<sup>6</sup>/ml, was mixed with 60-μl of 2X reaction mix and incubated at 37°C for 30 min. For most of the experiments, the final concentration of <sup>125</sup>I-IL8 in the reaction was 0.1 nM. Non-specific binding was determined in the presence of 100 nM unlabeled IL8. The binding reaction was stopped by transferring 100-μl of the mix to tubes containing 200-μl of Dibutyl phthalate/Bis 2-ethylhexyl phthalate (1:1 mix) and spun at 12 K rpm for 3 min. The supernatant was frozen in dry ice and the cell pellet in the bottom of the tubes was cut off and subjected to counting in a gamma-counter.

15 For Scatchard analysis, 0.05 nM of <sup>125</sup>I-IL8 was added with increasing concentrations of unlabeled IL8. The data were curve fitted and K<sub>d</sub> and B<sub>max</sub> was calculated using the computer program Ligand (Munson et al., 1980, *Anal. Biochem.* 107, 220-239).

20 For the Ca<sup>++</sup> flux assay, neutrophils at 10<sup>7</sup> cells/ml were incubated with the fluorochrome Fluo-3 (Molecular Probes) for 30 at RT, washed twine and resuspended at 2x10<sup>6</sup> cells/ml. IL8 with or without mAbs was added to Fluo-3 labeled cells and the internal Ca<sup>++</sup> concentrations were determined by analyzing FL1 on a FACScan over time.

25 Of the 14 human anti-rhIL8 monoclonal antibodies isolated from 2 mice, 10 were specific for rhIL8 and 3 crossreacted with other chemokines (1 has not yet been tested). Nine of the 10 IL8-specific mAbs were neutralizing (see Table 28). One of the mAbs, 7C5, that crossreacted with other CXC chemokines was able to inhibit IL8 binding, but two other mAbs, 1F8 and 5F10, that only crossreacted with GROα did not inhibit IL8 binding. mAbs which could inhibit IL8 binding to IL8RA transfectants could also inhibit IL8 binding to neutrophils and could interfere with IL8-induced Ca<sup>++</sup> flux. None of the three mAbs which bound to GROα were able to inhibit GROα binding.

30 Based on these specificity data, it appears that there are at least three different epitopes on IL8 being recognized. The primary one is unique to IL8, a second is shared between IL8 and GROα, and a third is found more broadly distributed on CXC chemokines.



Table 28

Specificity and Characterization of Human Anti-IL8 mAbs

mAb	Specific for IL8?*	Cross-Reacts with?	Inhibits rIL8A Binding?	Inhibits PMN Binding?	Inhibits $Ca^{++}$ flux?
1F8 (14476)	NO	GRO $\alpha$	NO	NO	NO
2D11	YES		YES	YES	NO
2F9	YES		YES	nd	nd
2G1	YES		YES	nd	nd
3E5	nd	nd	nd	nd	nd
5E7	YES		YES	nd	nd
5F10	NO	GRO $\alpha$	NO	NO	NO
5H8	YES		YES	nd	nd
2C6 (14477)	YES		YES	YES	YES
2D6	YES		nd	nd	nd
3A1	YES		YES	nd	YES
4D4	YES		YES	nd	nd
7C5	NO	IP10, GRO $\alpha$	YES	nd	nd
10A6	YES		YES	nd	nd
2A2**	YES		YES	YES	YES
no mAb	NO		NO	NO	NO

HuMAbs were tested by ELISA for reactivity with IL8, GRO $\alpha$ , GRO $\beta$ , GRO $\gamma$ , IP10, ENA78 and NAP2

nd = not done

\*\* 2A2 is a murine anti-hILB IgG monoclonal antibody that was used as a positive control for these assays. The negative control was no mAb.

The ability of one the human mAbs, 2C6, to inhibit IL8 was examined in more detail. The mAb was purified from spent tissue culture fluid over a protein A column and tested for its ability to inhibit neutrophil chemotaxis and neutrophil elastase release.

In the chemotaxis assay, HUVEC or ECV304 cells were adhered to a semi-permeable membrane. Human leukocytes were added to the chamber on top of the filter and IL8 (with or without human mAb) was added to the bottom chamber. Cells which migrated through the endothelial cells adhered to the filter into the bottom chamber were enumerated with a flow cytometer using forward and side scatters. For the elastase release assay, neutrophils were incubated with cytochalasin B followed by mAb or buffer followed by the elastase substrate. Fluorescence was then measured immediately and 10 min after the addition of IL8.

Human mAb 2C6 demonstrated dose-dependent inhibition of both IL8-induced neutrophil chemotaxis and elastase release (see Figure 98). The IC<sub>50</sub> values for 2C6 were 270 ng/ml and 330

ng/ml, respectively. Both chemotaxis and elastase release were completely inhibited by as little as 1µg/ml of mAb. This human mAb is slightly more potent than the most potent murine anti-IL8 mAb examined to date.

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5           The foregoing description of the preferred embodiments of the present invention has been presented for purposes of illustration and description. They are not intended to be exhaustive or to limit the invention to the precise form disclosed, and many modifications and variations are possible in light of the above teaching. It will be apparent that certain changes and modifications  
10 are incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference. Commonly assigned applications U.S.S.N. 08/728,463 filed October 10, 1996, U.S.S.N. 08/544,404 filed 10 October 1995, U.S.S.N. 08/352,322, filed 7 December 1994, U.S.S.N. 08/209,741 filed 9 March 1994, U.S.S.N. 08/165,699 filed 10 December 1993 and U.S.S.N. 08/161,739 filed 03 December  
15 1993, which is a continuation-in-part of 08/155,301 filed 18 November 1993, WO92/03918, USSN 07/810,279 filed 17 December 1991, USSN 07/853,408 filed 18 March 1992, USSN 07/904,068 filed 23 June 1992, USSN 07/990,860 filed 16 December 1992, WO93/12227, and USSN 08/053,131 filed 26 April 1993 are each incorporated herein by reference.